

EXTERNAL INFORMATION SESSION

Updates to the PR Reporting Process

Part 2: Progress Update and Disbursement Request (PUDR)
& Final Progress Update (Final PU)

5 December 2024



Thank you!

**700+ already submitted
(CS6, GC7 Regular, C19RM)**

- 1 General Overview**
- 2 PUDR & Final PU Content**
- 3 PUDR & Final PU Process**
- 4 Performance Rating**
- 5 Next Steps and Resources**
- 6 Questions & Answers**



1

General Overview: Implementation Oversight in 2024 and Beyond

Timely & quality reporting is essential to maintain implementation oversight & for corporate insight and decision-making

Grant deliverables are essential to managing grant performance & a key input for corporate-level reporting

PR Reporting provides essential insights for:

- The Annual Results Report
- The Investment Case for Replenishment
- C19RM reporting to donors
- Risk Tracker Report



Implementation Oversight (IO) key changes from 2024 (GC6 & GC7)

Improved Data Quality

Data Quality Checks (DQC)

Online data quality checks to ensure first-time right submission

Results Correction* from July

Results from prior reporting periods can be updated within the same implementation year

ADEX** Integration*

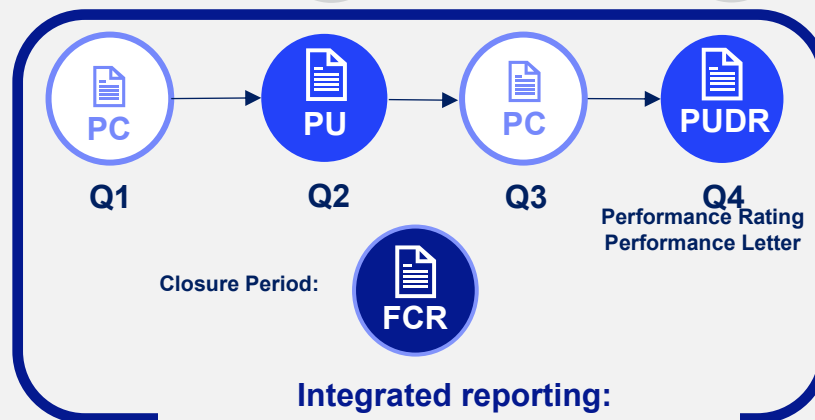
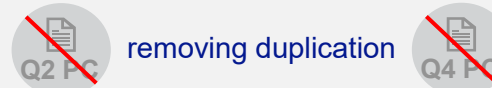
Results feed directly from DHIS into the Partner Portal to reduce manual entry for a defined cohort of PRs

Elementary Indicators

Single entry of common numerators and denominators to reduce repeated data entry, improving data consistency

Integrated Reporting*

Reducing from 4 Pulse Checks to 2 yearly per grant/IP:



Integrated reporting:
Previously submitted results are displayed in subsequent reports

New Reporting Flexibilities

Tailored Assurance

Flexibility to tailor the scope of the LFA review

Submission by Section

PRs can submit the Pulse Check and PU/DR section-by-section to allow for timely insights

Optimized Content

Ability to customize the scope of programmatic reporting by PRs based on country context and need for insights
Performance rating now annual

Systems Enhancements

Reporting via Online Forms

Phased transition to reporting through online PU/DR forms, including Financial Closure Reports

*Impacting HI and Core portfolios only **ADEX - Aggregated Data Exchange DHIS – Data Health Information System

Benefiting over 200 PRs

Over US\$15bn to be reported (GC6, GC7 and C19RM)

Impacting over 5,500 reports

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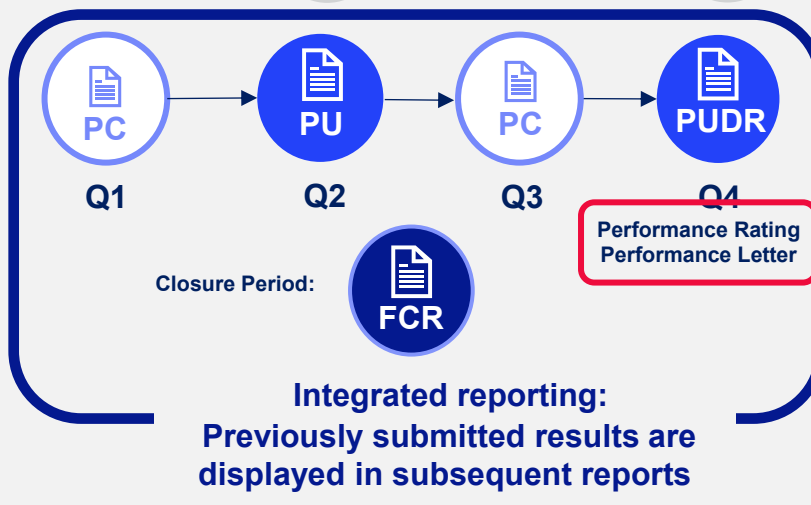
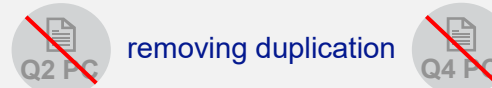
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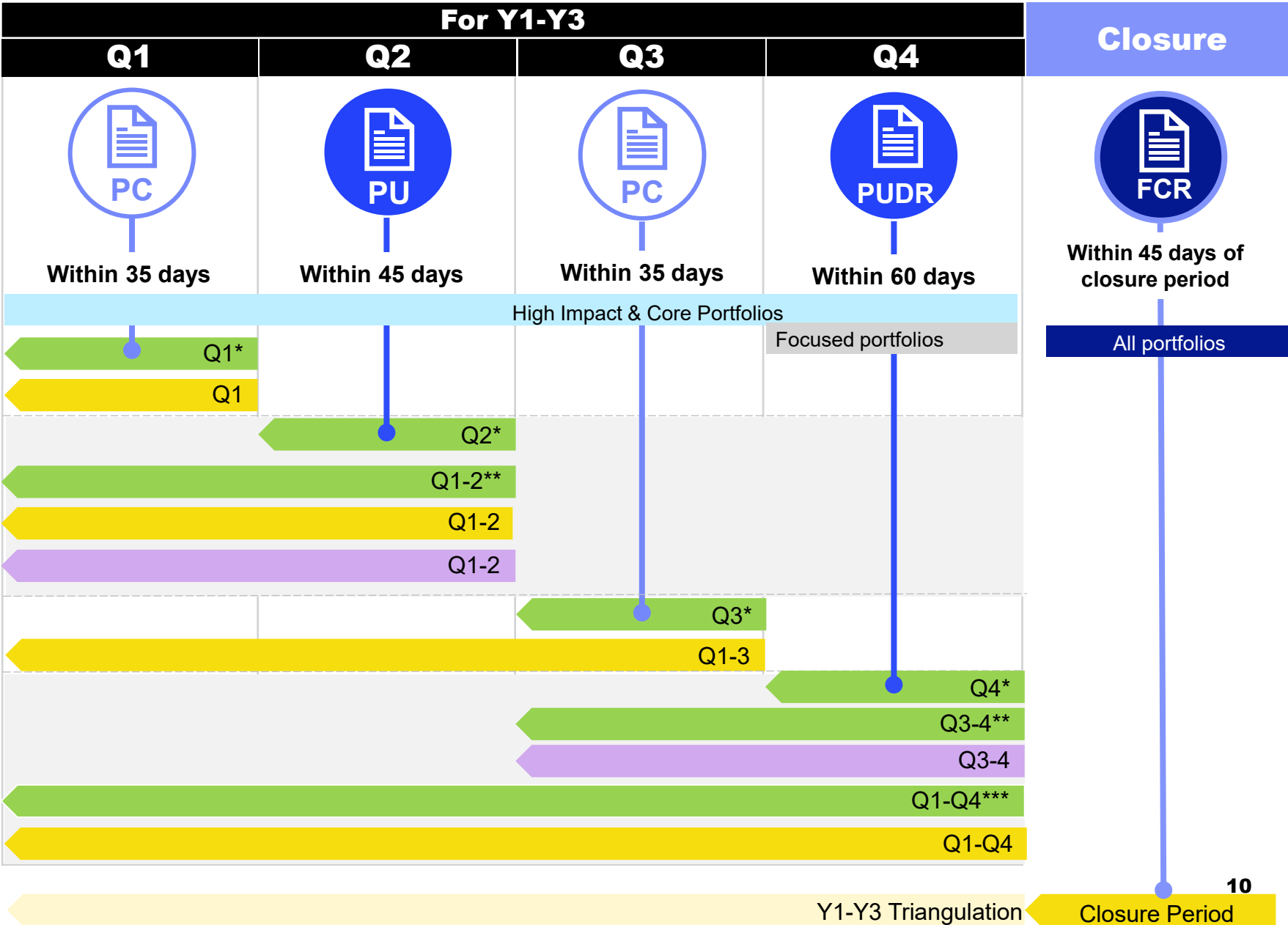
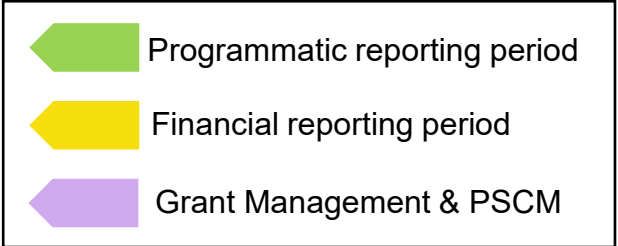
Over US\$15bn to be reported (GC6, GC7 and C19RM)

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Reported data is automatically aggregated for integrated reporting across all forms



- Programmatic reporting section**
- Aggregation of results over the reporting periods (in PU, Q3 PC and PUDR) is automated based on cumulation type in the Performance Framework.
 - Results-to-date are displayed on the Coverage indicator landing page.
- Financial reporting section**
- Each report is cumulative. Example: In Q3 reporting must include Q1-Q3.



* For programmatic indicators that are reported on a quarterly basis.
 ** For programmatic indicators that are reported on a 6-month basis.
 *** For programmatic indicators that are reported on an annual basis.

The overall reporting burden for PRs per IP is reduced with the removal of two Pulse Checks per year



Previous

		3-year Implementation Period												
		Year 1				Year 2				Year 3				Closure
GC6 & C19RM IPs		PC	PC	PC	PC	PC	PC	PC	PC	PC	PC	PC	PC	
			PU		PU DR		PU		PU DR		PU		Last PU	FCR

Starting 2024 – If you have a GC6 IP and a GC7 IP you need to complete separate reports for each

2024

		3-year Implementation Period													
		2024				2025				2026				Closure	
GC7 – regular IP		PC	PU	PC	PU DR	PC	PU	PC	PU DR	PC	PU	PC	PU DR	FCR	
										Closure					
GC6 & C19RM - IP		PC	PU	PC	PU DR	PC	PU	PC	PU DR	FCR					

Implementation Oversight (IO) key changes from 2024 (GC6 & GC7)

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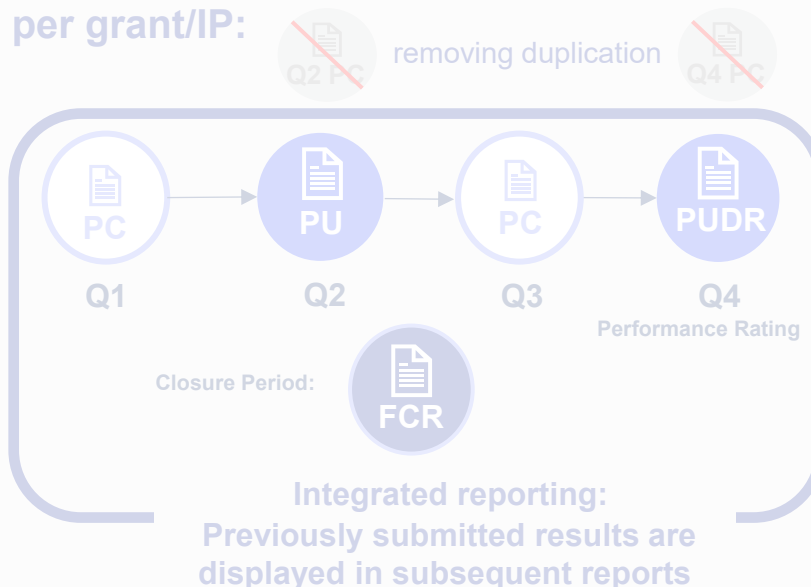
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Benefiting over 200 PRs

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Data Quality Checks ensure PR submissions are accurate and complete upon submission



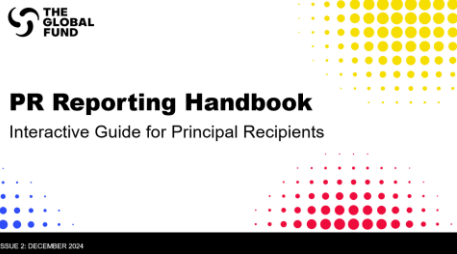
Reminder

Data Quality Checks are a set of automated actions that the system performs to flag irregularities. The checks support to:

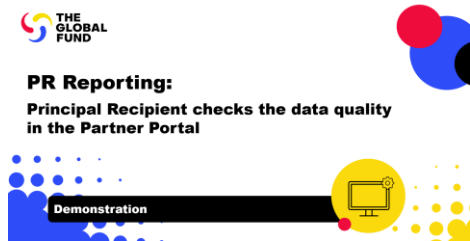
- Improve data quality by flagging potentially incorrect data
- Prevent data entry errors
- Improve data integrity and consistency
- Speed up the review process of the submitted data by Global Fund



Resources:



A full list of errors and warnings for each section is included in the PR Reporting Handbook



A demo video on Data Quality Checks is available in [English](#), [French](#) and on the Global Fund website (Spanish available in January)

Open full screen Refresh form

Coverage Elementary Ind

Errors and Warnings Check data quality

Field details	Description of warning or error
TBDT-1 [N]	The result should not be blank. Enter a value or provide a justification.
TBDT-4 [N]	The result should not be blank. Enter a value or provide a justification.
TBDT-2 [N]	The result should not be blank. Enter a value or provide a justification.
TBDT-2 [D]	The result should not be blank. Enter a value or provide a justification.
DRTB-2 [N]	The result should not be blank. Enter a value or provide a justification.

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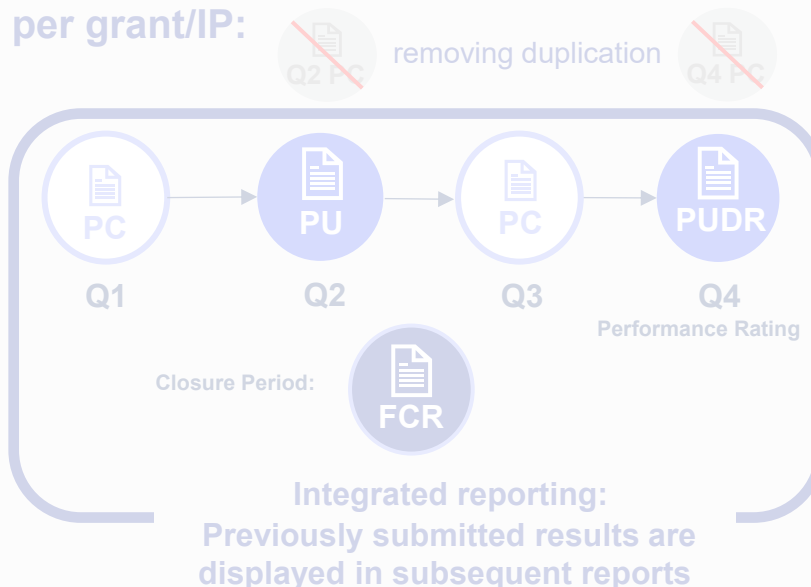
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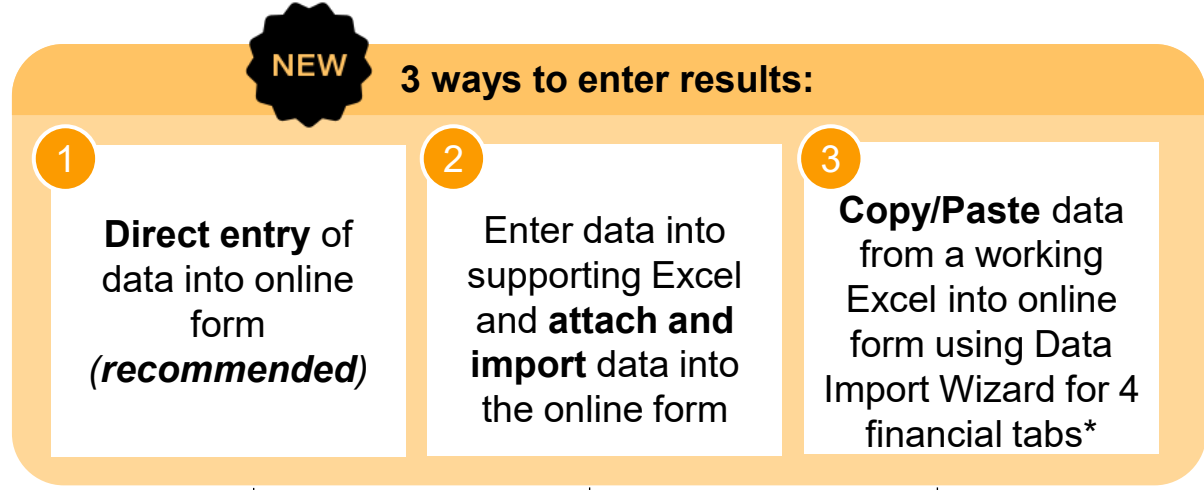
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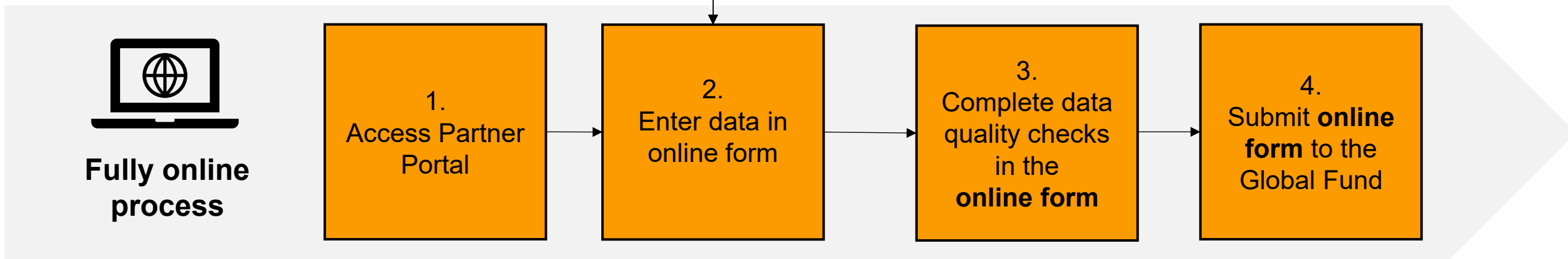
Impacting over 5,500 reports

PR Reporting is fully online

PRs are strongly encouraged to directly enter data into the online form.



A supporting Excel **cannot** be used for submission to the Global Fund.



* Functionality on 4 financial tabs; Expenditure Report, Cash Reconciliation, Commitments & Obligations, SR Cash Reconciliation

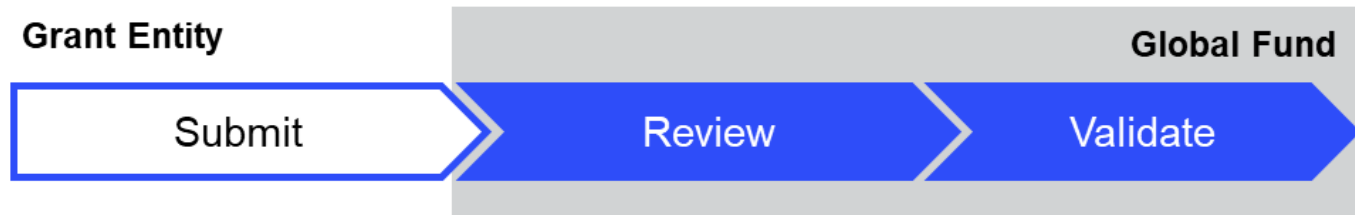
Ensure your Grant Entity Data is up-to-date



Reminder

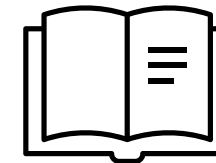
Grant Entity Data (GED) consists of the organization and contact information required from Grant Entities (PRs, LFAs, CCMs, and third-party organizations) to enable them to process key grant life cycle activities and achieve business milestones in a timely and quality manner.

- Up-to-date Grant Entity Data allows PRs, LFAs and other to successfully complete grant deliverables through the Global Fund Partner Portal
- Remember to update your GED immediately through a **Change Request** when organization or contact information changes (to allow time for review & validation by the Global Fund).



Raising a Change Request

The Grant Entity contact with access rights submits the Change Request to create, update or deactivate an organization/contact through the GED Module in the [Global Fund Partner Portal](#).



For step-by-step guidance:
[GED Interactive Guide](#)

PR submissions available in PDF to download



A PDF version of any submitted PC, PU/DR and FCR can be downloaded by PRs from the Partner Portal upon the final submission of the form (all sections) to the Global Fund.

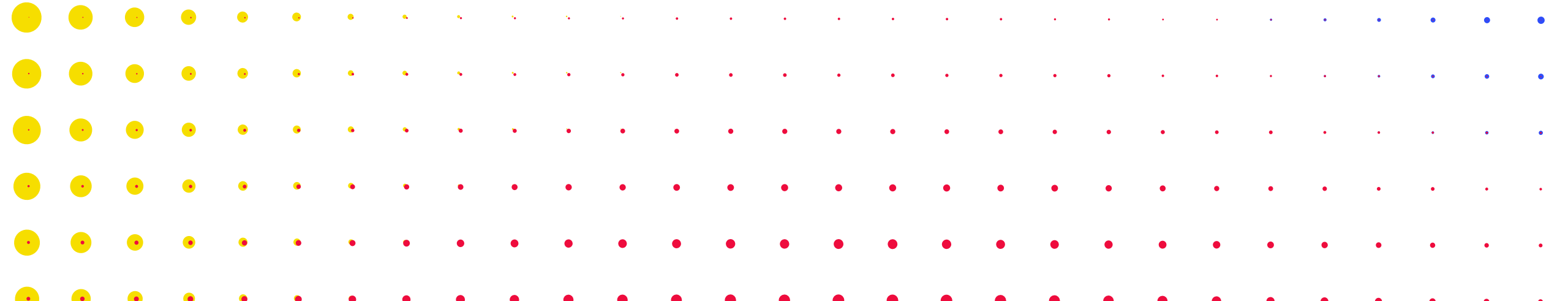
The screenshot shows the 'ZWE-H-UNDP04 Report' page in the Partner Portal. It includes a progress bar with four stages: 'PR to complete and submit form' (active), 'LFA / Assurance Provider to review', and 'Global Fund to review and share Performance Letter'. Below the progress bar are navigation links: 'Download', 'Attach', 'Online Form', 'Submit', and 'Help'. The 'Documents' section features filters for Document Name, Document Type, Language, and Version. A 'Results' table lists two documents, each with a download icon and a checkmark icon. A callout box highlights the 'Download all' button and the individual document actions.

Document Name	Document Type	Attached	Language	Version
ZWE_H_UNDP_RP_31Dec2024_GeneratedProgressReport_2 ZWE-H-UNDP_PUDR_Exported_25-Nov-24	Generated Progress Report Excel	25-Nov-2024 The Global Fund	English	2
ZWE_H_UNDP_RP_31Dec2024_Other_1 ZWE-H-UNDP_Performance Letter_Reporting Period - 31-Dec-24	Other Word	25-Nov-2024 The Global Fund	English	1



2

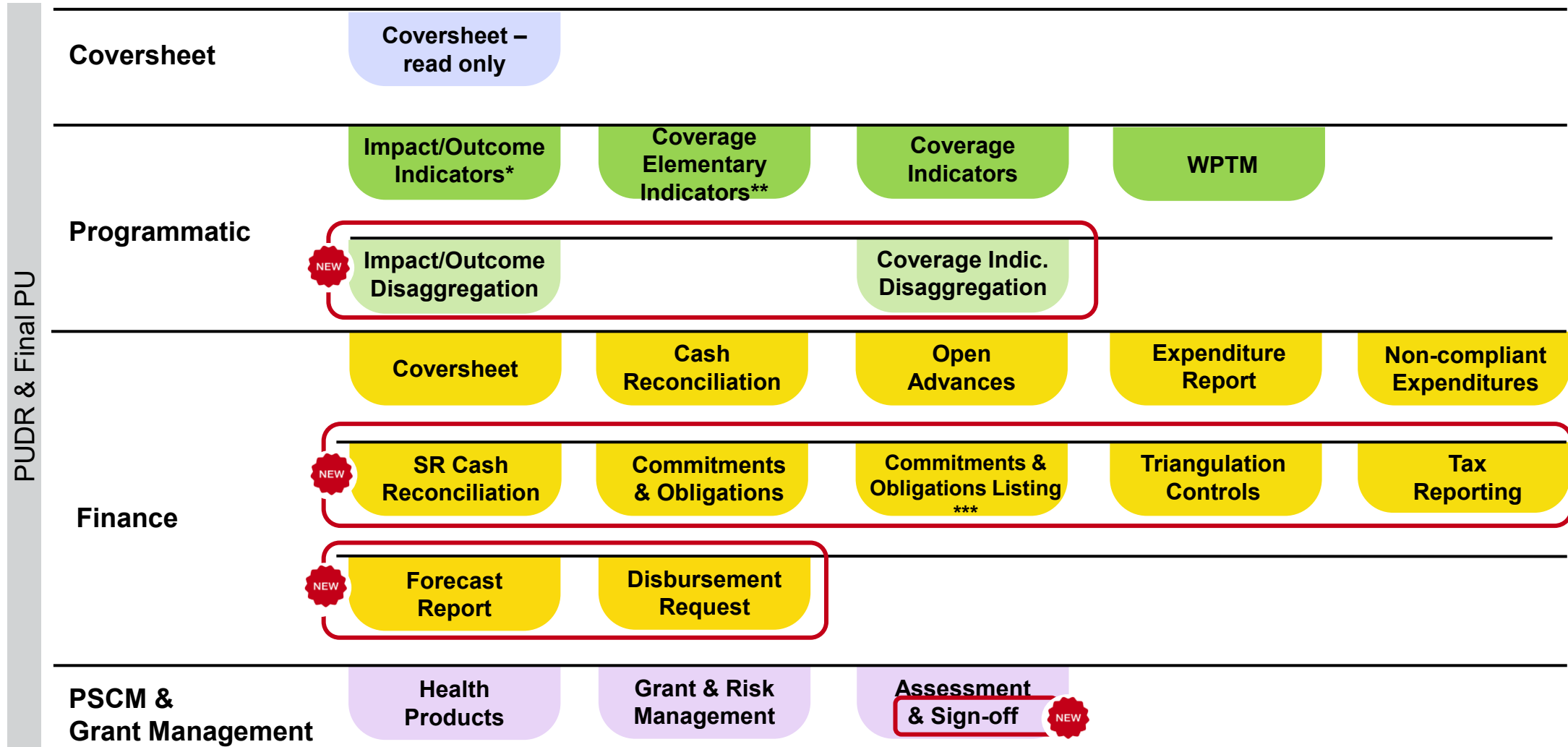
PUDR & Final PU content



Overview of content included in the PUDR & Final PU

The PUDR is completed at Q4 of Year 1 & 2; the Final PU at the end of Q4 of Year 3.

 New compared to PU

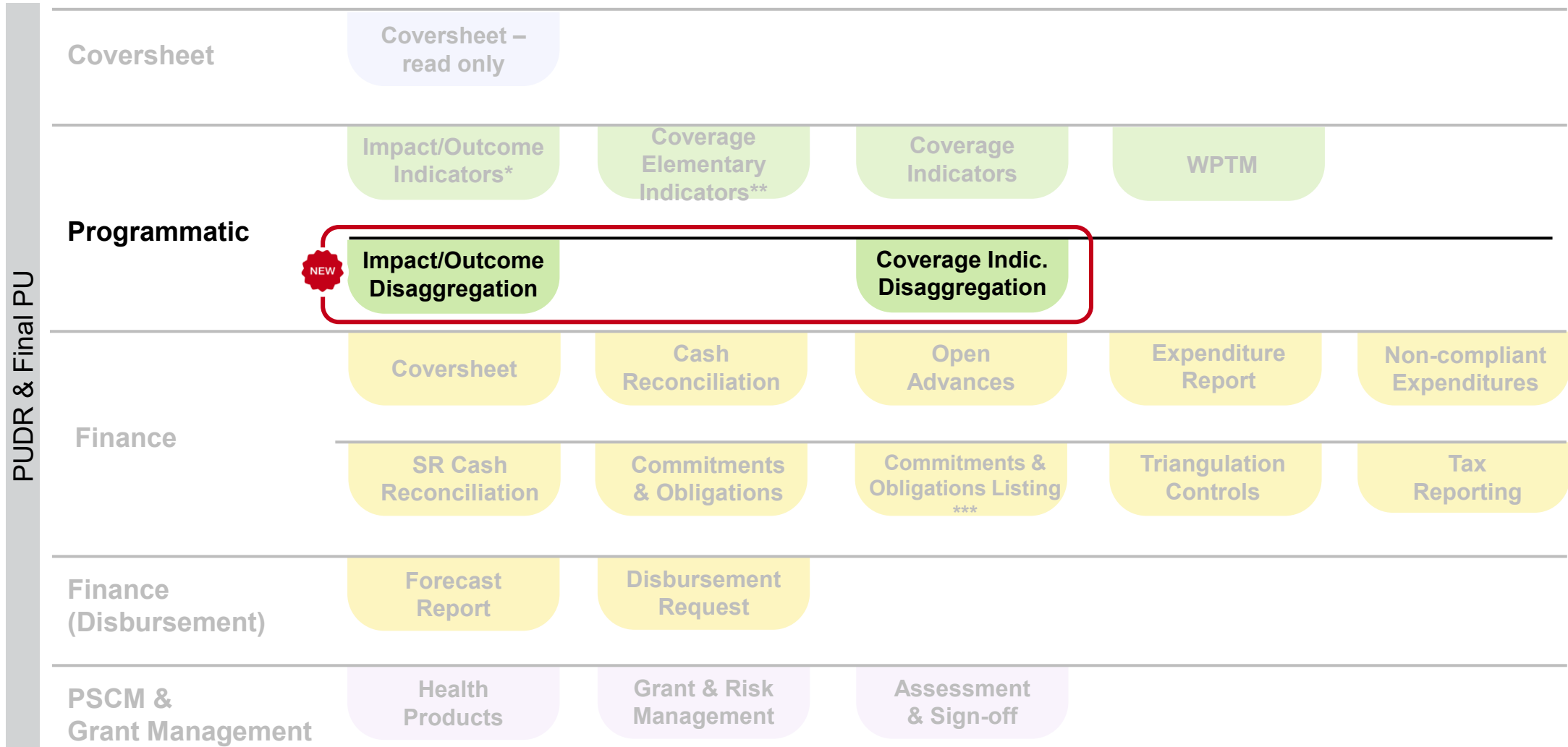


* Only appears with quarterly reported Outcome Indicators

** GC7 only

*** Final PU only

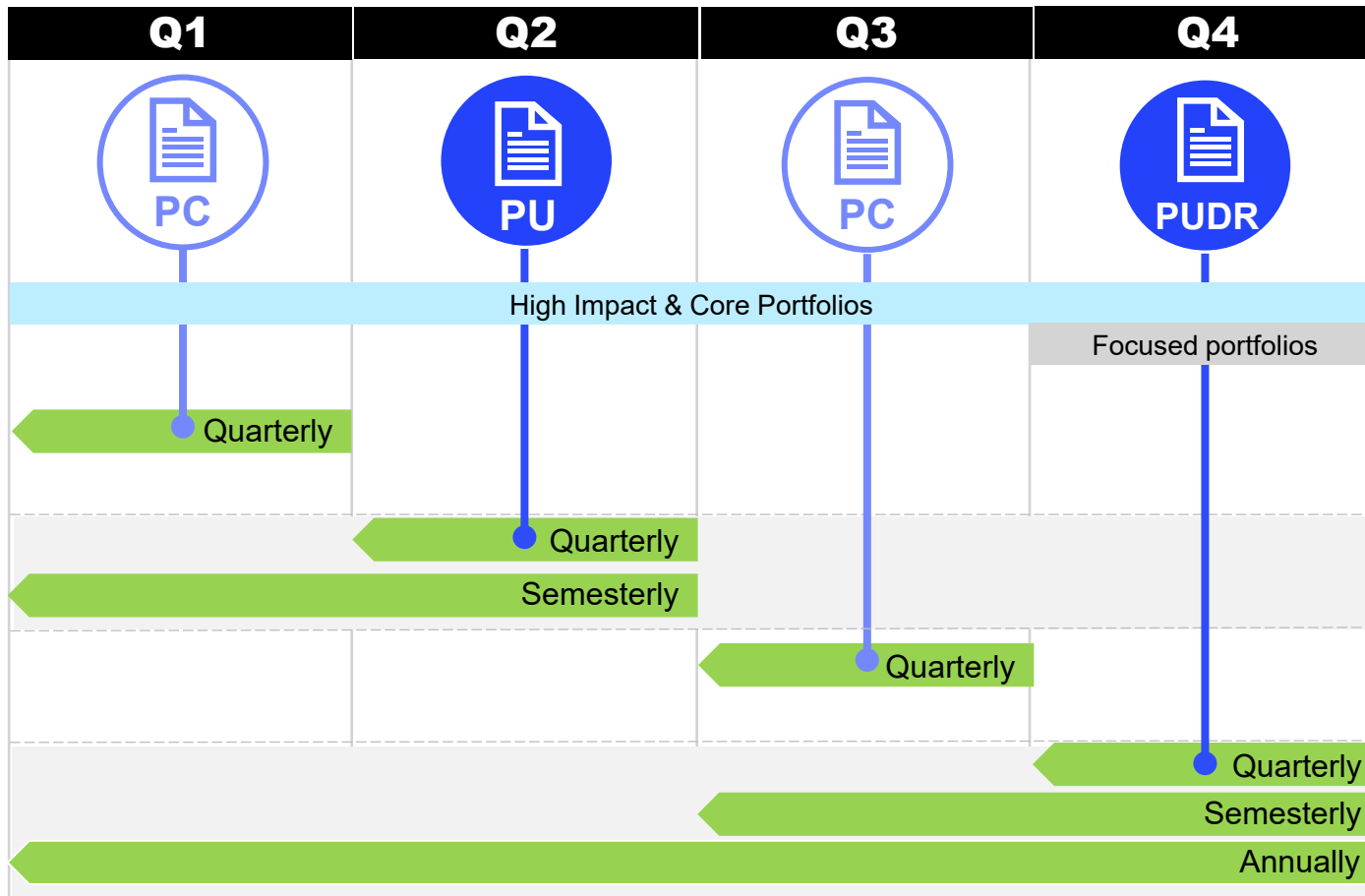
Overview of content included in the PUDR & Final PU



Programmatic Reporting – General Information



Reminder



For indicators reported quarterly, provide results for the quarter only.
 At PUDR, the results for these indicators will be aggregated at Q2, Q4 and Annual by the Global Fund using the cumulation type assigned in the latest signed grant Performance Framework.

For six-monthly (semesterly) reported indicators, report the results for the specified six-month period.



Impact and Outcome indicators

- This is the results entry page for the **numerators [N]** and **denominators [D]** for the impact/outcome indicators in GC7 (for quarterly reported indicators RSSH O-3 and HIV O-29 this tab will also appear in the PC and PU).
- Users can also enter any comments related to the indicators being reported in the PUDR covering the following:
 - ✓ Explain performance, especially if over- or underperforming:
 - At national level
 - By implementation service provider (e.g., SR)
 - ✓ Describe the trends over time and compare to the same period last year
 - ✓ Describe planned mitigation actions to further strengthen implementation

Programmatic Reporting – Coverage Elementary Indicators



Reminder

Impact/Outcome Indicators

Coverage Elementary Indicators

Coverage Indicators

WTPM

GC7 only

Elementary indicators

- Elementary indicators are the **numerators [N]** and **denominators [D]** of the standard indicators in the latest Performance Framework.
- They are used to construct the standard coverage indicators on the Coverage Indicators tab.

Coverage Elementary indicators tab - online form

- The elementary indicators due for reporting are pre-populated on this tab.
- Numerators and denominators are reported on separate lines.
- Data entered only once for data elements that are common across indicators
- Results reported in previously (Q1, Q2, Q3, S1) may be updated at the time of PUDR, if needed
- For quarterly reported indicators, Q1, Q2, Q3, Q4 fields are editable.
- For semesterly reported indicators, S1 and S2 are editable.

Benefits

- ✓ Avoids duplicate data entry on the same data elements.
- ✓ Eliminates errors.
- ✓ Ensures consistent reporting.

- There are no elementary indicators for GC6 Regular and C19RM grants nor GC7 custom indicators.
- The results for these indicators are reported through the Coverage Indicators tab (per current PU/DR).

Programmatic Reporting – Coverage Elementary Indicators



Reminder

GC7 only

Impact/Outcome Indicators

Coverage Elementary Indicators

Coverage Indicators

WTPM

Quarterly reported indicator

Results reported in previously (Q1, Q2, Q3) may be updated in the PUDR, if needed

Close

Coverage Elementary Indicator

Indicator Code: TCS-1c [N] Number of children (under 15) on ART at the end of the reporting period XXX-Z-PR1P02

Scope of Targets: Geographic National, 100% of national program target

Cumulation Type: Non cumulative – other

Related Coverage Indicators	Q1	Q2	S1	Q3	Q4	S2
	Jan-2024 to Mar-2024	Apr-2024 to Jun-2024	Jan-2024 to Jun-2024	Jul-2024 to Sep-2024	Oct-2024 to Dec-2024	Jul-2024 to Dec-2024
PR Results	TCS-1c 43,354	41,967	41,967	43,967	43,867	5,000

Benefits:

- ✓ Avoids duplicate data entry on the same data elements.
- ✓ Eliminates errors.
- ✓ Ensures consistent reporting.

For quarterly reported indicators, Q1, Q2, Q3, Q4 fields are editable

Programmatic Reporting – Coverage Elementary Indicators



Reminder

GC7 only

Impact/Outcome Indicators

Coverage Elementary Indicators

Coverage Indicators

WTPM

Semesterly reported indicator

Results reported in previously (S1) may be updated in the PUDR, if needed

Close

Coverage Elementary Indicator

Indicator Code: CSS-3 [D]

Total number of surveyed health facilities (excluding those with a missing value)

Scope of Targets: Geographic Subnational, less than 100% national program target

Cumulation Type: Non cumulative – other

Benefits:

- ✓ Avoids duplicate data entry on the same data elements.
- ✓ Eliminates errors.
- ✓ Ensures consistent reporting.

Related Coverage Indicators

S1
Jan-2024
to
Jun-2024

S2
Jul-2024
to
Dec-2024

Annual
Jan-2024
to
Dec-2024

PR Results

[CSS-3](#)

150

200

200

Refresh

For semesterly reported indicators, S1 and S2 are editable.

- There are no elementary indicators for GC6 Regular and C19RM grants nor for GC7 custom indicators.
- The results for these indicators are reported through the Coverage Indicators tab (per current PU/DR).

Programmatic Reporting – Coverage Indicators



Reminder

Impact/Outcome Indicators

Coverage Elementary Indicators*

Coverage Indicators

WTPM

GC7 IP standard coverage indicators

- The results are auto-calculated from the elementary indicator results and auto-populated on the Coverage Indicators tab*.
- The results for S1, S2 and Annual are aggregated according to the cumulation type selected in the latest signed grant Performance Framework and are visible but not editable on this page.

GC7 IP custom coverage indicators

- There are no elementary indicators for neither GC6 & C19RM standard coverage indicators, nor any of the GC6 or GC7 custom indicators.

GC6 IP (regular & C19RM) coverage indicators

- The results for these indicators are reported directly through the Coverage Indicators tab.

Achievement ratio

- The S2 achievement ratio is calculated against the six-monthly target.
- The Annual achievement ratio is calculated against the aggregated annual target

* Denominator results for interlinked indicators with sub-national geographies will be entered on this coverage indicator tab (see Annex for more information).

Programmatic Reporting – Coverage Indicators



Reminder

- Impact/Outcome Indicators
- Coverage Elementary Indicators*
- Coverage Indicators
- WTPM

Coverage indicators landing page

GC7 IP standard indicators: The results are auto-calculated from the elementary indicator results and auto-populated on the Coverage Indicators tab*.

Coverage Indicators		Coverage Indicators Disaggregation					
View Achievement Ratio <input checked="" type="radio"/> S2 <input type="radio"/> Annual							
Indicator	Country / Scope of Target	Cumulation Type	Baseline	Baseline Data Source and Year	Target	Results	Achievement ratio
CSS-3 Percentage of health service delivery sites with a community-led monitoring mechanism in place	Zimbabwe / Geographic Subnational, less than 100% national program target	Non cumulative – other	N: 199 D: 536 % 37.13%	CLM report	N: 233 D: 535 % 43.47%	N: 100 D: 200 % 50.00%	115.02%
HPM-1 LMIS Reporting Rate - Percentage of all health facilities that are required to report that submit an LMIS report to central authority	Zimbabwe / Geographic National, 100% of national program target	Non cumulative – other	N: 1,647 D: 1,672 % 98.50%	ZAPS 2022	N: 1,647 D: 1,672 % 98.50%		

The S2 achievement ratio is calculated against the six-monthly target.

The Annual achievement ratio is calculated against the aggregated annual target

* Denominator results for interlinked indicators with sub-national geographies will be entered on this coverage indicator tab (see Annex for more information).

Programmatic Reporting – Coverage Indicators



Reminder

Impact/Outcome Indicators

Coverage Elementary Indicators*

Coverage Indicators

WTPM

- As a default, this landing page shows total results for S2 for all standard and custom coverage indicators in the PUDR.
- The results for S2, aggregated as per the cumulation type in the latest Performance Framework, are visible but not editable on this page.

Coverage indicators landing page

Coverage Indicators Coverage Indicators Disaggregation

View Achievement Ratio S2 Annual

Users can use the toggle buttons on the top left corner above the table to view total aggregated annual results and the annual achievement ratio

Indicator	Country / Scope of Target	Cumulation Type	Baseline	Baseline Data Source and Year	Target	Results	Achievement ratio
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Programmatic Reporting – Coverage Indicators



Reminder

Impact/Outcome Indicators

Coverage Elementary Indicators*

Coverage Indicators

WTPM

Coverage indicators Pop-up page

< Previous indicator Next indicator >

Close

Coverage Indicator

Indicator Code: TB/HIV-7.1 TB/HIV-7.1 Percentage of people living with HIV currently enrolled on antiretroviral therapy who started TB preventive treatment (TPT) during the reporting period **XXX-Z-PR1P02**

Scope of Targets: Geographic Subnational, 100% of national program target **Cumulation Type:** Non cumulative - special

Baseline (Date Source): Reports, Program data **Target(Jul - Dec)** **Reverse Indicator:** No

N: 153	D: 1,144	% 13.37%	Year 2022	N:	D:	% 35.00%
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Related Elementary Indicators	S1 Jan-2024 to Jun-2024	S2 Jul-2024 to Dec-2024	Annual Jan-2024 to Dec-2024
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PR Results	TB/HIV-7.1 [N]	N: 120	N: 150	N: 270
		D: 1,144	D: 1,144	D: 1,144
		% 10.49	% 13.11	% 23.60

Refresh

PR Comments ⓘ

- Users can provide the Q4 results for quarterly reported indicators and S2 results for the indicators that are reported six-monthly, on the coverage page for the above-mentioned indicators.
- Users can also update results for Q1, Q2 & Q3 for quarterly reported indicators and S1 results for 6-monthly indicators at the time of PUDR (GC7 only).



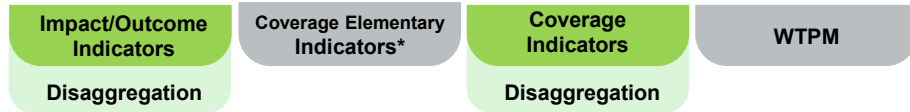
Coverage indicators landing page

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- The results for S2, aggregated as per the cumulation type in the latest performance framework, are visible but not editable on this page.
- Users can use the toggle buttons on the top left corner above the table to view total aggregated annual results and the annual achievement ratio.

Coverage indicators pop-up page

- Users can provide the Q4 results for quarterly reported indicators and S2 results for the indicators that are reported six-monthly, on the coverage page for the above-mentioned indicators.
- Users can also update results for Q1, Q2 & Q3 for quarterly reported indicators and S1 results for 6-monthly indicators at the time of PUDR (GC7 only).

Programmatic Reporting – Disaggregation



- ✓ Reported once a year by High Impact and Core portfolios
- ✓ Some indicators require disaggregated results as “N only“, “% only” and some require N, D and % values
- ✓ The form will display the required fields
- ✓ For more information on required data types for disaggregation, refer to [Indicator Guidance Sheets](#)

Reminder

Numerators only

Requires disaggregated results as numbers, for example:

- HIV I-13: estimated numbers of people living with HIV
- KP-1c: percentage of sex workers reached with HIV prevention programs
- Malaria I-12: Malaria mortality rate, malaria case fatality rate
- TB/HIV-3.1a: Percentage of people living with HIV newly initiated on ART who were screened for TB

Example

The sum of reported disaggregated **numerator** values for each disaggregation category need to add up to the total aggregate numerator result for mutually exclusive disaggregation categories such as age and gender.

For mutually inclusive disaggregation categories such as “type of test” or “regimen type” where there is a possibility of overlap, total of numerator values may not add up to the total aggregate numerator result.

Programmatic Reporting – Disaggregation



Impact/Outcome Indicators
Disaggregation

Coverage Elementary Indicators*

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Reminder

Percentage

Requires disaggregated results with numerators and denominators. The numerator for disaggregated results is the number of people specific to the disaggregation category and denominator of disaggregated results is the number of people specific to the disaggregation category. Percentage of disaggregated result is auto-calculated in the form.

- CM-5: Percentage of confirmed cases fully investigated and classified as per national guidance
- TBDT-2: Treatment success rate

Example

The sum of reported disaggregated **numerator** values should add up to the total aggregate numerator result for mutually exclusive disaggregation categories.

The sum of reported disaggregated **denominator** values should add up to the total aggregate denominator result for mutually exclusive disaggregation categories.

For mutually inclusive disaggregation categories such as “type of test” or “regimen type” where there is a possibility of overlap, total of numerator values may not add up to the total aggregate numerator result.

Programmatic Reporting

Impact/Outcome and Coverage Disaggregation



Impact/Outcome Indicators
Disaggregation

Coverage Elementary Indicators*

Coverage Indicators
Disaggregation

WTPM

Disaggregation Landing Pages for Impact/Outcome and Coverage Indicators

- View-only landing pages that display the disaggregated results required for the PUDR: one for impact/outcome indicators, and one for coverage indicators.

Disaggregation results entry

- To enter results, the user navigates to the Impact/Outcome or Coverage Indicators landing page and selects “Provide results” for the desired indicator.
- Total **annual** disaggregated results are then entered below the aggregate indicator results on the pop-up page, by selecting the disaggregation “Category” from the drop-down list and “View disaggregation” button.
- Users provide **numerator [N], denominator [D] or percentage** results (as applicable) for the required disaggregation in the assigned fields.
- Users can also enter additional information related to the disaggregated results in the comments box below each disaggregation category, including data source for the results (for coverage) and any other contextual information explaining the results, trends and data quality.

Programmatic Reporting

Impact/Outcome and Coverage Disaggregation



View-only landing pages that display the disaggregated results required for the PUDR: one for impact/outcome indicators, and one for coverage indicators.



Example: Coverage Indicators landing page

Coverage Indicator XXX-Z-PR1P02

> **Errors and Warnings** [Check data quality](#) Data quality check required

Item	Indicator Code ↑	Indicator	Cumulation Type	Baseline	Baseline data source & year	Target	Results	Achievement ratio	Action			
1	CM-1a	CM-1a Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities	Non cumulative	N: D: %	736,746 736,891 99.98%	DHIS2 2022	N: D: %	401,533 401,613 99.98%	N: D: %	100,655 108,774 92.54%	92.55%	Provide results
2	CM-1b	CM-1b Proportion of suspected malaria cases that receive a parasitological test in the community	Non cumulative	N: D: %	198,364 198,387 99.99%	DHIS2 2022	N: D: %					Provide results
3	CM-2a	CM-2a Proportion of confirmed malaria cases that received first-line antimalarial treatment at public sector health facilities	Non cumulative	N: D: %	91,658 92,279 99.33%	DHIS2 2022	N: D: %					Provide results

To enter results, go to the Impact/Outcome or Coverage Indicators landing page and select "Provide results" for the desired indicator

Programmatic Reporting

Impact/Outcome and Coverage Disaggregation



Impact/Outcome Indicators Disaggregation | Coverage Elementary Indicators* | Coverage Indicators Disaggregation | WTPM

The total **annual** disaggregated results are then entered below the aggregate indicator results on the pop-up page.

Example: Coverage Disaggregation
< Previous indicator
Next indicator >
Close

Coverage Indicator

Indicator Code: CM-1a XXX-Z-PR1P02
 CM-1a Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities

Scope of Targets: Geographic National, 100% of national program target **Cumulation Type:** Non cumulative

Baseline (Date Source): 2022 Annual Report				Target(Oct - Dec)				Reverse Indicator: No	
N: 2,479,843	D: 2,574,160	% 96.34%	Year 2022	N: 1,361,383	D: 1,361,383	% 100.00%			

	Related Elementary Indicators	Q1		Q2		S1		Q3		Q4		S2		Annual	
		Jan-2024 to Mar-2024	Apr-2024 to Jun-2024	Jan-2024 to Jun-2024	Jul-2024 to Sep-2024	Oct-2024 to Dec-2024	Jul-2024 to Dec-2024	Jan-2024 to Dec-2024							
PR Results	CM-1a [N]	N 1,000	N 1,000	N 2,000	N 1,000	N 1,000	N 2,000	N 4,000							
	CM-1a [D]	D 2,000	D 2,000	D 4,000	D 2,000	D 2,000	D 4,000	D 8,000							
	%	% 50.00	% 50.00	% 50.00	% 50.00	% 50.00	% 50.00	% 50.00							

Refresh

PR Comments ⓘ

PR data is imported

Coverage Indicator Disaggregation

Please select a category v

View disaggregation

Selecting the disaggregation **Category** from the drop-down list and “View disaggregation” button.

Programmatic Reporting

Impact/Outcome and Coverage Disaggregation



< Previous indicator Next indicator > Close

Coverage Indicator

Indicator Code: CM-1a **CM-1a** Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities **CMR-M-MOHP04**

Scope of Targets: Geographic National, 100% of national program target **Cumulation Type:** Non cumulative

Baseline (Date Source): 2022 Annual Report

Year 2022			Target(Oct - Dec)			Reverse Indicator: No		
N: 2,479,843	D: 2,574,160	% 96.34%	N: 1,361,383	D: 1,361,383	% 100.00%			

Related Elementary Indicators	Q1		Q2		S1		Q3		Q4		S2		Annual	
	Jan-2024 to Mar-2024	Apr-2024 to Jun-2024	Jan-2024 to Jun-2024	Jul-2024 to Sep-2024	Oct-2024 to Dec-2024	Jul-2024 to Dec-2024	Jan-2024 to Dec-2024							
PR Results	N	1,000	N	1,000	N	2,000	N	1,000	N	1,000	N	2,000	N	4,000
	D	2,000	D	2,000	D	4,000	D	2,000	D	2,000	D	4,000	D	8,000
	%	50.00	%	50.00	%	50.00	%	50.00	%	50.00	%	50.00	%	50.00

PR Comments ⓘ

▼ **Coverage Indicator Disaggregation** Testing type: [v] View disaggregation

Result	Required Disaggregation	PR Results
Rapid diagnostic test	N:	<input type="text"/>
	D:	<input type="text"/>
	%	<input type="text"/>
	Data source	<input type="text"/>



The **numerator [N]**, **denominator [D]** or **percentage** results are entered for the required disaggregation in the assigned fields.

Data Source is also entered.

Additional information related to the disaggregated results is entered in the **comments box** below each disaggregation category, including other contextual information explaining the results, trends and data quality.

Example: Coverage Disaggregation

Disaggregation results entry



Impact Indicator Disaggregation

[< Previous indicator](#) [Next indicator >](#) [Close](#)

Impact Indicator

Indicator Code: Malaria I-1 Malaria I-1 Reported malaria cases (presumed and confirmed) **XXX-Z-PR1P02**

Baseline (Date Source): HMIS **Year 2022** **N:** 3,382,676 **D:** % **Target** **Year 2024** **N:** 3,303,244 **D:** % [Refresh](#)

PR Results (01-Jan-24 to 31-Dec-24)

Result	N:	<input type="text"/>
	D:	<input type="text"/>
	%	<input type="text"/>
	Year	<input type="text"/>
	Data source	<input type="text"/>

PR Comments ⓘ

PR data is imported

Impact / Outcome Disaggregation

Age [View disaggregation](#)

PR Results

Result	15+	N:	<input type="text"/>
		D:	<input type="text"/>
		%	<input type="text"/>
		Year	<input type="text"/>
		Data source	<input type="text"/>
		Comments	<input type="text"/>

Disaggregated results are entered here

Coverage Indicator Disaggregation

[< Previous indicator](#) [Next indicator >](#) [Close](#)

Coverage Indicator

Indicator Code: CM-1a CM-1a Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities **XXX-Z-PR1P02**

Scope of Targets: Geographic National, 100% of national program target **Cumulation Type:** Non cumulative

Baseline (Date Source): 2022 Annual Report **Year 2022** **N:** 2,479,843 **D:** 2,574,160 % 96.34% **Target(Oct - Dec)** **Year 2022** **N:** 1,361,383 **D:** 1,361,383 % 100.00% **Reverse Indicator:** No

Related Elementary Indicators	Q1		Q2		S1		Q3		Q4		S2		Annual	
	Jan-2024 to Mar-2024	Apr-2024 to Jun-2024	Jan-2024 to Jun-2024	Jul-2024 to Sep-2024	Oct-2024 to Dec-2024	Jul-2024 to Dec-2024	Jan-2024 to Dec-2024							
PR Results	N	1,000	N	1,000	N	2,000	N	1,000	N	1,000	N	2,000	N	4,000
	D	2,000	D	2,000	D	4,000	D	2,000	D	2,000	D	4,000	D	8,000
	%	50.00	%	50.00	%	50.00	%	50.00	%	50.00	%	50.00	%	50.00

[Refresh](#)

PR Comments ⓘ

PR data is imported

Coverage Indicator Disaggregation

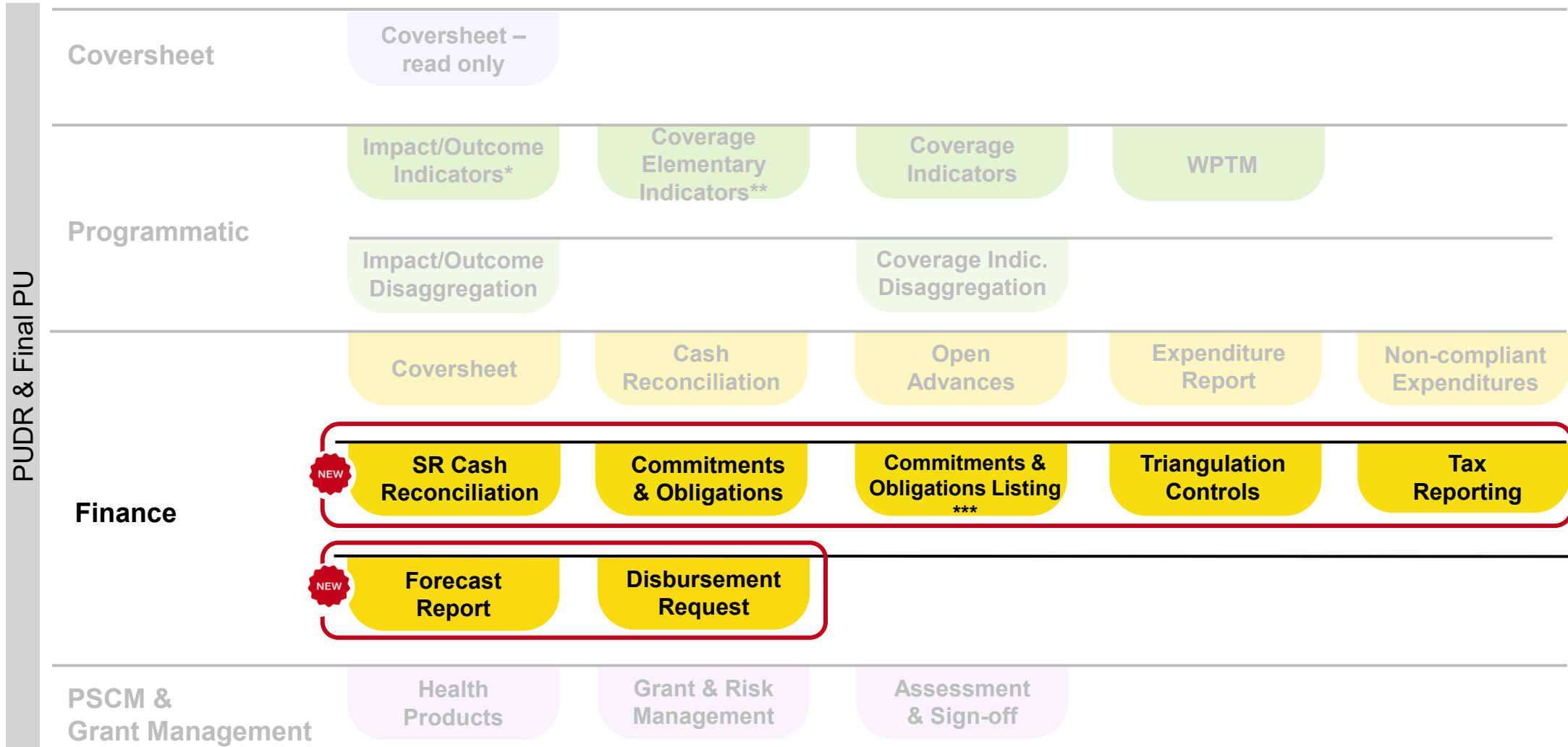
Testing type [View disaggregation](#)

PR Results

Result	Rapid diagnostic test	N:	<input type="text"/>
		D:	<input type="text"/>
		%	<input type="text"/>
		Data source	<input type="text"/>
		Comments	<input type="text"/>

Disaggregated results are entered here

Focus on the new content included in the PUDR & Final PU



* Only appears with quarterly reported Outcome Indicators

** GC7 only

*** Final PU only

Financial Reporting – SR Cash Reconciliation



- Coversheet
- Cash Reconciliation
- Open Advances
- SR Cash Reconciliation**
- Commitments & Obligations
- Commitments & Obligations Listing
- Expenditure Report
- Triangulation Controls
- Tax Reporting
- Non-compliant Expenditures

Funding source breakdown to split Sub-recipient cash position by funding source

Regular Funds C19RM Total

The SR Cash Reconciliation largely remains the same.

11. Net exchange gains/(losses) on translation of balances has been introduced in the SR Cash Reconciliation to clearly identify those forex impacts.

Totals								
#	(1) Sub-recipient Name	(2) Cumulative sub-recipient expenses for previous financial reporting periods at PR level	(3) SR open advances at beginning of financial reporting period at PR level	(4) Disbursements made by the PR or directly by the Global Fund during the current financial reporting period	(5) Other income during the current financial reporting period	(6) Expenditures validated by the PR during the current financial reporting period	(7) Refunds received from the SR	(11) Net exchange gains/(losses) on translation of balances
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Financial Reporting – Commitments and Obligations



- Coversheet
- Cash Reconciliation
- Open Advances
- SR Cash Reconciliation
- Commitments & Obligations**
- Commitments & Obligations Listing
- Expenditure Report
- Triangulation Controls
- Tax Reporting
- Non-compliant Expenditures

Funding source breakdown to split Commitments and Obligations by funding source

The reporting of Commitments and Obligations are pre-populated based on the information entered in the Commitments and Obligations listing section

> Errors and Warnings Check data quality

Principal Recipient financial commitments obligations

Regular Funds	C19RM	Total
---------------	-------	-------

Item No.	Description	Previous financial reporting period	Current financial reporting period	1. Comment on cash flows 2. Outline the data source(s) 3. Provide any other contextual information
7.1a	PR total financial commitments	<input type="text" value="-"/>	<input type="text"/>	<input type="text"/>
7.1b	SR(s) total financial commitments	<input type="text" value="-"/>	<input type="text"/>	<input type="text"/>
7.2a	PR financial obligations		<input type="text"/>	<input type="text"/>
7.2b	SR(s) financial obligations		<input type="text"/>	<input type="text"/>
7.2c	Accrued severance		<input type="text"/>	<input type="text"/>
7.4	Total financial commitments & financial obligations		<input type="text"/>	<input type="text"/>

Financial Reporting – Commitments and Obligations Listing



- Coversheet
- Cash Reconciliation
- Open Advances
- SR Cash Reconciliation
- Commitments & Obligations
- Commitments & Obligations Listing**
- Expenditure Report
- Triangulation Controls
- Tax Reporting
- Non-compliant Expenditures

Funding source breakdown to split listing details by funding source

Regular Funds C19RM Total

There is **no change in the content** of the Listing of Commitments and Obligations.

- Financial Commitments**
- Financial Obligations
- Accrued Severance

Financial Commitments		
#	Module	Intervention
	1 Removing human rights and gender related barriers to TB services	Human rights, medical ethics and legal literacy
	2 PMTCT	Prong 2: Preventing unintended pregnancies among women living with HIV
+ Add Module		

- Additional columns:
- Activity description
 - Cost Input
 - Implementers
 - Type of Implementer
 - Amount in IP currency
 - Delivery date
 - Payment date
 - Final payment amount
 - Approved exception
 - Comments

The commitment and obligations listing will populate the commitment and obligations tab.

- **Financial commitments** with type of implementer as **PR** will populate Item **7.1a**
- **Financial commitments** with type of implementer as **SR** will populate Item **7.1b**
- **Financial obligations** with type of implementer as **PR** will populate Item **7.2a**
- **Financial obligations** with type of implementer as **SR** will populate Item **7.2b**
- **Accrued Severance** will populate Item **7.2c**

Financial Reporting – Triangulation – Controls



- Coversheet
- Cash Reconciliation
- Open Advances
- SR Cash Reconciliation
- Commitments & Obligations
- Commitments & Obligations Listing
- Expenditure Report
- Triangulation Controls**
- Tax Reporting
- Non-compliant Expenditures

The expenditure check serves as an arithmetic check on the cumulation of the expenditures, by cumulating standalone period expenditures and comparing them against the reported period expenditures to check if there was an error, in which case it needs correction.

Item No.	Description	Extracted from PUDRs					Principal Recipient		Comments
		Year 1	Year 2	Year 3	Year 4	Year 5	Period	Cumulative	
13.2	Expenditure Check								
13.2.1	Expenditure recognized for the year	0	21,736,165	0			(100,000,000)		
13.2.2	Cumulative expenditure as per check	0	21,736,165	0			(100,000,000)		
13.2.3	Cumulative expenditure as reported in Expenditure Report						(100,000,000)		
13.2.4	Variance	0	21,736,165	0			0		

Financial Reporting – Triangulation – Controls



- Coversheet
- Cash Reconciliation
- Open Advances
- SR Cash Reconciliation
- Commitments & Obligations
- Commitments & Obligations Listing
- Expenditure Report
- Triangulation Controls
- Tax Reporting
- Non-compliant Expenditures

The split of cash balance by funding sources generally contains automated cell except for the 13.3.5 Adjustment to C19RM cash balance filed that is used for the examples mentioned previously.

Item No.	Description	Extracted from PUDRs					Principal Recipient		
		Year 1	Year 2	Year 3	Year 4	Year 5	Period	Cumulative	Comments
13.3.1	Opening cash balance C19RM								...
13.3.2	Disbursement C19RM								...
13.3.3	Expenditure C19RM 2020								...
13.3.4	Expenditure C19RM 2021								...
13.3.5	Adjustment to C19RM cash balance								...
13.3.6	Closing Cash balance C19RM								...
13.3.7	Closing Cash balance Regular Funds								...

Financial Reporting – Tax Reporting



- Coversheet
- Cash Reconciliation
- Open Advances
- SR Cash Reconciliation
- Commitments & Obligations
- Commitments & Obligations Listing
- Expenditure Report
- Triangulation Controls
- Tax Reporting
- Non-compliant Expenditures

The overall information remains the same as the PUDR, however the structure has changed for better understanding.

The information will still be provided by PR and SRs in grant currency. The totals are calculated automatically.

Grant Tax Exemption Status		Import Duties	No Exemption	Partial
Item No.	Description	PR	SR	TOTAL
12.1	Balance of Taxes Unrecovered at the start of the Reporting Period			0
12.2	Taxes Paid During the Reporting Period			0
12.3	Taxes Recovered from Tax Authorities during the Reporting Period			0
12.4	Closing Balance of Taxes Not Yet recovered from Tax Authorities	0	0	0
12.5	Total Taxes Reimbursed by Implementer to Grant Account during the Reporting Period			0
12.6	Total Taxes Reimbursed by Implementer to Global Fund during the Reporting Period			0
12.7	Balance of Taxes Unrecovered at the end of the Reporting Period	0	0	0

Tax information comments

Final Tax Statement for the Implementation Period

Period	Balance of taxes unrecovered at the start of the Reporting Period	Taxes paid during the Reporting Period	Taxes recovered from tax authorities during the Reporting Period	Total taxes reimbursed by Implementer to Grant Account	Total taxes reimbursed by Implementer to Global Fund	Total taxes remain unrecovered at end of the Reporting Period
null to null	0			0	0	
Jul-2021 to Mar-2022	0	80,143	0	0	0	80,143
Oct-2022 to Mar-2023	0	51,105	205,808	0	0	-154,703
Oct-2023 to Mar-2024	0			0	0	
Closure	0	0	0	0	0	0
Total	0	131,248	205,808	0	0	-74,560

Note: Unrecovered taxes are considered non-compliant. However, those should NOT be duplicated in the “Non-compliant Expenditures section”.

Financial Reporting – Forecast Report



Forecast Report

Disbursement Request

The PR needs to consider several factors in determining its forecast of cash needs, such as program absorption capacity, unit price changes, financial commitments & economic factors, etc. The forecast is broken down in three tables by Costing Dimensions, Module / Intervention, and Implementing Entity.

▼ A. Breakdown by Costing Dimension

	7,317,344	0	7,317,344	0	0	0	0	0
Cost Input	Total IP budget	Cumulative expenditure at the end of the current financial reporting period	Expenditure gap	Forecast Y2	Forecast Y3	Updated forecast until end of IP	Planned utilization	Provide comment on updated forecast
1.1 Salaries - program management	1,699,993	0	1,699,993	0	0	0	0	
1.4 Other HR Costs	99,283	0	99,283	0	0	0	0	
1.6 Salaries - community-based, incl. Community Health Workers (CHWs) and outreach w	1,211,732	0	1,211,732	0	0	0	0	
1.7 Salaries - facility-based, including medical staff and other service providers	297,623	0	297,623	0	0	0	0	
2.1 Training related per diems/transport/other costs	827,235	0	827,235	0	0	0	0	
2.3 Supervision related per diems/transport/other costs	188,278	0	188,278	0	0	0	0	
2.4 Meeting/Advocacy related per diems/transport/other costs	807,791	0	807,791	0	0	0	0	
2.5 Other Transportation costs	32,216	0	32,216	0	0	0	0	
2.6 Surveys/data collection related per diems/transport/other costs	138,777	0	138,777	0	0	0	0	
3.1 Technical Assistance Fees/Consultants	89,170	0	89,170	0	0	0	0	
3.3 External audit fees	44,591	0	44,591	0	0	0	0	
3.4 Other external professional services	441,515	0	441,515	0	0	0	0	
9.3 Other non-health equipment	4,506	0	4,506	0	0	0	0	
10.1 Printed materials (forms, books, guidelines, brochure, leaflets, etc.)	62,169	0	62,169	0	0	0	0	
10.2 Television/Radio spots and programmes	2,287	0	2,287	0	0	0	0	
10.3 Promotional Material (t-shirts, mugs, pins...) and other CMP costs	16,782	0	16,782	0	0	0	0	

Financial Reporting – Disbursement Request



Forecast Report

Disbursement Request

Funding source breakdown to split listing details by funding source


The PR enters amounts for the Execution Period & Buffer Period and totals are calculated automatically.

Regular Funds

Total forecasted net cash expenditures by the Principal Recipient for the coming financial reporting period (immediately following the current financial reporting period covered by the Progress Update)

#	Implementation Quarter	Execution Period				Execution Period Total
		01-Jan-25 to 31-Dec-25				
1	Approved Budget	1,967,807				0
2	Forecast net of commitments	100	200	3,000	0	19
3	Liquidation of commitments	103	200	3,000	0	
4	Total Forecast	203	400	6,000	0	19
5	Cash balance at the end of current financial reporting period covered by Progress Update	708,546				
6	Open advances at the end of the current financial reporting period	0				
7	Cash in transit for the current financial reporting period (Disbursements to PR)	0				
8	Cash in transit for the current financial reporting period (Third party disbursements)	0				
9	Cash in transit after the current financial reporting period (Disbursements to PR)	0				
10	Cash in transit after the current financial reporting period (Third-party disbursements)	0				
Disbursement Request		0	0	0	0	0

Focus on new content included in the PUDR & Last PU

PUDR & Final PU	Coversheet	Coversheet – read only				
	Programmatic	Impact/Outcome Indicators*	Coverage Elementary Indicators**	Coverage Indicators	WPTM	
		Impact/Outcome Disaggregation	Coverage Indic. Disaggregation			
	Finance	Coversheet	Cash Reconciliation	Open Advances	SR Cash Reconciliation	Commitments & Obligations
		Commitments & Obligations Listing	Expenditure Report	Triangulation Controls	Tax Reporting	Non-compliant Expenditures
	Finance (Disbursement)	Forecast Report	Disbursement Request			
PSCM & Grant Management	Health Products	Grant & Risk Management	Assessment & Sign-off 			

* Only appears with quarterly reported Outcome Indicators

** GC7 only

PR Self-assessment & Sign-off

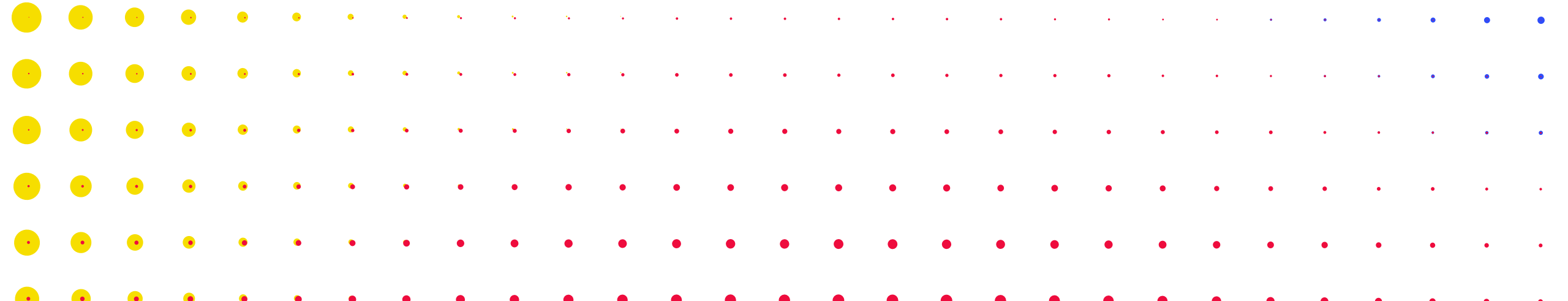
PR requirements:

- Provide a summary of the grant performance for the reporting period. For the PUDR, a forward-looking assessment on the grant delivery by module is completed. This is not required for the Final PU.
- The PR signatory signs off on the PUDR & Final PU and the signed-off Section B 'PR sign-off' table is attached in the 'Download' tab in the Portal.



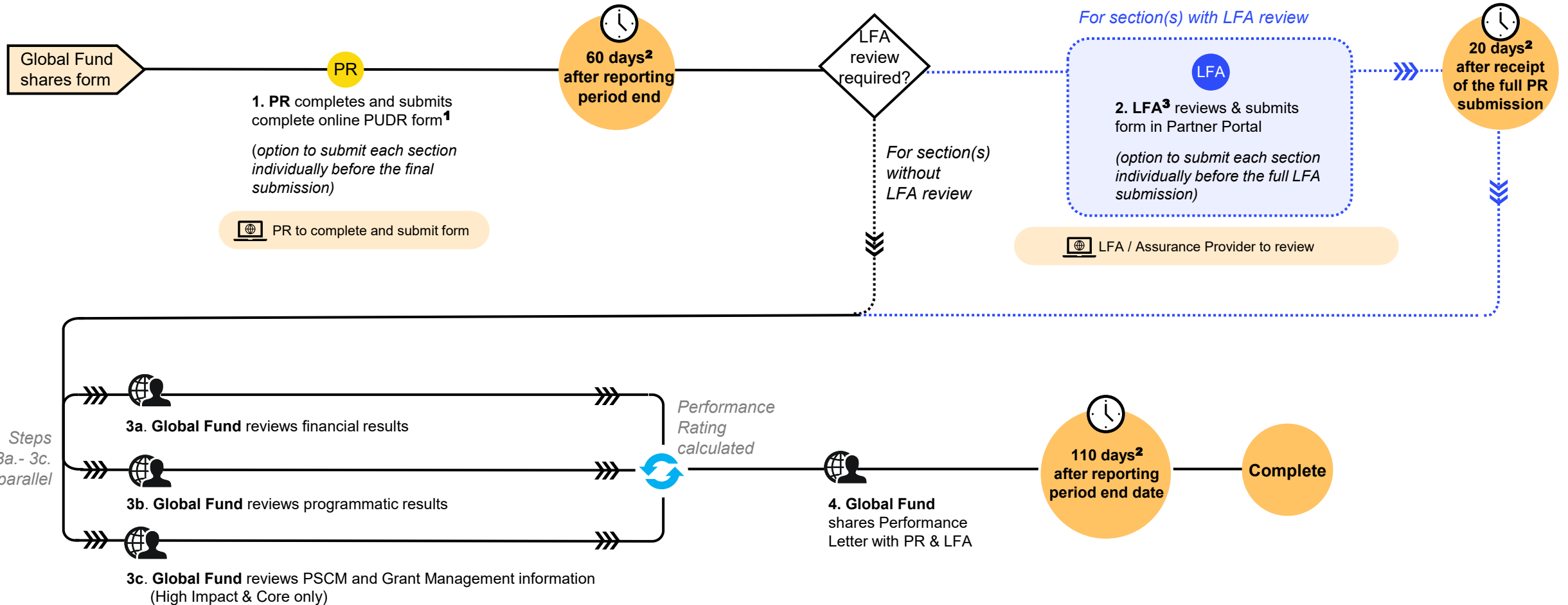
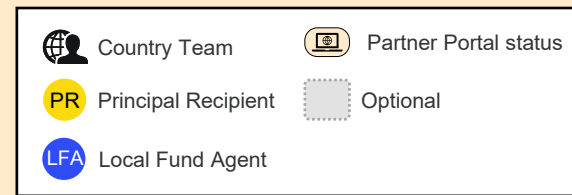
3

PUDR & Final PU Process



PUDR Reporting*

Overview of Process & Statuses






¹ PRs are strongly encouraged to directly enter data into the online form. A supporting Excel is available for data collection & collaboration, which can be imported into the online form. It cannot be used for submission to the Global Fund.

² Calendar days.


³ LFA or other Assurance Provider.

* Same process for Final PU.


Notifications have been updated to deliver a transparent end-to-end overview for CTs, LFAs, PRs and CCMs

Step	CCM (PR in cc) 	PR (CT in cc) 	LFA (CT in cc) 	Notification
1. The Global Fund verifies and shares the form with PR		●		The form is available
2. PR receives notification and accesses online form in portal				
3. PR completes and submits complete online form	●	●	●	PR has submitted the form
4. LFA accesses form in the Partner Portal				
5. LFA completes & submits form in Partner Portal			●	LFA has submitted the form
6. Global Fund review				
Complete		●		The form has been reviewed


Reminders




To submit form, 1 email per week starting from date of availability + 7 days if the form is not accessed




To submit form 5 and 0 days before submission date




Form overdue, 1 day after submission due date, and then every 2 days past submission due date



To submit form, 1 email per week starting from date of availability + 7 days if the form is not accessed



To submit form 5 and 0 days before submission date



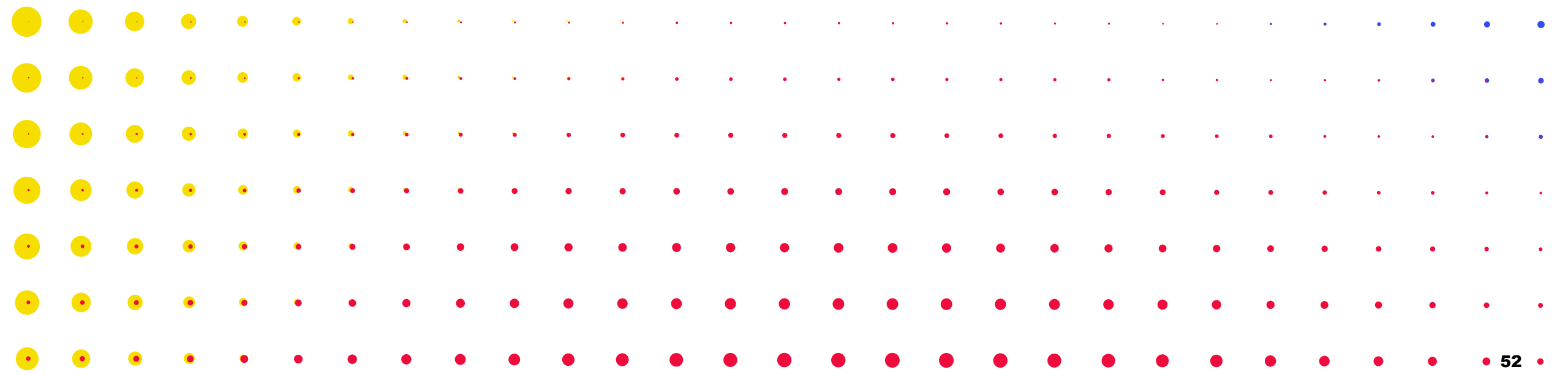
Form overdue, 1 day after submission due date, and then every 2 days past submission due date

Note: There are further notifications in case of request for re-submissions



4

Performance Rating





The Global Fund assesses grant performance based on PR reporting in the Progress Update by using:

- Coverage indicator results to confirm the **Programmatic Rating**
- Budget Utilization and In-country Absorption to confirm the **Financial Rating**.

The Programmatic and Financial Ratings are combined to establish the **Grant Performance Rating** once per year.

No change

Grant Performance Rating

Programmatic Rating

To what extent is the program delivering the expected results?

Average Performance
All Coverage Indicators

- A** $\geq 100\%$
- B** 90-99%
- C** 60-89%
- D** 30-59%
- E** $< 30\%$

Financial Rating

To what extent is the budget utilized as agreed?

In-Country
absorption

80%

+

Budget
Utilization

20%

- 1** $\geq 95\%$
- 2** 85-94%
- 3** 75-84%
- 4** 65-74%
- 5** $< 65\%$

New methodology for calculating the Programmatic Rating

Calculation

Starting with all coverage indicators

1

Average Performance All Indicators



2

All Indicators Rating

- A** >=100%
- B** 90-99%
- C** 60-89%
- D** 30-59%
- E** <30%



3

Quantitative Indicator Rating



4

Programmatic Rating

- A** **B** **C** **D** **E**

Rules

Coverage Indicator Achievement

- <30%
- 30-59%
- 60-89%
- 90-99%
- >=100%

Indicator Performance Cap

If an indicator performance is above 120% then it will be capped at 120% for the calculation of the *Average Performance All Indicators*.

UPDATED: Automatic Downgrading Rule

See next slide

Programmatic Technical Adjustment

In some specific scenarios, the *Quantitative Indicator Rating* may not reflect the actual program performance i.e. linkages and correlation across indicators. **CTs may consider a Programmatic Technical Adjustment** to upgrade or downgrade rating, which needs to be approved by MECA.

Example

Active indicators used in the programmatic rating calculation				
Active indicator name	Country	Target value	Result value	Percentage
1 VC-6.1: Proportion of population protected by IRS within the last 12 months in areas targeted for IRS		N: 312,796 D: 347,743 P: 90.00%	N: 129,828 D: 347,743 P: 37.30%	41%*
2 VC-5: Proportion of households in targeted areas that received Indoor Residual Spraying during the reporting period		N: 74,517 D: 82,796 P: 90.00%	N: 48,217 D: 82,796 P: 58.20%	65%
3 PMTCT-1: Percentage of pregnant women who know their HIV status		N: 10,029 D: 10,150 P: 98.80%	N: 6,568 D: 8,733 P: 75.20%	76%
4 PMTCT-3.1: Percentage of HIV-exposed infants receiving a virological test for HIV within 2 months of birth		N: 88 D: 88 P: 100.00%	N: 50 D: 65 P: 76.90%	77%
5 TCP-1M: Number of notified cases of all forms of TB (i.e. bacteriologically confirmed + clinically diagnosed), new and relapse cases		N: 219 D: - P: -	N: 188 D: - P: -	86%
6 TCS-1.1: Percentage of people on ART among all people living with HIV at the end of the reporting period		N: 4,545 D: 5,050 P: 90.00%	N: 2,887 D: 3,523 P: 81.40%	90%
7 TCP-2M: Treatment success rate- all forms: Percentage of TB cases, all forms: bacteriologically confirmed plus clinically diagnosed, successfully treated (cured plus treatment completed) among all TB cases registered for treatment during a specified period, new and relapse cases		N: 214 D: 225 P: 95.10%	N: 151 D: 168 P: 89.90%	95%
8 YP-1a: Percentage of young people aged 10-24 years attending school reached by comprehensive sexuality education and/or life skills-based HIV education in schools		N: 9,035 D: 11,294 P: 80.00%	N: 8,918 D: 11,294 P: 79.00%	99%
9 CM-1a: Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities		N: 16,312 D: 16,312 P: 100.00%	N: 15,063 D: 15,063 P: 100.00%	100%
10 CM-5M: Percentage of confirmed cases fully investigated and classified		N: 38 D: 38 P: 100.00%	N: 37 D: 37 P: 100.00%	100%
11 M&E-5: Percentage of facilities which record and submit data using the electronic information system		N: 22 D: 22 P: 100.00%	N: 22 D: 22 P: 100.00%	100%
12 MDR TB-3M: Number of cases with RR-TB and/or MDR-TB that began second-line treatment		N: 5 D: - P: -	N: 5 D: - P: -	100%
13 TB-HIV-5: Percentage of HIV-positive new and relapse TB patients on ART during TB treatment		N: 20 D: 20 P: 100.00%	N: 22 D: 22 P: 100.00%	100%
14 KP-1a: Percentage of men who have sex with men reached with HIV prevention programs - defined package of services		N: 704 D: 1,700 P: 41.40%	N: 938 D: 1,700 P: 55.20%	120%*
15 KP-1c: Percentage of sex workers reached with HIV prevention programs - defined package of services		N: 1,541 D: 1,712 P: 90.00%	N: 1,843 D: 1,712 P: 107.70%	120%*

1

1

2

3

4

Programmatic rating	
1 Average performance all indicators:	91%
2 All indicators rating:	B
3 Quantitative indicator rating:	B
Programmatic technical adjustment:	Not Applied
Management adjustment:	Not Applied
4 Programmatic rating	B

New rule for automatic downgrading

An automatic downgrade is applied if a proportionate number of indicators achieve less than 60% of their target during the reporting period. Here are the specific rules for different grant scenarios:

- **Grants with a maximum of 10 indicators in their Performance Framework during the reporting period:** **No change** with the current rule. These grants are downgraded if at least one indicator performs below 60% of the target.
- **Grants with between 11 and 20 indicators:** **New rule** - These grants are downgraded if at least two indicators perform below 60% of the target.
- **Grants with 21 to 30 indicators:** **New rule** - Require three indicators to trigger a downgrade.
- **Grants with 31 to 40 indicators:** **New rule** - Require four indicators to trigger a downgrade.

And so on for grants with larger indicator counts.

If the above condition applies the final *Quantitative Rating* is downgraded by one rating level unless the *All Indicators Rating* is C, D or E.

There is no change to the methodology for calculating the Financial Rating



Calculation

1

Financial Metrics

In-Country absorption

$$\frac{\text{Cum. Expenditure}}{\text{Cum. Budget}}$$

Budget Utilization

$$\frac{\text{In - country Cash} + \text{Cum. Disbursement}}{\text{Cum. Budget}}$$

2

Financial Rating Percentage



3

Financial Rating

1 2 3 4 5

Rules

Weights

80% *In-Country Absorption*

+

20% *Budget Utilization*

Financial Rating %

- 1 >=95%
- 2 85-94%
- 3 75-84%
- 4 65-74%
- 5 <65%

Example

1	In-Country Absorption (%)	
	Cumulative Expenditure: \$ 67,119,097	
	Cumulative Budget: \$ 74,596,793	
		90%

2	Budget Utilization (%)	
	Cumulative Disbursement: \$ 49,134,178	
	In-Country Cash Balance \$ 29,017,593	
		105%

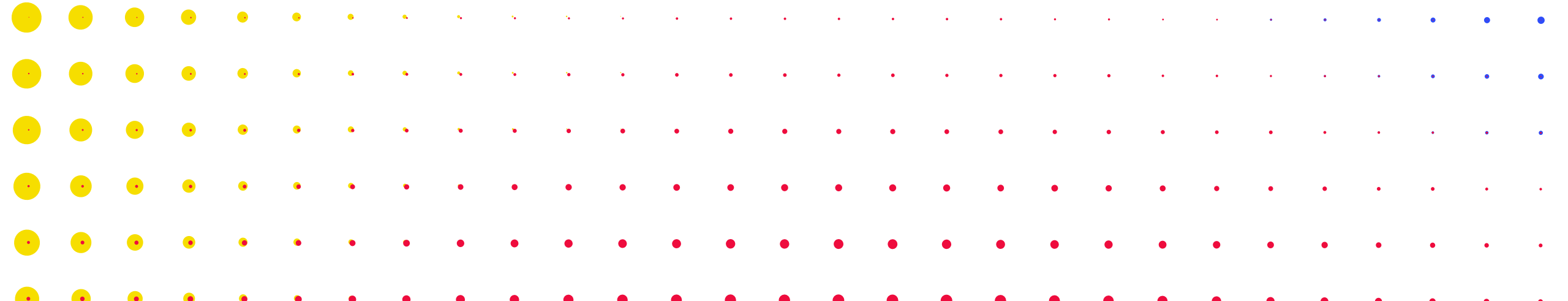
② $90\% \times 0.8 + 105\% \times 0.2 = 93\%$

Financial Rating	
Management Adjustment	Not Applied
Financial Rating	2



5

Next Steps & Resources



Information Sessions

The final information sessions on the PUDR & Final PU are taking place in December and January.

PU + PUDR					
December / January					
External	<i>Today</i>	English	French	Spanish	
		<i>5 December, 10-12.30</i> Info Session and Q&A	<i>10 December, 13-15.30</i> Info Session and Q&A	<i>Week of 14 January 2025</i> Session and Q&A (TBC)	
	Audience:		PRs & CCMs		

Resources

Resources	Links
Information sessions	Information session slides and recordings
Policy	Implementation Oversight Operational Policy Note (OPN) in the Operational Policy Manual
Guidance	PR Reporting Handbook: Interactive Guide for PRs: English Français Español <i>(currently being updated for PUDR)</i>
	PR Reporting Handbook: Interactive Guide for LFAs: English <i>(currently being updated for PUDR)</i>
	Partner Portal screen preview: PU FCR (support document only; English only)
Infographics: Overview of Process & Statuses	PC: English Français PU: English Français FCR: English Français Español PU/DR: <i>coming soon</i>
Demos	Demo videos: External
Website for more resources	Grant Implementation page on the Global Fund website

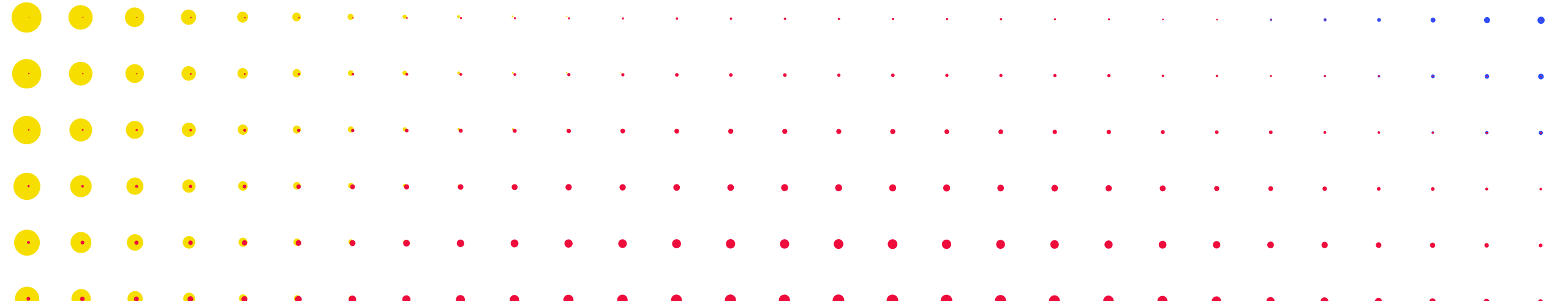
Resources

Resources	Links
Monitoring & Evaluation	GC7 Modular Framework Handbook English French Spanish
	GC7 Indicator Guidance sheets: Landing page (available in English French, Spanish)
	C19RM Modular Framework handbook - English
	C19RM Indicator Guidance sheets – English French Spanish
Technical support for PRs & LFAs	Contact: country.support@theglobalfund.org (English, French, Spanish)



6

Questions & Answers



Questions & Answers

1. If data is entered directly as recommended (instead of using the supporting Excel), does the system have the functionality to download submitted data into Excel (to keep it for internal record/analysis)? This functionality was not available for Semester 1 2024 reporting.

A: There is no functionality for the PR to extract the data from online form into an Excel. However, starting next year, a PDF version of the PR submission will be available in the Portal for the PR to download upon submitting the full form.

2. If we import information using the Excel template, does it complete the form from the data coming from the Excel?

A: Yes. Upon attaching and importing the supporting Excel, the data from the Excel will be imported into your online form.

Questions & Answers

3. Can other staff personnel besides, those who have Editor rights access Partner Portal, complete the PUDR online (we currently we have only 3 persons as Editors)? Or do we need first to register them as Editors? Is there is a limit for number of Editors from the PR side?

A: Users with both 'Editor' and 'Submitter' rights can access the Partner Portal and complete the PU/DR. Only users with 'Submitter' rights can submit sections or the full form to the Global Fund. Please ensure to update your Grant Entity Data with all users that are required to work on PR reports. At a minimum, each grant / portfolio is required to have one Submitter. It is recommended to have two submitters per grant / portfolio, but no more. Editor rights are assigned per grant / portfolio, and one contact can be Editor for either one or multiple grants / portfolios.

Questions & Answers

4. We've observed earlier during the PU submission that the 'cost input' and 'module' list in the Supporting Excel file and online form are not listing in same sequence for the Expenditure Report sheet. Is it now fixed for next PU/DR reporting?

A: Thank you for sharing this feedback. We will take this into consideration for the upcoming reports.

Questions & Answers

5. What is the difference between coverage and coverage elementary indicators?

A: Elementary indicators are the numerators [N] and denominators [D] of the GC7 standard coverage indicators in the latest Performance Framework. They are used to construct the standard coverage indicators on the Coverage Indicators tab. The list of standard coverage indicators, with their numerators and denominators (the elementary indicators) can be found in the GC7 Indicator Guidance Sheets.

Note: There are no elementary indicators for GC6 Regular and C19RM grants, nor for GC7 custom indicators.

Questions & Answers

6. There are instances where an error appears when the numerator is greater than the denominator. In most cases, there is no error but the person entering data must provide a justification to nullify the error message. How can this be avoided or improved in the future?

A: This warning was created to catch results that are input incorrectly. Typically, the result numerator should be a part of the result denominator, and in majority of cases, should be less than or equal to the denominator. If the results are correct, and the numerator is greater than the denominator, then a justification needs to be provided by the user. This justification provides clarity for anyone reviewing the results, eliminating questions about erroneous data entry.

Questions & Answers

7. It is great to see that the Global Fund will add the function of downloading the final submission in PDF file. Can we also have the similar function (download in PDF) after the Global Fund has fully reviewed for our reference? Also, can we download the PDF file for the FCR and PU report reports submitted for Jan-Jun 2024?

A: There is currently no functionality for the CT to download a PDF after they have fully reviewed the form. The PDF of PR submission will only be available for future reports starting next year.

Questions & Answers

9. Is there any implication if we change the programmatic data of S1 in the PUDR, the S1 reports having been reviewed by Global Fund Country Team?

A: PRs can update previously reported results at the PU and PUDR. Updating previously reported allows PRs the opportunity to adjust what's been reported based on latest available implementation data, thus providing the most accurate results regarding indicator achievement and programmatic performance. Note that results can only be updated within the same implementation year.

Questions & Answers

10. The Forecast Report is replacing revisions?

A: No. PRs can still do revisions outside of the PUDR reviews. This is an additional tool provided to PRs to re-assess their forecasts.

11. Looking at the Forecast Report requested, our understanding is that the Global Fund is asking for a fully revised budget per cost input until the end of the grant. Can you confirm this understanding is correct?

A: No. The PR can confirm that the current budget still reflects the timing and costing of the interventions agreed upon at grant making stage. This forecast allows PRs to adjust their projected workplan as part of the PUDR reporting.

Questions & Answers

12. Will the PR have visibility of cumulated data calculated by this system before the report submission or will cumulation be done post-submission? In case of the latter, if there are any errors in the cumulation – will the PR be able to provide feedback to the Global Fund Country Team before the Performance Letter is issued?

A: Programmatic data is automatically cumulated within the Coverage indicator tab of the report, based on the cumulation type chosen within the latest signed grant Performance Framework. The PR can see this cumulation in real-time as they are entering results and should verify the information is accurate prior to submission. Should there be any issues with cumulation, PRs are encouraged to reach out to Country Support for assistance.

For Finance, expenditure data is shown for the period and also cumulatively as of the end of that period for all PU and PUDR reports.

Questions & Answers

13. Does a revision need to wait for PUDR submission? Or is it initiated by the PR ?

A: A revision can be initiated by PR at any point in time. But additional flexibility is provided for PRs to do that as part of PUDR reporting.

14. We can generate the Tax Report from our accounting software or do we have to complete your template.

A: You can generate but it has to be completed in the format of the PUDR.

15. Where can we access the reviewed FCR report, so we have the validated cash balance for the NFM3 ended grant?

A: This will be communicated by the Global Fund together with the adjustments made.

Questions & Answers

16. Regarding the Forecast Report, if Global Fund approval is required on certain proposed budget changes, is the Global Fund Country Team approval required before taking them into account in the Forecast Report in the PUDR? If so, there may be concerns about potential delays linked to the approval process.

A: The validation of the PUDR and the accompanying Performance Letter will address this.

Questions & Answers

17. During the S1 2024 reporting, there were some technical issues in the LFA module of the system. As a result, the LFA asked some PRs to share the Excel versions with them. As some PRs had done direct entry of data, as recommended, the Excels were not available and had to be put together as an additional task in response to LFA's request. Have these issues in the LFA module been resolved?

A: The technical issue in the LFA Partner Portal will be resolved for the PUDR and Final PU release. If the LFA continues to face issues in the system, they are recommended to raise the issue to Country Support. Note that the LFA has the functionality in the Portal to extract their online form into an Excel format, which will include the PR submission and the work-in-progress data completed by the LFA in the online form. The PR is not required to put together an additional Excel for LFA's review.

Questions & Answers

18. At the end of Y1, are we required to include commitments and obligations (as implementation continues into Y2)? Shouldn't this be applicable only at the end of the Implementation Period, which is Y3?

A: Commitments and obligations need to be reported annually. Commitments have an impact on triangulation and indicate that funds need to be available to liquidate them in addition to ongoing activities. For Y1 and Y2, the PR can choose to provide the information in their own format

Questions & Answers

19. If the LFA-verified Semester 1 result is different from the PR-reported result, is the PR expected to update the S1-reported figure in line with the LFA-verified results or in line with the latest data available in HMIS/DHIS2 for S1 as of the time of preparation of the S2 report?

A: At S1, once the report is submitted, the PR is not expected to update the results, unless requested by the Global Fund Country Team. At S2, the PR can update all the previously reported results, in line with the latest data available in the country.

Questions & Answers

20. Can you demonstrate how to upload data from the Excel again?

A: We will record a demo for this, which will be available early next year. Detailed instructions are also available in the PR Reporting Handbook: [English](#) | [Français](#) | [Español](#)

21. Regarding the PR Cash Reconciliation, does the PR report the cash payments made during the period or do we report expenditure that includes commitments (accruals)?

A: The PR will report all cash outflows for the period. This includes expenditures that have been paid for (that is, commitments are not included). However, advances are included also as they are outflows for which expenditures have not yet been recorded.