THE GLOBAL FUND

EXTERNAL INFORMATION SESSION

Updates to the PR Reporting Process

Progress Update (PU)

2 July 2024

PC | PU and FCR | PUDR

Agenda

Session 1: 13:00-14:30

Implementation Oversight & Progress Update

1. General Overview: Implementation Oversight in 2024 and Beyond - recap
2. Progress Update (PU) content
3. Progress Update (PU) forms in the Partner Portal
4. Next steps and resources
5. Questions and Answers
6. Break

1

General Overview: Implementation Oversight in 2024 and Beyond

Addressing questions from the Pulse Check information session

The reporting requirements have been reduced considerably

PU

The PC is **80%** **smaller** than the PU

PC

2 per year
(instead of 4)*

-30%

PU data points have reduced by over 30% from previous PU**

-40%

PC data points have reduced by est. 40% from previous PC**

THE GLOBAL FUND

*2 PCs per year per IP, instead of 4 previously (4 PCs if you have a regular IP and a C19RM IP).
**Approximate calculation of data points comparing for an average PC and PU for the same grant.

Addressing questions from the Pulse Check information session

- Data-driven decision-making allows for early intervention.
- More requirements from Board / donor constituencies; critical input to build the business case for the next replenishment.

1. Quarterly monitoring / analysis

Ongoing monitoring of cross-portfolio results

Quarterly monitoring of programmatic and financial and overall grant implementation progress (Pulse Checks, PU/DRs) For CTs and second line teams

2. Reporting

Linking analysis with monitoring and oversight

Updates to Board and GF Senior Mgmt and external stakeholders

Analysis to support Country Portfolio Reviews and support IO

Quarterly Corporate Forecasting

3. Decision-making & guidance

Outputs of data and engagement

Insights to support Strategic Performance Reporting to the Board

Approval of risk mitigation strategies and risk acceptance

Engagement with implementers on bottlenecks, emerging risks, etc.

Managing cash needs at country level

Process

Output

Delivering on the Global Fund Strategy 2023-2028 through IO

OUR PRIMARY GOAL ▶ END AIDS, TB AND MALARIA — WORKING WITH AND TO SERVE THE HEALTH NEEDS OF PEOPLE AND COMMUNITIES

MUTUALLY REINFORCING CONTRIBUTORY OBJECTIVES ▶

- Maximizing People-centered Integrated Systems for Health to Deliver Impact, Resilience and Sustainability
- Maximizing the Engagement and Leadership of Most Affected Communities to Leave No One Behind
- Maximizing Health Equity, Gender Equality and Human Rights
- Mobilizing Increased Resources

EVOLVING OBJECTIVE ▶ Contribute to Pandemic Preparedness and Response

DELIVERED THROUGH THE INCLUSIVE GLOBAL FUND PARTNERSHIP MODEL ▶

Partnership Enablers

Raising and effectively investing additional resources behind strong, country-owned plans, to maximize progress towards the 2030 SDG targets

Operationalized through the Global Fund Partnership, with clear roles & accountabilities, in support of country ownership

Increasing data quality to enable the rapid generation, analysis and use of high-quality, disaggregated data.

Data validation rules to reduce errors during submission

Data correction to ensure accurate results

Reporting through Aggregate Data Exchange (ADEx)

Elementary indicators to reduce manual entry and increase quality

Implementation Oversight (IO) key changes from 2024 (GC6 & GC7)

Improved Data Quality

Data Quality Checks (DQC)

Online data quality checks to ensure first-time right submission

NEW **Results Correction*** from July

Results from prior reporting periods can be updated within the same implementation year

ADEx Integration***

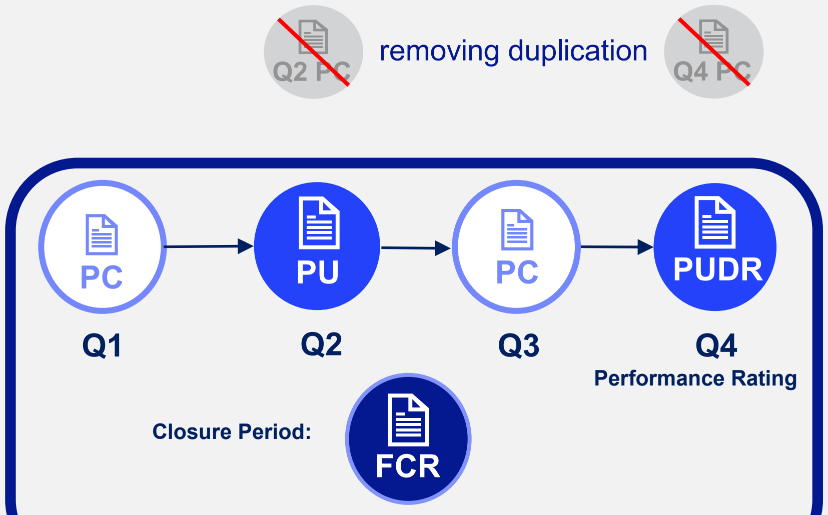
Results feed directly from DHIS into the Partner Portal to reduce manual entry for a defined cohort of PRs

Elementary Indicators

Single entry of common numerators and denominators to reduce repeated data entry, improving data consistency

Integrated Reporting*

Reducing from 4 Pulse Checks to 2 yearly per grant/IP:



Integrated reporting: Previously submitted results are displayed in subsequent reports

New Reporting Flexibilities

NEW **Submission by Section** from July

PRs can submit the Pulse Check and PU/DR section-by-section to allow for timely insights

Systems Enhancements

Reporting via Online Forms

Phased transition to reporting through online PU/DR forms, including Financial Closure Reports

*Impacting HI and Core portfolios only **ADEx - Aggregate Data Exchange DHIS – Data Health Information System

NEW New compared to PC release

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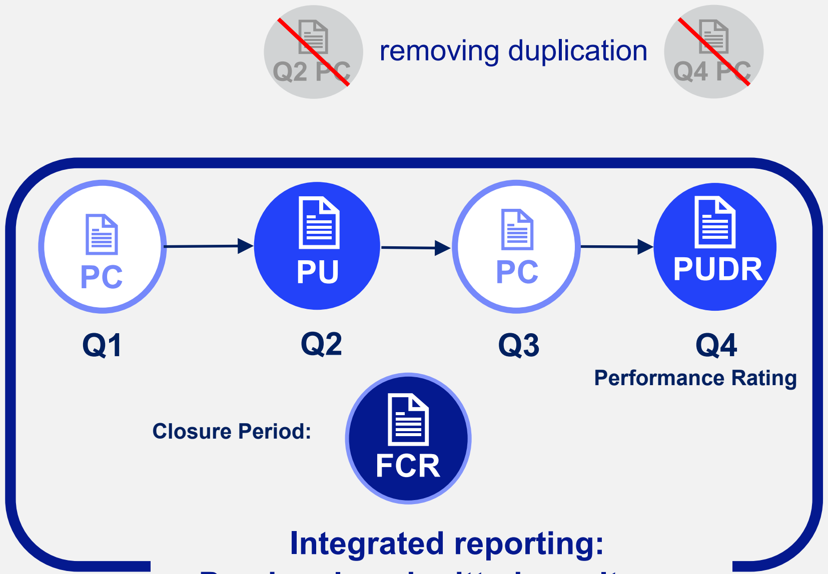

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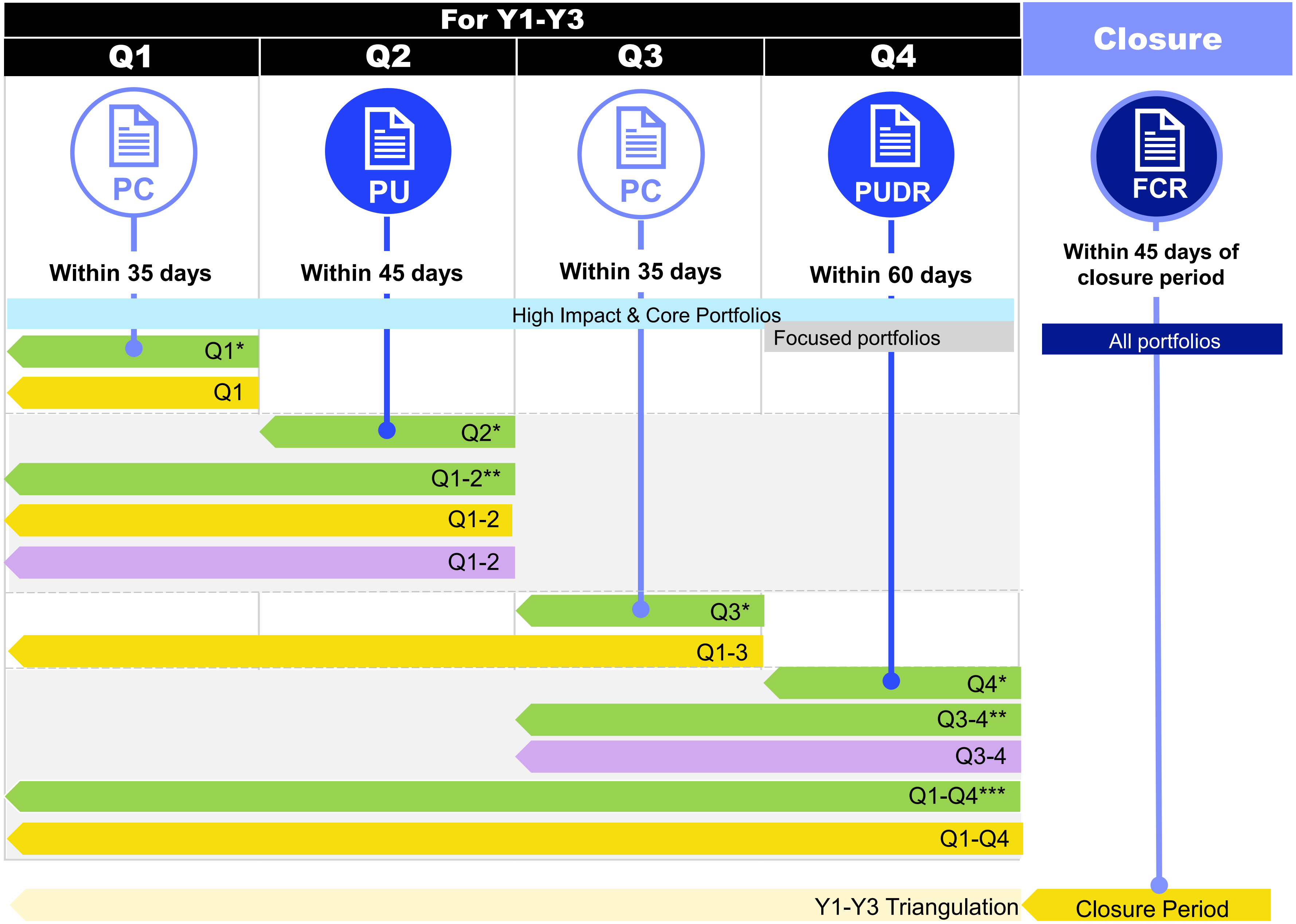
Data is automatically aggregated for integrated reporting across all forms

Programmatic reporting section
- Aggregation of results over the reporting periods (in PU, Q3 PC and PUDR) is automated based on cumulation type in the Performance Framework.
- Results-to-date are displayed on the Coverage indicator landing page.

Financial reporting section
- Each report is cumulative. Example: In Q3 reporting must include Q1-Q3.



Programmatic reporting period
Financial reporting period
Grant Management and PSCM

* For programmatic indicators that are reported on a quarterly basis.
** For programmatic indicators that are reported on a 6-month basis.
*** For programmatic indicators that are reported on an annual basis.

The overall reporting burden for PRs per IP is reduced thanks to the removal of two Pulse Checks per year

Previous

	3-year Implementation Period			
	Year 1	Year 2	Year 3	Closure
GC6 & C19RM IPs	PC; PC, PU; PC; PC, PU DR	PC; PC, PU; PC; PC, PU DR	PC; PC, PU; PC; PC, Last PU	FCR

Starting 2024 – If you have a GC6 IP and a GC7 IP you need to complete separate reports for each

2024

	3-year Implementation Period			
	2024	2025	2026	Closure
GC7 – regular IP	PC; PU; PC; PU DR	PC; PU; PC; PU DR	PC; PU; PC; PU DR	FCR
			Closure	
GC6 & C19RM - IP	PC; PU; PC; PU DR	PC; PU; PC; PU DR	FCR	

Implementation Oversight (IO) key changes from 2024 (GC6 & GC7)

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PR Reporting is fully online

PRs are strongly encouraged to directly enter data into the online form.

NEW **3 ways to enter results:**

1. **Direct entry** of data into online form *(**recommended**)*
2. Enter data into supporting Excel and **attach and import** data into the online form
3. **Copy/Paste** data from a working Excel into online form using Data Import Wizard for 4 financial tabs*

A supporting Excel **cannot** be used for submission to the Global Fund.

Fully online process

1. Access Partner Portal → 2. Enter data in online form → 3. Complete data quality checks in the **online form** → 4. Submit **online form** to the Global Fund

** Functionality on 4 financial tabs; Expenditure Report, Cash Reconciliation, Commitments & Obligations, SR Cash Reconciliation*

NEW *New compared to the Pulse Check*

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Reducing from 4 Pulse Checks to 2 yearly per grant/IP:

Integrated reporting:
Previously submitted results are displayed in subsequent reports

New Reporting Flexibilities

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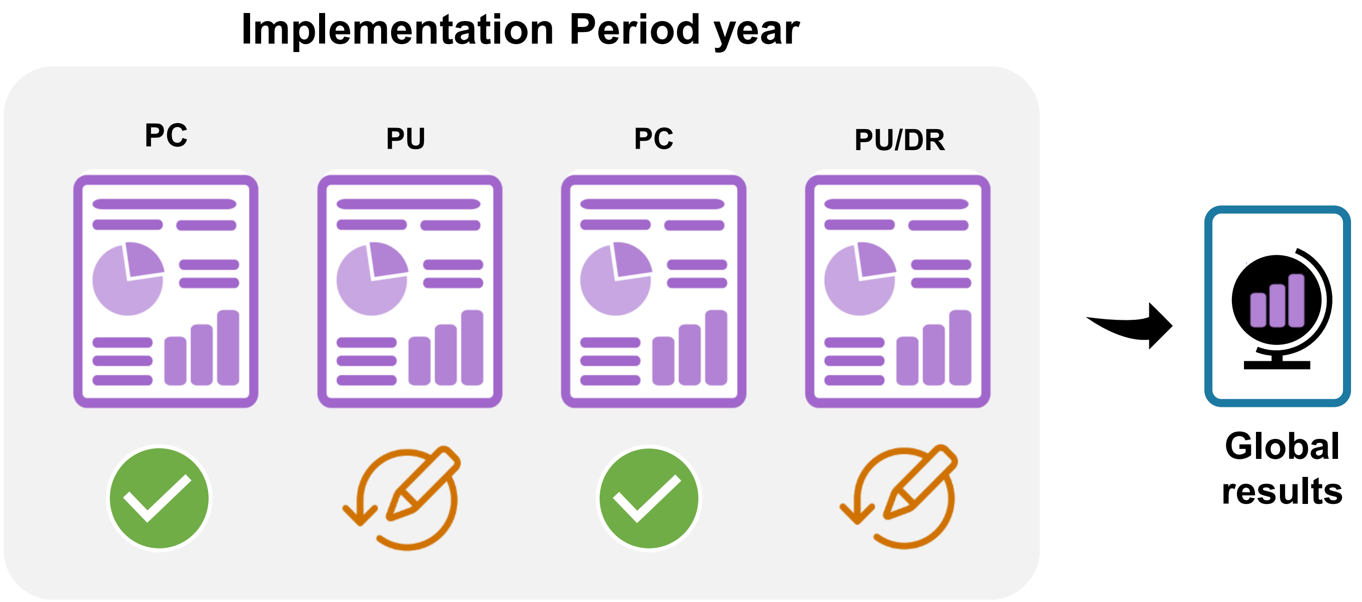
NEW New compared to PC release

Targeted data validation rules and results correction enable more accurate reporting

NEW

Results Correction

- From July 2024, **coverage indicator results** can be **updated or corrected** through the online PU/DR form.
- For example, results reported in the Q1 PC can be updated or corrected during the Q2 PU.
- Updates or corrections can be made within the same IP year.
- This new feature ensures more accurate reporting for the Global Fund to its to donors.



NEW *New compared to the Pulse Check*

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Integrated Reporting*

Reducing from 4 Pulse Checks to 2 yearly per grant/IP:

Q2 PC removing duplication Q4 PC

PC → PU → PC → PUDR

Q1 Q2 Q3 Q4

Performance Rating

Closure Period: FCR

Integrated reporting: Previously submitted results are displayed in subsequent reports

New Reporting Flexibilities

NEW

Submission by Section from July

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Systems Enhancements

Reporting via Online Forms

Phased transition to reporting through online PU/DR forms, including Financial Closure Reports

*Impacting HI and Core portfolios only **ADEx - Aggregate Data Exchange DHIS – Data Health Information System

NEW New compared to PC release

Sectional submission for timely insights

NEW

Sections available for individual submission:

Programmatic	Financial	PSCM & Grant Management

Sectional submission available:
- **As of 30 June for PU**
- **As of 30 Sept for Final PU and PUDR**

- From July 2024, the PU will be organized into three sections available for individual submission in Partner Portal; Programmatic, Financial and PSCM & Grant Management.
- The **PR can submit each section when ready**, allowing for results to be submitted to the Global Fund as quickly as possible for **timely insights**.
- Each section will have an individual status in Partner Portal so PRs have visibility on what is awaiting submission.
- **The report is considered fully submitted to the Global Fund only once all sections have been submitted**. The timeline for overall submission remains the same 45 days from the reporting period end date*.

*Portfolios categorized as Challenging Operating Environments have 60 days to submit the PU.

2 Progress Update (PU) content

Overview of content included in the PU

NEW New compared to Pulse Check

Tabs included in the PU

PU						
Coversheet NEW	Coversheet – view only				
Programmatic	NEW Impact/Outcome Indicators*	Coverage Elementary Indicators**	Coverage Indicators	Work Plan Tracking Measures (WPTM)	
Financial	NEW Coversheet	Cash Reconciliation	Open Advances	NEW Expenditure Report	NEW Non-compliant Expenditures
PSCM & Grant Management NEW	Health Products	Grant & Risk Management	Self-Assessment		

* Only appears with quarterly reported Outcome Indicators
** GC7 only

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Coversheet NEW	Coversheet – view only				
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Financial	Coversheet	Cash Reconciliation	Open Advances	Expenditure Report	Non-compliant Expenditures
PSCM & Grant Management	Health Products	Grant & Risk Management	Self-Assessment		

* Only appears with quarterly reported Outcome Indicators
** GC7 only

Coversheet – view only

NEW

Coversheet

Executive Summary (view only)

Provide a summary of grant performance (integrating programmatic, finance, procurement, supply chain management, grant and risk management considerations) during the current reporting period

Explain any external factors beyond the control of the Principal Recipient that have negatively impacted quality and timely grant delivery during the reporting period

Forward-looking assessment of expected implementation progress by module for the coming reporting period

Item	Module	PR response
1	Case management	Select
2	Vector control	Select
3	Prevention package for people who use drugs (PUD) and their sexual partners	Select
4	Prevention package for people in prisons and other closed settings	Select
5	Differentiated HIV Testing Services	Select
6	Treatment, care and support	Select
7	TB/HIV	Select
8	TB diagnosis, treatment and care	Select
9	Drug-resistant (DR)-TB diagnosis, treatment and care	Select
10	TB/DR-TB Prevention	Select
11	Key and vulnerable populations (KVP) – TB/DR-TB	Select
12	RSSH: Monitoring and evaluation systems	Select
13	RSSH/PP: Laboratory systems (including national and peripheral)	Select

Overview of content included in the PU

NEW New compared to Pulse Check

Tabs included in the PU

PU

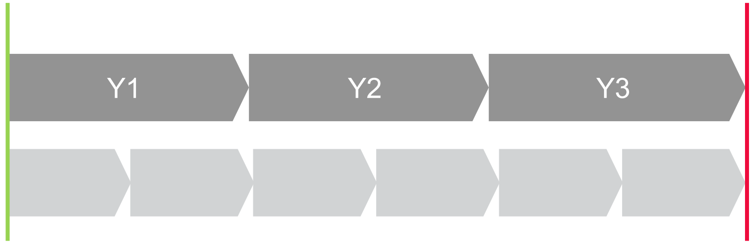
Coversheet	Coversheet – view only				
Programmatic	NEW Impact/Outcome Indicators*	Coverage Elementary Indicators**	Coverage Indicators	Work Plan Tracking Measures (WPTM)	
Financial	Coversheet	Cash Reconciliation	Open Advances	Expenditure Report	Non-compliant Expenditures
PSCM & Grant Management	Health Products	Grant & Risk Management	Self-Assessment		

* Only appears with quarterly reported Outcome Indicators
** GC7 only

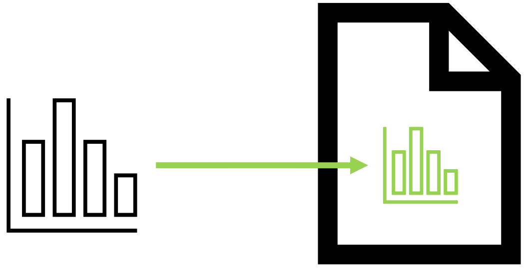
Programmatic Reporting – General Information

Impact/Outcome Indicators | Coverage Elementary Indicators * | Coverage Indicators | WTPM

PU requires reporting on indicators that are due for reporting on a quarterly and/or six-monthly basis as per the latest approved PF (including for C19RM).



Reporting periods are aligned to the IP start and end dates.

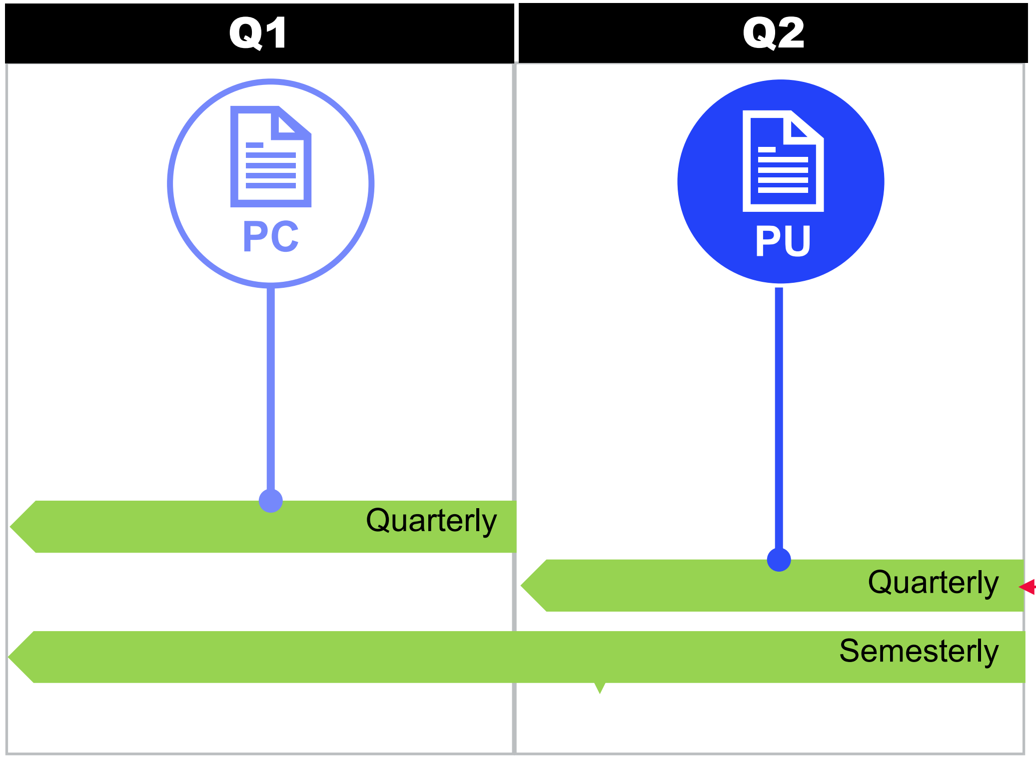


All indicator and WPTMs when due for reporting are prepopulated in the reporting form.

The approach and technical content of the PU remains same as in GC6.

* The term ‘elementary indicator’ refers to the numerators and denominators of the coverage indicators and their definitions are derived from the GC7 Indicator Guidance Sheets.

Programmatic Reporting – General Information



For quarterly reported indicators, provide Q2 results only.

The result for these indicators will be aggregated at Q2 and Q4 by the Global Fund using the cumulation type assigned in the latest signed grant Performance Framework.

For six-monthly (semesterly) reported indicators, report the results for the specified six-month period.

Programmatic reporting – Impact/Outcome Indicators

NEW

Impact and Outcome indicators

- This is the data entry page for the **numerators [N]** and **denominators [D]** for the impact/outcome indicators in GC7 grants that require quarterly reporting (RSSH O-3 and HIV O-29)
- Users can also enter any comments related to the indicators being reported in the PU covering the following:
  - Explain performance, especially if over- or underperforming:
    - At national level
    - By implementation service provider (e.g., SR)
  - Describe the trends over time and compare to the same period last year
  - Describe planned mitigation actions to further strengthen implementation.

NEW New compared to Pulse Check

Programmatic Reporting – Coverage Elementary Indicators

GC7 only

Elementary indicators	• Elementary indicators are the **numerators [N]** and **denominators [D]** of the standard indicators in the latest Performance Framework. • They are used to construct the standard coverage indicators on the Coverage Indicators tab.
Coverage Elementary indicators tab- online form	• The elementary indicators due for reporting are pre-populated on this tab. • Numerators and denominators are reported on separate lines. • Data entered only once for data elements that are common across indicators • Results reported in Q1 may be updated in Q2, if needed. Both Q1 and Q2 fields are editable.
Benefits	✓ Avoids duplicate data entry on the same data elements. ✓ Eliminates errors. ✓ Ensures consistent reporting.

- There are no elementary indicators for GC6 Regular and C19RM grants as well as GC7 custom indicators.
- The results for these indicators are reported through the Coverage Indicators tab (as in the current PU/DR).

Programmatic Reporting – Coverage Elementary Indicator tab

Example

$$\frac{60}{100}$$

60 ← *Numerator [N]*

100 ← *Denominator [D]*

GC7 only

El. Code	Elementary coverage indicator	Standard coverage indicator
CM-1a [N]	Number of all suspected malaria cases that received a parasitological test at public sector health facilities	CM-1a: Proportion of suspected malaria cases that receive a parasitological test at **public sector** health facilities.
CM-1a [D]	Number of all suspected malaria cases that present at public sector health facilities	
TCS- 9 [N]	Number of people living with HIV and currently on ART who received 3 – 5 or >6 months of ARV medicine at their most recent ARV medicine pick-up	TCS-9: Percentage of people living with HIV and currently on antiretroviral therapy who are receiving multi month dispensing of antiretroviral medicine.
TCS-1.1 [N]	Number of people on ART at the end of the reporting period	TCS-1.1: Percentage of people on ART among all people living with HIV at the end of the reporting period.
TCS-1.1 [D]	Estimated number of people living with HIV	

One elementary indicator may be used multiple times to construct other standard coverage indicator results

The N and D values are used to automatically construct the standard coverage indicator result on the coverage indicator tab*.

*Denominator results for interlinked indicators with sub-national geographies will be entered on the coverage indicator tab (see Annex slide 85 for more information).

Programmatic Reporting - Coverage Indicators

GC7 IP <u>standard</u> coverage indicators	• The results are auto-calculated from the elementary indicator results and auto-populated on the Coverage Indicators tab*. • The aggregated results for Semester 1, as per the cumulation type in the latest performance framework, are visible but not editable on this page.
GC7 IP <u>custom</u> coverage indicators **GC6 IP (regular & C19RM) coverage indicators**	• There are no elementary indicators for GC7 custom indicators, GC6 and C19RM. • The results for these indicators are reported directly through the Coverage Indicators tab. • The Coverage Indicators tab provides fields for Q1 and Q2 results for quarterly reported indicators and S1 results for indicators that are reported six-monthly.
Achievement ratio	• The achievement ratio is calculated against the six-monthly target.

* Denominator results for interlinked indicators with sub-national geographies will be entered on this coverage indicator tab (see Annex slide 85 for more information).

Work Plan Tracking Measures (WPTM)

WPTMs
For GC6, GC7 and C19RM grants

- WPTMs are prepopulated if the milestone/target end date falls within the PU reporting period.
- The PR is required to select one of the four status options for each WPTM (i.e., Not started, Started, Advanced, Completed). The score auto-populates based on the status selected.
- The PR provides a performance analysis on the progress made, commenting on the following:
  - Progress made and results achieved
  - Reasons for deviation from workplan activities and milestones, as applicable
  - Description of catch-up plans and any other contextual information

Overview of content included in the PU

NEW New compared to Pulse Check

Tabs included in the PU

PU						
	Coversheet	Coversheet – read only				
	Programmatic	Impact/Outcome Indicators*	Coverage Elementary Indicators**	Coverage Indicators	Work Plan Tracking Measures (WPTM)	
	Financial	NEW **Coversheet**	**Cash Reconciliation**	**Open Advances**	NEW **Expenditure Report**	NEW **Non-compliant Expenditures**
	PSCM & Grant Management	Health Products	Grant & Risk Management	Self-Assessment		

* Only appears with quarterly reported Outcome Indicators
** GC7 only

Financial Reporting – Coversheet

NEW

Coversheet | Cash Reconciliation | Open Advances | Expenditure Report | Non-compliant Expenditures

Cover Sheet

Errors and Warnings | Check data quality | Data quality check required

Financial Summary

Cash balance at the end of the period	Time elapsed since start of IP

Exchange rates

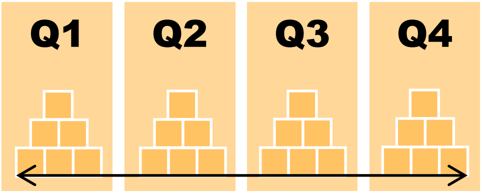
Item	Exchange Rate	Exchange rates used by the PR
1	Exchange rate to convert opening cash balance	
2	Exchange rate to convert closing cash balance	
3	Exchange rate to convert total PR cash outflow for the reporting period	
4	Comments on exchange rates	

NEW *New compared to the Pulse Check*

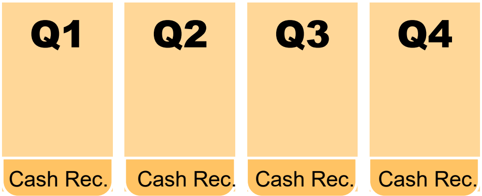
Financial Reporting – Cash Reconciliation

Overview

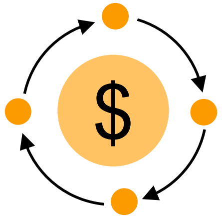
Cash reconciliation captures relevant financial data to calculate the PR cash balance at the end of the reporting period.



The overall structure is harmonized across all four quarters through the **existing PUDR** PR Cash Reconciliation tab.



The Cash Reconciliation tab is **replicated in each reporting quarter** in the same way, with the exception of the FCR, where supplementary information on closing balances is now included.



Multiple funding source flow is being introduced to monitor cash inflows and outflows at various funding source levels.

A new section on refunds is incorporated to address triangulation variances at the time of the PUDR

Financial Reporting – Cash Reconciliation

Coversheet | Cash Reconciliation | Open Advances | Expenditure Report | Non-compliant Expenditures

Source breakdown by column to split the cash position by funding sources

A. Principal Recipient cash reconciliation statement in IP currency

1.1. PR Cash Balance: beginning of the current financial reporting period

Item	Description	Regular Funds	C19RM Funds	**Total**	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
1.1	PR Cash Balance: beginning of the current financial reporting period	-	-	-	

Financial Reporting – Cash Reconciliation

Coversheet | Cash Reconciliation | Open Advances | Expenditure Report | Non-compliant Expenditures

Source breakdown by column to split the cash position by funding sources

2. IP Income

The first part of the income section remains the same

Item	Description	Regular Funds	C19RM Funds	**Total**	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
2.1	Disbursements made directly by the GF to the PR	-	-	-	
2.2	Disbursements made by the GF through PPM / wambo	-	-	-	
2.3	Other direct disbursements made by the GF	-	-	-	
2A	Total disbursements made by the GF	**-**	**-**	**-**	
2.4	Interest received on PR bank accounts			-	
2.5	PR's revenue from income-generating activities and other income (e.g. income from disposal of assets, etc.), if applicable			-	
2B	Total other income for PR	**-**	**-**	**-**	

Financial Reporting – Cash Reconciliation

Coversheet | Cash Reconciliation | Open Advances | Expenditure Report | Non-compliant Expenditures

The second part of the income section is further detailed to show the relevant refunds to PR

2.7.1	Refunds received at PR level from third parties			-	
2.7.2	Refunds received from SRs			-	
2.7.3	Tax refunds received (e.g. VAT/other tax returns)			-	
2.7.4	Reimbursement of ineligible expenses made into PR account			-	
2C	Total Refunds received	-	-	-	
2.7	Total IP income	-	-	-	

Financial Reporting – Cash Reconciliation

Coversheet | Cash Reconciliation | Open Advances | Expenditure Report | Non-compliant Expenditures

Source breakdown by column to split the cash position by funding sources

3. IP cash outflows

Item	Description	Regular Funds	C19RM Funds	**Total**	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
3.1	PR Payments (including advance payments)			-	
3.2	PPM / wambo payments made by the GF on behalf of the PR	-	-	-	
3.3	Payments to other third parties by the GF on behalf of the PR	-	-	-	
3.4	PR disbursement(s) to sub-recipients			-	
3.5	Bank charges on disbursements and payments for PR		0	-	
3.6	Total payments and disbursements	**-**	**-**	**-**	

Financial Reporting – Cash Reconciliation

Source breakdown by column to split the cash position by funding sources

4. Reconciling adjustments: (PR only)

Item	Description	Regular Funds	C19RM Funds	**Total**	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
4.1	Other reconciliation adjustments (including for previous financial reporting periods)			-	
4.2	Net exchange gains/losses on translation of balances			-	
4.3	Total reconciling adjustments	-	-	-	

5. Total cash balance: end of the current financial reporting period

Item	Description	Regular Funds	C19RM Funds	**Total**	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
5.1	Total PR cash balance	-	-	-	

Financial Reporting – Cash Reconciliation

Coversheet | **Cash Reconciliation** | Open Advances | Expenditure Report | Non-compliant Expenditures

B. Bank Reconciliation Statement balances

Item	Description	Total	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
9.1	PR balance as per bank statements (for information only)		
9.2	Bank reconciliation net amount		
9.3	Variance between bank statement balance and PR cash balance	-	
9.4	Variance between bank reconciliation statement balance and PR cash balance	-	

Variance between the bank statement and PR cash balance and bank reconciliation and PR cash balance is introduced. In case of variance, commentary is mandatory.

Tips for 9.1:
If the PR hold Global Fund funds in more than one account, a sum of all accounts should be entered in this field and the PR should attach the bank statement from all accounts.

Tips for 9.2:
This represents the bank balance as reported under line 9.1, which is adjusted for reconciling items, such as unpresented checks or bank charges not booked in the PR's accounting. Bank reconciliation is also used for grants with comingled account.

Tips for 9.4:
This represents the difference between the bank reconciliation (9.2) and the reported PR cash balance (5.1). The variances between those two should be minimal. For example, petty cash.

Financial Reporting – Open Advances

Overview

Open advances are cash outflows for which no corresponding expenditure has been recognized by the PR.

- For Q1, Q2 and Q3 a simplified report on open advances is requested by funding sources.
- The detailed reconciliation of open advances will be requested in Q4.

Item	Open advances at the end of the current financial reporting period	Regular Funds	C19RM Funds	**Total**
14.1	SR advances			-
14.2	PR procurement advances (PPM/Wambo only)			-
14.3	PR other advances (GDF, prepayments, etc.)			-

Open advances are entered by the PR by funding source and summed automatically.

Financial Reporting – Expenditure Report

NEW

Coversheet | Cash Reconciliation | Open Advances | **Expenditure Report** | Non-compliant Expenditures

The three-dimensional breakdown remains the same: cost group/cost input, module/interventions and implementing entities.

Regular Funds | Other Non-Fungible Source | Total

Cumulative expenditure at start of financial reporting period	Disbursed until the end of the reporting period	Opening cash balance at start of IP	Budget utilization	Time elapsed
500,123	12,100	52,000	375%	70%

Breakdown by Costing Dimension

			Period				Cummulative Financial Reporting Period						
		Total	4,030	65,750	10,010	65	513,203	123,546	102,320	9,181	25	65	
Item No.	Cost Input		Budget for the current financial reporting year	Expenditure for the current financial reporting period	Budget vs Expenditure variance for the current period	Absorption rate	Cumulative expenditure reported by PR up to the start of current financial reporting period	Cumulative Global Fund validated expenditure up to the start of current financial reporting period	Cumulative budget up to end of the financial reporting year	Cumulative Expenditure at the end of the current financial reporting period	Budget vs expenditure variance	Absorption	Explain cumulative period variances
1.1	Salaries - Program Management	n/a	0	0	0	0%	0	0	0	0	0	0%	
1.2		n/a	0	0	0	0%	0	0	0	0	0	0%	

+ Add

Costing Dimensions are populated based on the Full IP budget so that the PR can report on any expenditures that have been advanced compared to budget. In addition, it is possible to include costing dimensions that were not part of the original budget

The PR only has to enter the expenditure for the current period by cost dimensional items. The cumulative expenditure is calculated based on the previous reported amounts (from previous PUDR).

Previous Global Fund validated expenditure breakdown is also provided to PR to help with their corrections

NEW *New compared to the Pulse Check*

Financial Reporting – Non-compliant Expenditures

NEW

Coversheet	Cash Reconciliation	Open Advances	Expenditure Report	Non-compliant Expenditures

Funding source breakdown to split compliant expenditures by funding source

In comparison to the GC6 PUDR template, section 10 on Non-compliant Expenditures has been simplified to only requesting the PRs to enter the non-compliant expenditures for the current reporting period and any commentary to that.

Principal Recipient non-compliant expenditures in IP currency

Regular Funds C19RM Total

Item No.	Description	Current financial reporting period	1.Comment on cash flows 2.Outline the data source(s) 3.Provide any other contextual information
10.1	Non-compliant expenditures validated for the current financial reporting period	0.00	

NEW *New compared to the Pulse Check*

Overview of content included in the PU

NEW New compared to Pulse Check

Tabs included in the PU

PU						
	Coversheet	Coversheet – read only				
	Programmatic	Impact/Outcome Indicators*	Coverage Elementary Indicators**	Coverage Indicators	Work Plan Tracking Measures (WPTM)	
	Financial	Coversheet	Cash Reconciliation	Open Advances	Expenditure Report	Non-compliant Expenditures
	PSCM & Grant Management NEW	**Health Products**	**Grant & Risk Management**	**Self-Assessment**		

* Only appears with quarterly reported Outcome Indicators
** GC7 only

PSCM & Grant Management Reporting – Health Products

NEW

Health Products | Grant & Risk Management | Self-assessment

The PU has been revised to incorporate three new PSCM metrics*

1. Quantification and forecast **timeliness** and **comprehensiveness**

- Enables timely initiation of the procurement process, order placement and arrival of products into the country.
- **Timeliness:** Average number of days between planned date and actual forecast completion date for selected product categories.
- **Comprehensiveness:** % of health product categories that were quantified during the reporting period from the total # of product categories selected for quantification and forecast.

2. Grant **Procurement planning** performance

- PRs develop a procurement plan with details of health products, quantities, budgeted reference prices, order placement dates and procurement channels. The plan is ideally derived from a national plan resulting from the national quantification and forecast exercise.
- **Procurement planning:** % of orders for selected tracer health products that are placed on time, as per the grant procurement plan, considering international/national lead times.

3. Stocked According to Plan **(SATP)**

- PRs are required to maintain optimum health product stock levels as per the national guidelines for supply chain management and logistics.
- **SATP:** % of the tracer health products whose stock status are within designated min/max stock levels, as per the central stock report.

- The requirement to report on the new metrics applies to **High Impact and Core portfolios for GC7 only.**
- Existing reporting requirements on PQR and Risk of Stock-out & Expiry apply to all applicable portfolios.

NEW *New compared to the Pulse Check*

Grant Management Reporting - Grant and Risk Management

NEW

Health Products | Grant & Risk Management | Self-assessment

Grant requirements	• Grant requirements are prepopulated in the report. • The PR is required to select one of the three progress status options (Met, Not Started, In Progress) and comment on the implementation progress of each requirement.
Mitigating actions and Management issues	• Key mitigating actions and/or management actions and their completion due dates are prepopulated in the report. • The PR is required to select one of the four progress status options (Completed, Delayed, On-track, Off-track) and comment on the implementation progress. If the progress status of a mitigating action is 'Completed', then the PR must provide a completion date.

Grant Management Reporting - Self-assessment

NEW

Health Products | Grant & Risk Management | Self-assessment

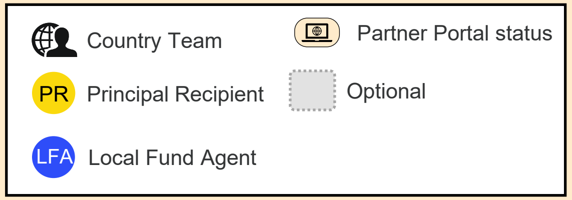
PR Self-assessment	• The PR is required to provide a summary of the grant performance for the reporting period and a forward-looking assessment on the grant delivery by module. • The PR signatory is not required to sign-off for the PU nor attach a signed report in the Partner Portal. The submitter of the online form instead needs to confirm that the information provided in the report is compete and accurate.

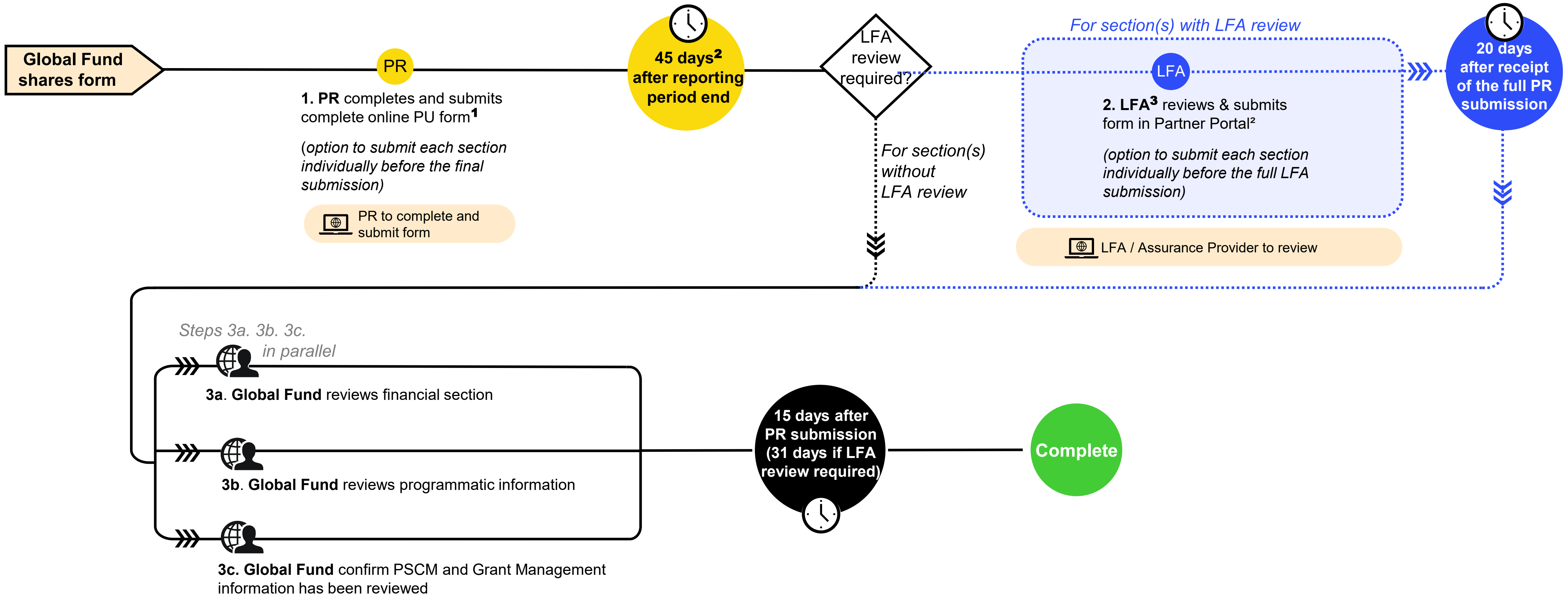
3 Progress Update in Partner Portal

PU Reporting

Overview of Process and Statuses

Last updated: 11 June 2024





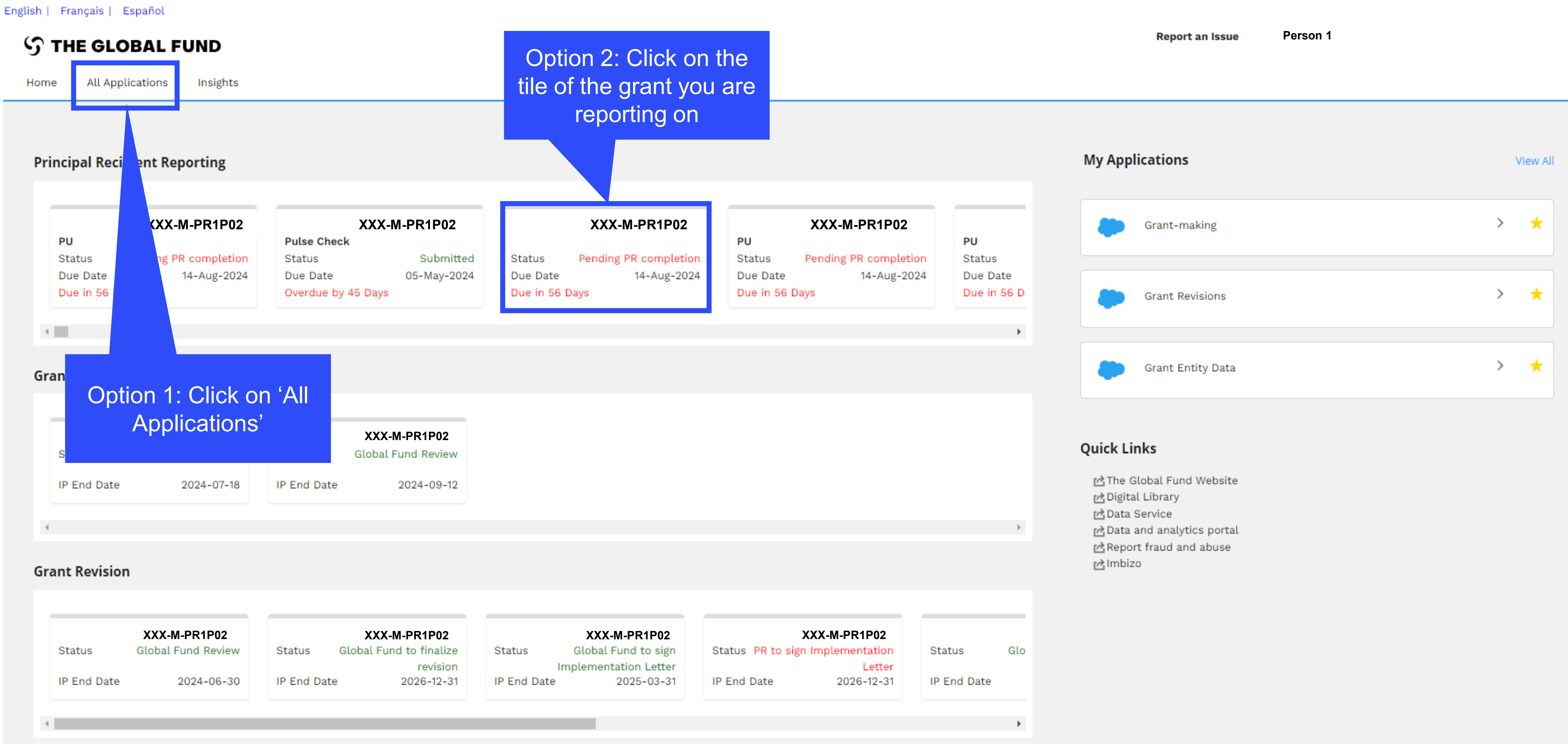
[1] PRs are strongly encouraged to directly enter data into the online form. A supporting Excel is available for data collection & collaboration and can be imported into the online form. It cannot be used for submission to the Global Fund.

[2] Calendar days; Portfolios categorized as Challenging Operating Environments have 60 days to submit the PU.

[3] LFA or other Assurance Provider.

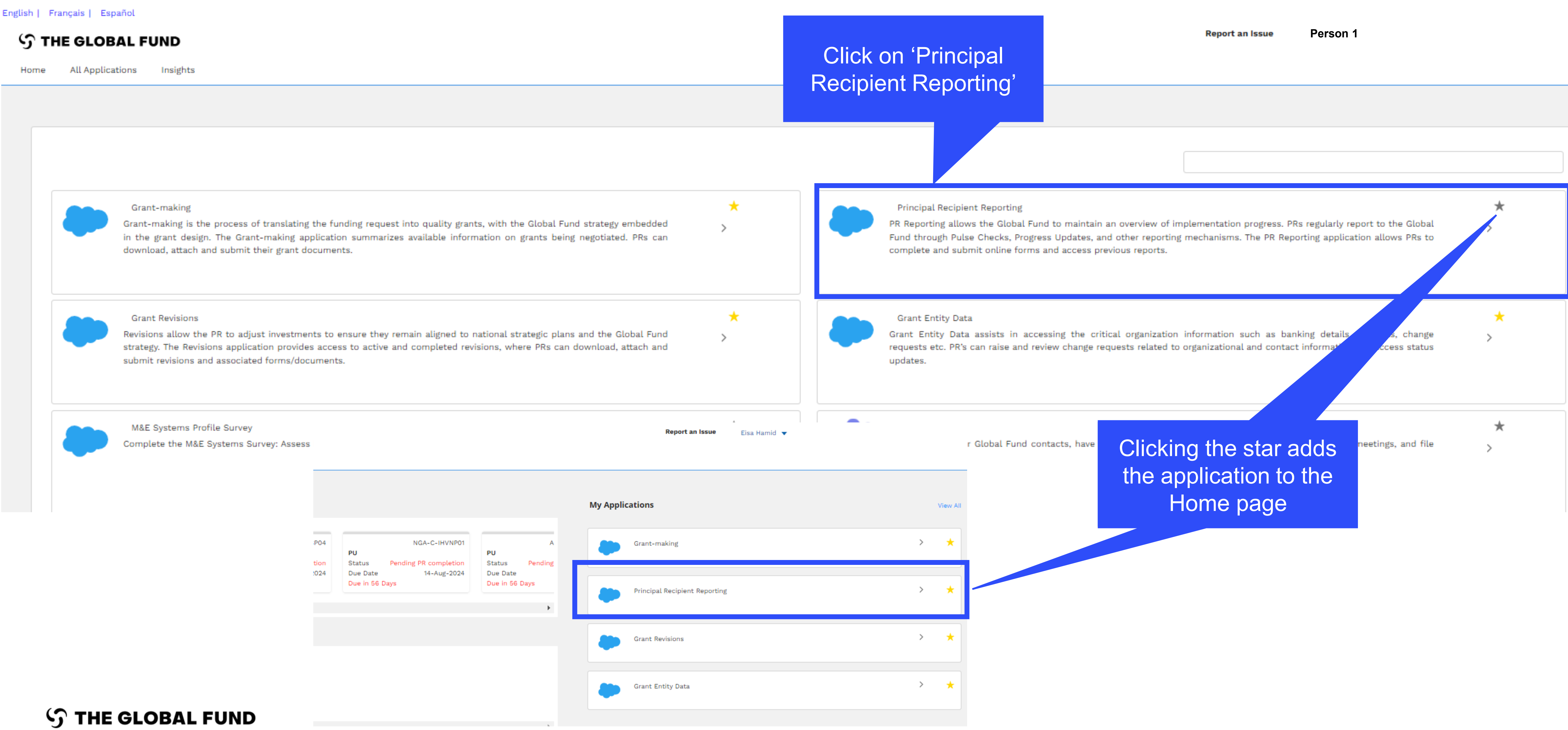
How to navigate PUs in Partner Portal – Landing page

NEW

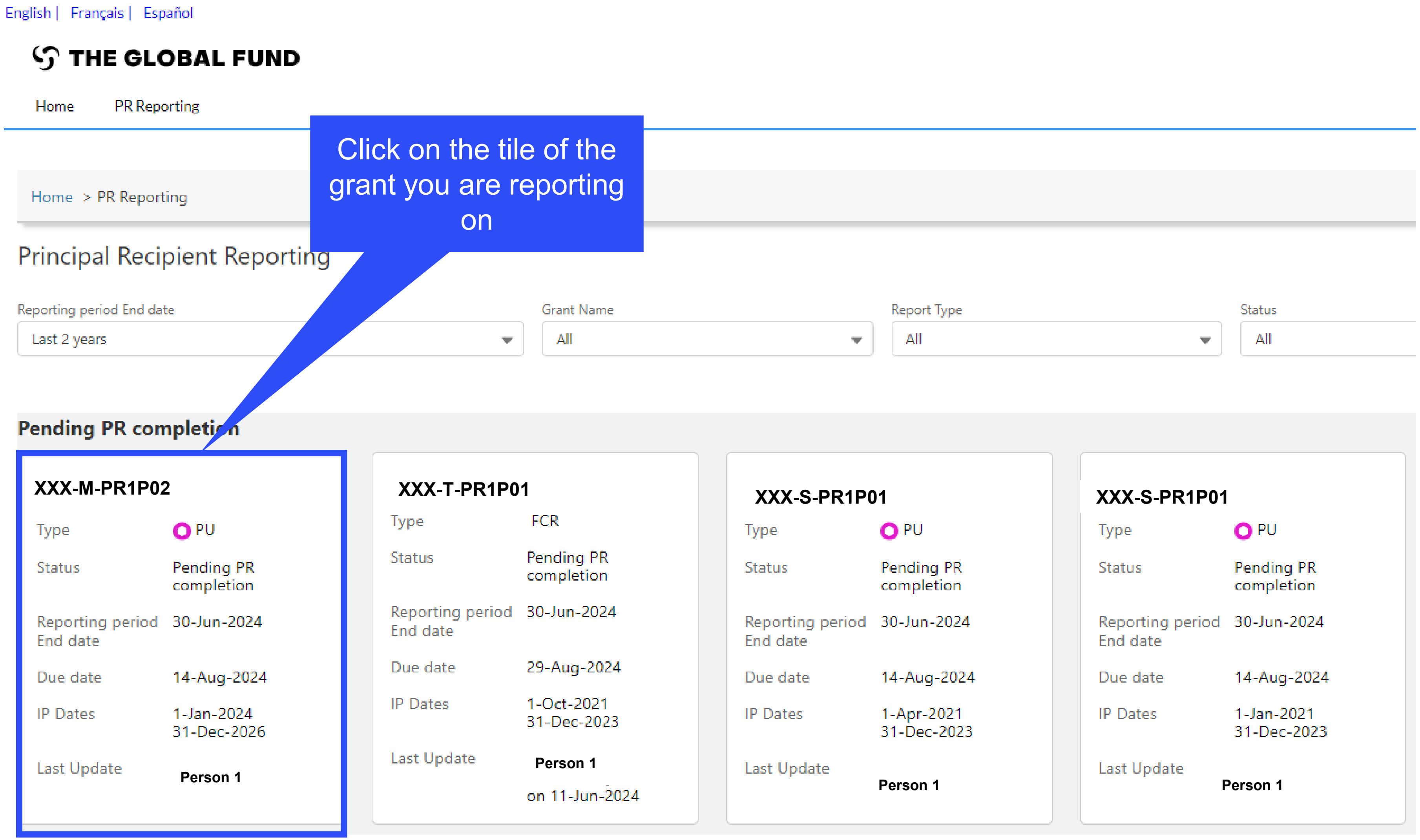


How to navigate PUs in Partner Portal – Landing page

NEW

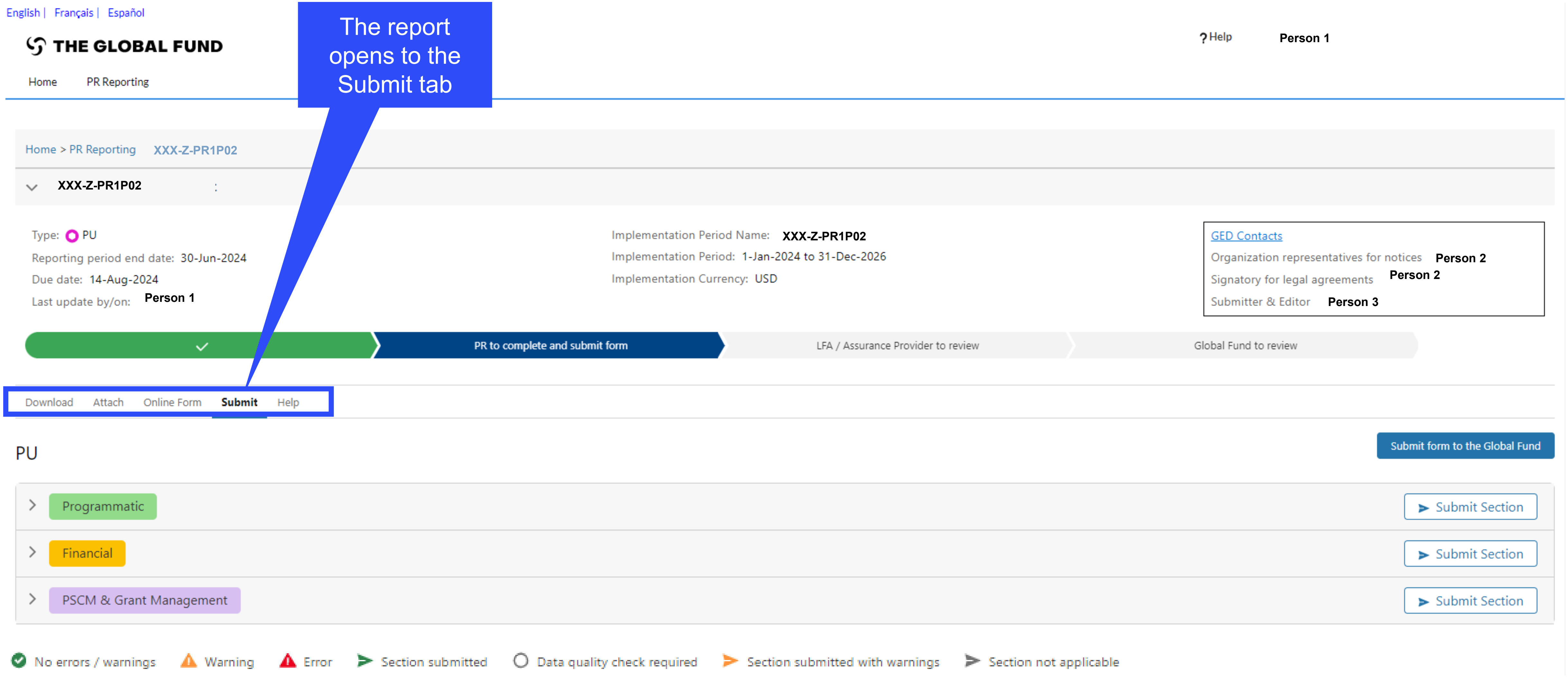


How to navigate PUs in Partner Portal

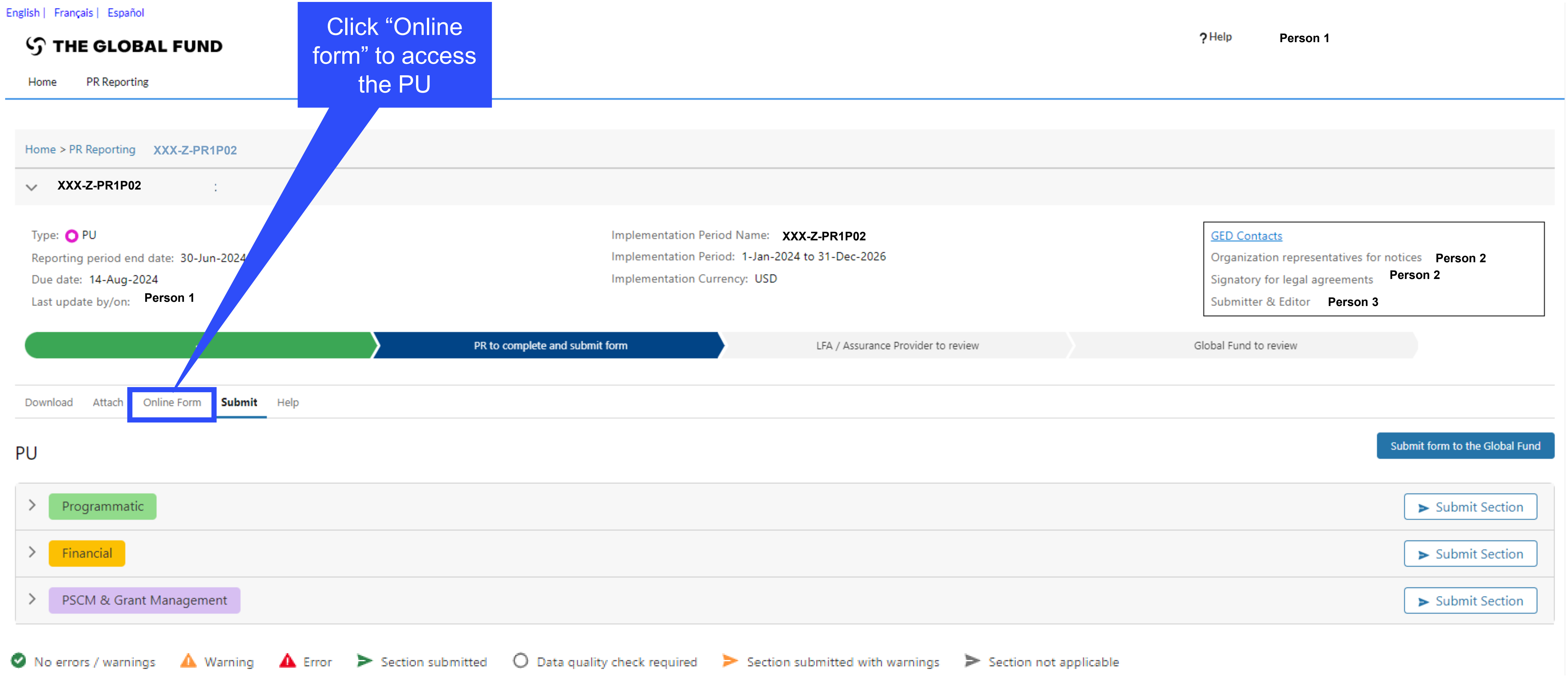


How to navigate PUs in Partner Portal – Access the online form

The report opens to the Submit tab



How to navigate PUs in Partner Portal – Access the online form



How to navigate PUs in Partner Portal – Data entry

NEW

1 **Direct entry** of data into online form ***(recommended)***

1. Manual data extraction from online form to working file.
2. Type data directly into online form

2 Enter data into supporting Excel and **attach and import** data into the online form

1. Download supporting Excel with all baseline data
2. Enter data into GF supplied supporting Excel
3. Attach the supporting Excel in the 'Attach' tab in the Portal
4. Select the section(s) to be imported into the online form. The Excel can be imported multiple times.

3 **Copy/Paste*** data from a working Excel into online form using Data Import Wizard

1. Enter data into a working Excel
2. Select and copy data (columns, rows, or worksheets)
3. Paste data into the online form using Data Import Wizard
4. Review pasted data

PRs are strongly encouraged to directly enter data into the online form. A supporting Excel **cannot** be used for submission to the Global Fund.

** Functionality on 2 financial tabs in PU; Expenditure Report, Cash Reconciliation*

How to navigate PUs in Partner Portal – Data entry

NEW

1

Direct entry
of data into online form
(recommended)

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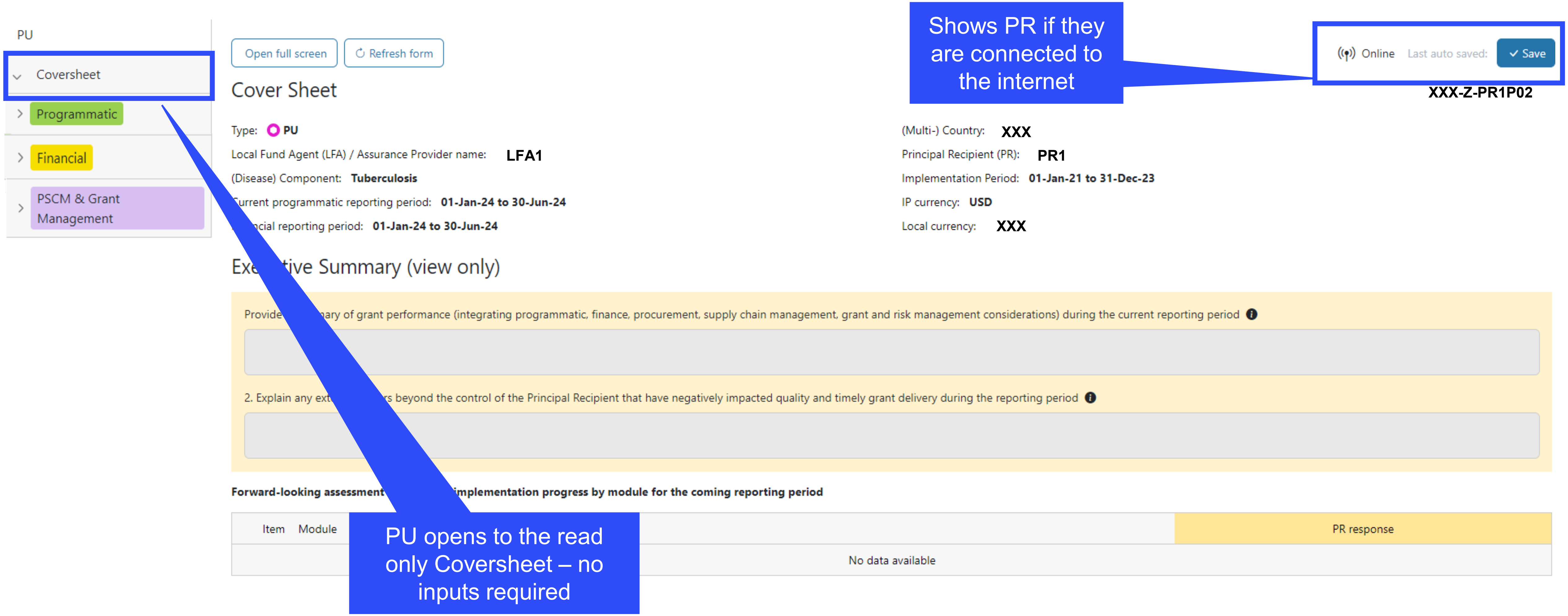
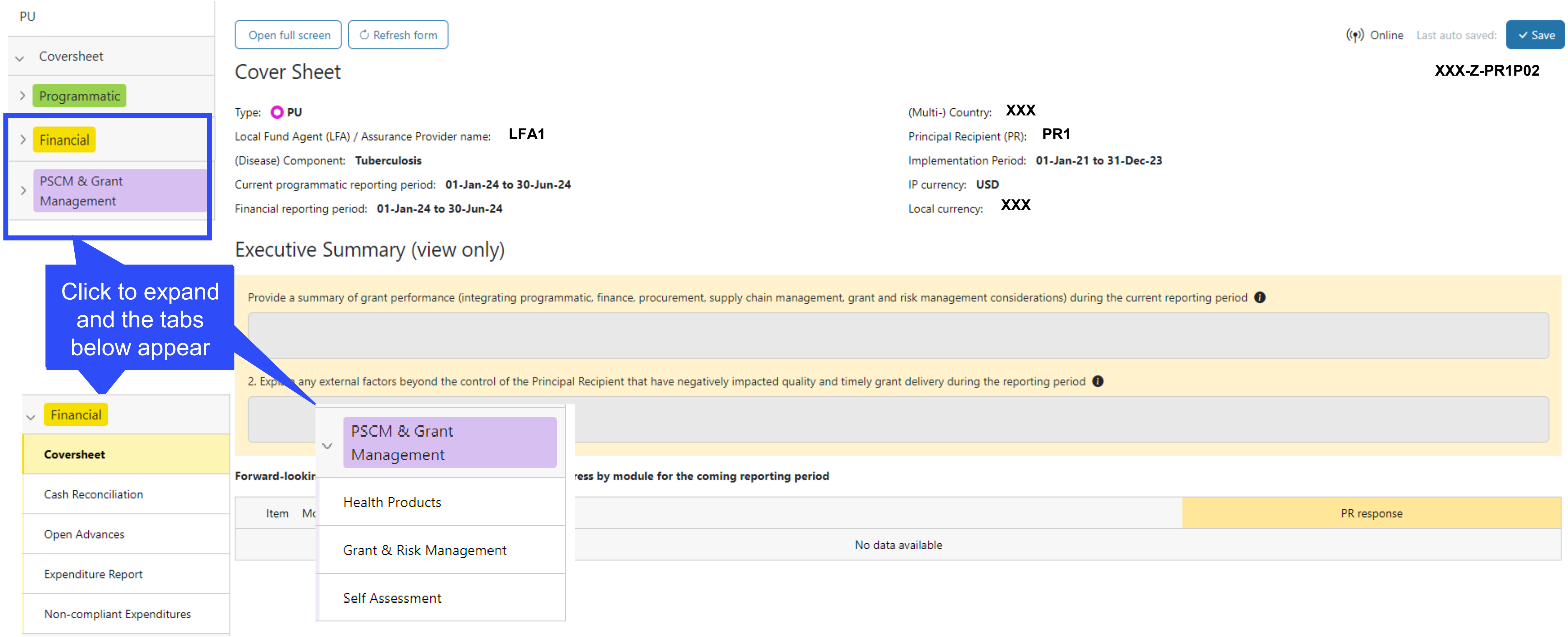
** Functionality on 2 financial tabs in PU; Expenditure Report, Cash Reconciliation*

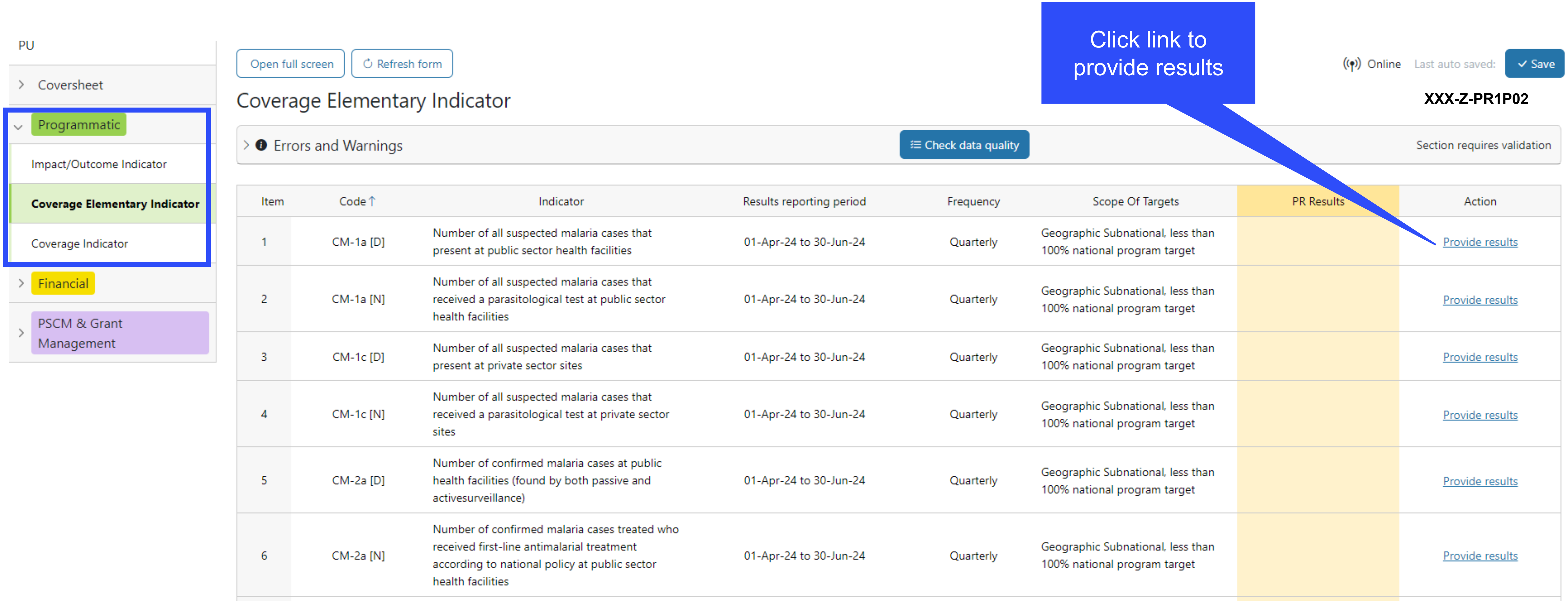
Data entry – Direct entry (recommended)



Data entry – Direct entry (recommended)

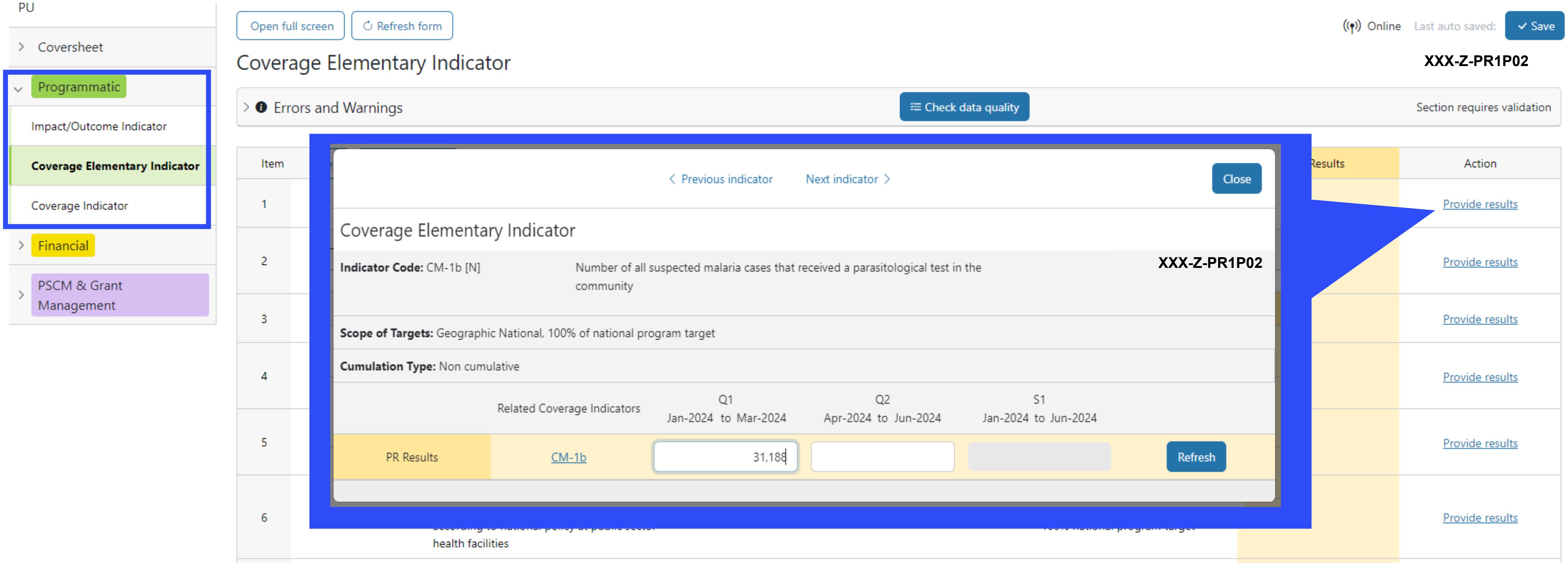


Data entry – Direct entry (recommended)

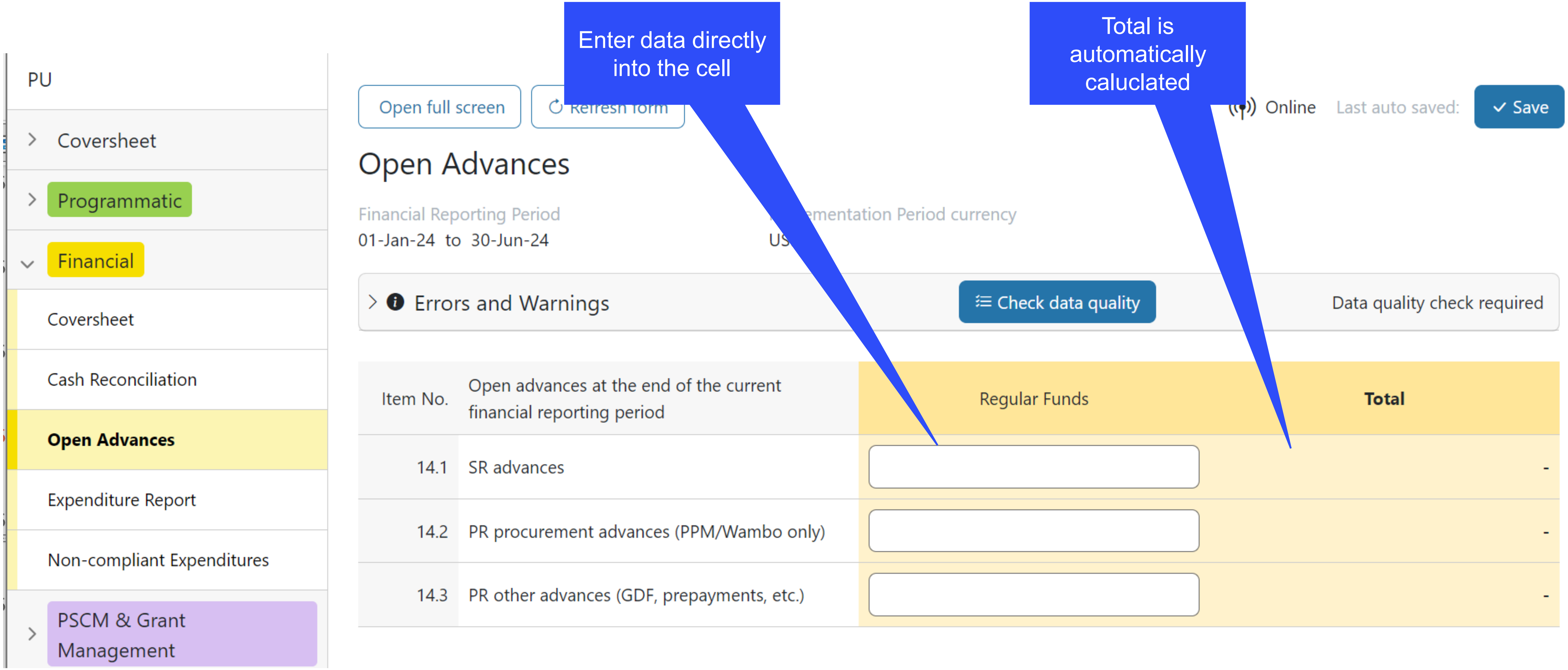


Item	Code	Indicator	Results reporting period	Frequency	Scope Of Targets	PR Results	Action
1	CM-1a [D]	Number of all suspected malaria cases that present at public sector health facilities	01-Apr-24 to 30-Jun-24	Quarterly	Geographic Subnational, less than 100% national program target		Provide results
2	CM-1a [N]	Number of all suspected malaria cases that received a parasitological test at public sector health facilities	01-Apr-24 to 30-Jun-24	Quarterly	Geographic Subnational, less than 100% national program target		Provide results
3	CM-1c [D]	Number of all suspected malaria cases that present at private sector sites	01-Apr-24 to 30-Jun-24	Quarterly	Geographic Subnational, less than 100% national program target		Provide results
4	CM-1c [N]	Number of all suspected malaria cases that received a parasitological test at private sector sites	01-Apr-24 to 30-Jun-24	Quarterly	Geographic Subnational, less than 100% national program target		Provide results
5	CM-2a [D]	Number of confirmed malaria cases at public health facilities (found by both passive and activesurveillance)	01-Apr-24 to 30-Jun-24	Quarterly	Geographic Subnational, less than 100% national program target		Provide results
6	CM-2a [N]	Number of confirmed malaria cases treated who received first-line antimalarial treatment according to national policy at public sector health facilities	01-Apr-24 to 30-Jun-24	Quarterly	Geographic Subnational, less than 100% national program target		Provide results

Data entry – Direct entry (recommended)



Data entry – Direct entry (recommended)



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How to navigate PUs in Partner Portal – Data entry

NEW

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** Functionality on 2 financial tabs in PU; Expenditure Report, Cash Reconciliation*

Data entry using supporting Excel

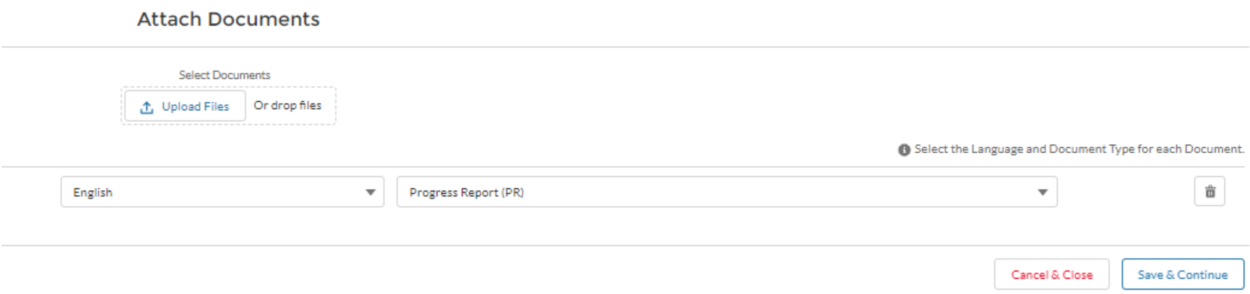
NEW

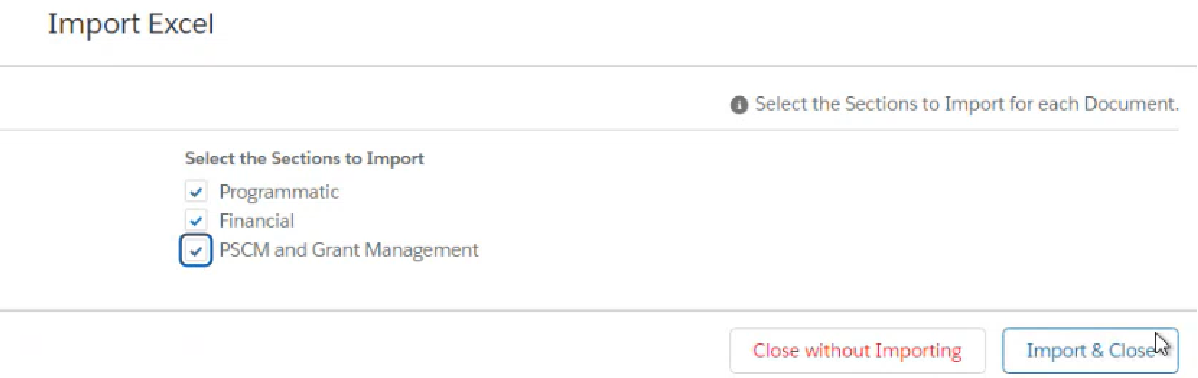
1 Download supporting Excel with all baseline data

2 Enter data into GF supplied supporting Excel

3 Attach Excel in the 'Attach' tab in Partner Portal

4 Select the section(s) to be imported into the online form. The Excel can be attached and imported multiple times





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Check Data Quality needs to be clicked after import.

How to navigate PUs in Partner Portal – Data entry

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** Functionality on 2 financial tabs in PU; Expenditure Report, Cash Reconciliation*

Data entry – Copy/Paste* using Data Import Wizard

NEW

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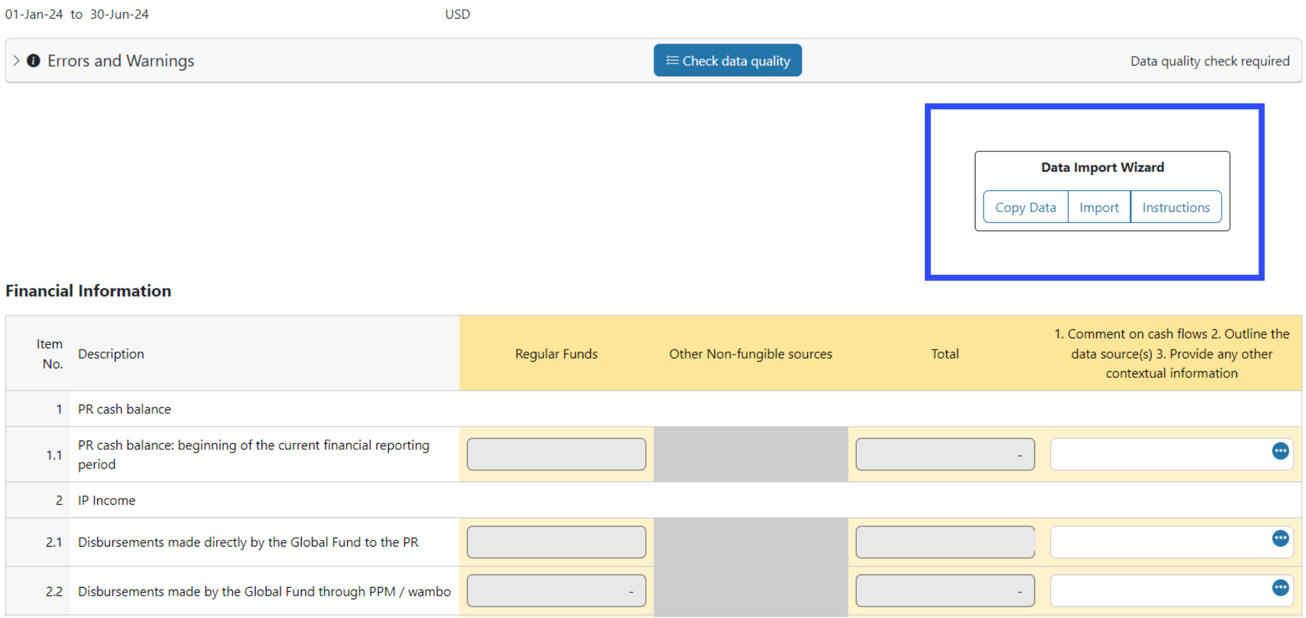
2 Select and copy data (columns, rows, or worksheets)

3 Paste data into the online form using Data Import Wizard

4 Review pasted data

Check Data Quality needs to be clicked after pasting data.



* *Functionality on 2 financial tabs in PU; Expenditure Report, Cash Reconciliation*

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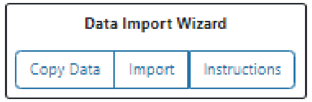

4. Review pasted data

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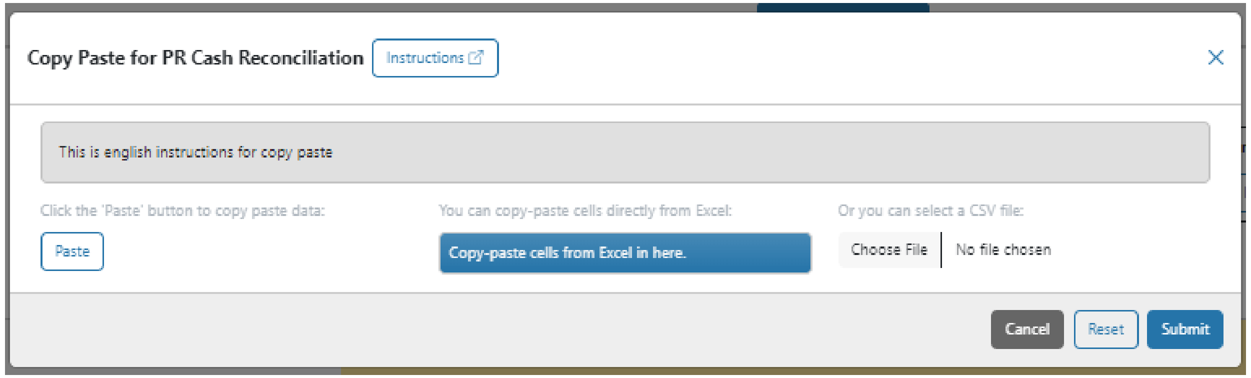
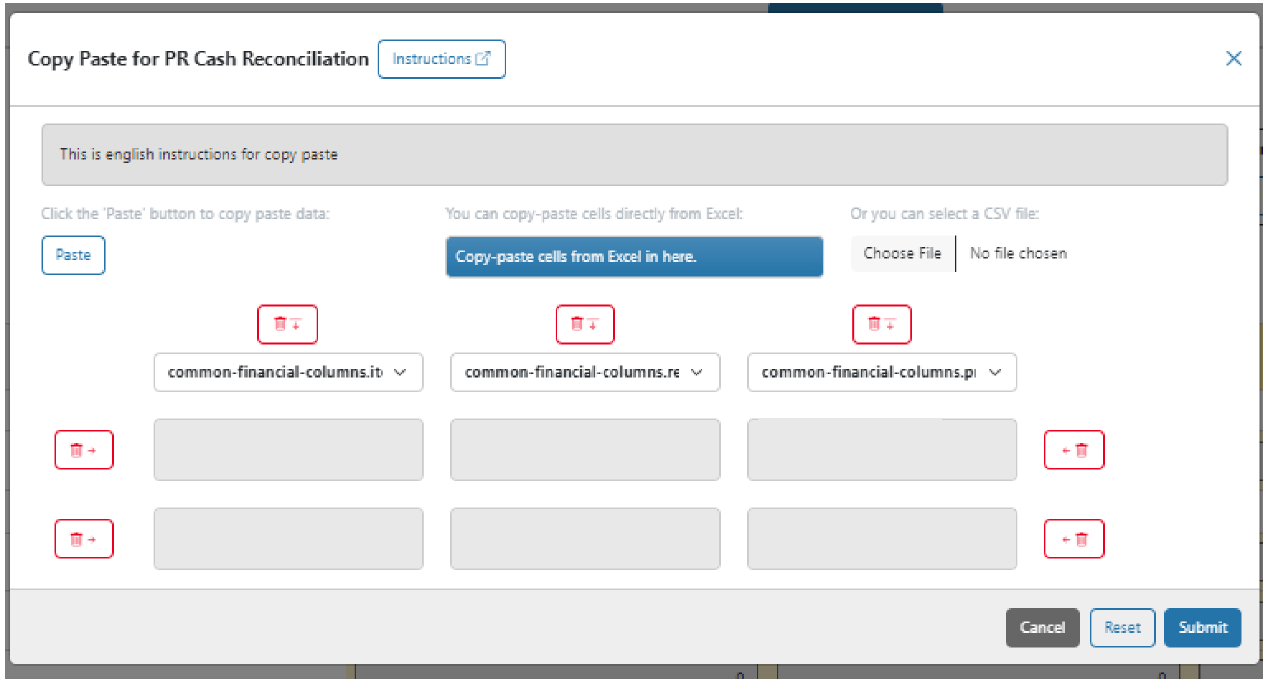




** Functionality on 2 financial tabs in PU; Expenditure Report, Cash Reconciliation*

Data Quality Checks ensure PR submissions are accurate and complete upon submission

- Data Quality Checks are a set of automated actions that the system performs to flag irregularities. The checks support to:
  - Improve data quality by flagging potentially incorrect data
  - Prevent data entry errors
  - Improve data integrity and consistency
  - Speed up the review process of the submitted data by Global Fund

There are 2 types of checks in Partner Portal:

Error

- Alerts when the data does not comply with validation rule
- Does not allow PR to submit until the error is corrected.
- Allows the system user to review and correct the data before submitting the form.

Warning

- Flags the system user to check if the data captured is correct or contains a potential error.
- Requires a justification comment if the warning is overruled.
- Allows PR to proceed and submit.

Note: Only enter a '0' when the result is zero. If the result is not (yet) available, leave the cell blank and provide a justification.

A full list of errors and warnings for each section will be included in the PR Reporting Handbook

Data Quality Checks – Examples of errors

Errors require the **correction** of values entered for users to proceed.

Example

$$\frac{60}{100}$$

60 ← *Numerator [N]*

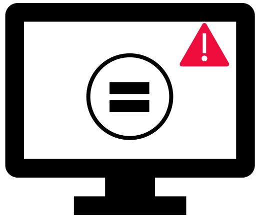
100 ← *Denominator [D]*

Programmatic Error Messages	Action Required	Type
CM-8 Denominator should be equal to CM-7 denominator	Update the value(s) accordingly	Error
DRTB-3 denominator should be equal to DRTB-2 numerator		
HTS-5 Numerator cannot be more than TCS-1.1 numerator		
TBDT-4 Denominator should be equal to TBDT-1 numerator		
TCS-9 Denominator should be equal to TCS-1.1		
VT-2 Denominator cannot be greater than denominator for VT-1		
TB/HIV-5 denominator should be equal to TBDT-1 numerator		
VT-2 Denominator should be equal to TCS-10 denominator		

PSCM& Grant Management Error Messages	Action Required	Type
Additional information is required when stockout/expiry risks are selected. Provide a rationale in the 'Comments' fields for each pharmaceutical & health product with risk of stockout/expiry.	Provide a rationale	Error

A full list of errors and warnings for each section will be included in the PR Reporting Handbook

Data Quality Checks – Examples of errors



Errors require the **correction** of values entered for users to proceed.

Financial Error Messages	Action Required	Type
A rationale is required for the value entered under Item No. 4.1 'Other reconciliation adjustment'	Provide a rationale in the 'Comments' field under Item No. 4.1	
There is a variance between the Bank Statement balance and the PR cash balance.	Provide a rationale in the 'Comments' field under Item No. 9.3	
There is a variance between the Bank Reconciliation Statement balance and the PR cash balance.	Provide a rationale in the 'Comments' field under Item No. 9.4	⚠ Error
A bank statement or equivalent must be attached – If there is no document attached of file type bank statement/equivalent	Attach the document in the 'Attach' tab	
A bank reconciliation statement must be attached – If there is no document attached of file type bank reconciliation		

A full list of errors and warnings for each section will be included in the PR Reporting Handbook

Data Quality Checks – Examples of warnings

Warnings require **correction** or a **justification comment** for users to proceed.

Example

$\frac{60}{100}$

60 ← *Numerator [N]*

100 ← *Denominator [D]*

Programmatic Warning Messages	Action Required	Type
Missing results (numerators and/or % results)	Enter a value or provide a justification comment	⚠ Warning
If N is greater than D for Percentage indicators	Update the value(s) or provide a justification comment	
If CM-2a Numerator more than CM-1a Numerator*		
If CM-2b Numerator more than CM-1b Numerator*		
If CM-2c Numerator more than numerator of CM-1c*		
If TB/HIV-7.1 Denominator is not equal to TCS-1.1 Numerator**		

A full list of errors and warnings for each section will be included in the PR Reporting Handbook

Data Quality Checks – Examples of warnings

Warnings require **correction** or a **justification comment** for users to proceed.

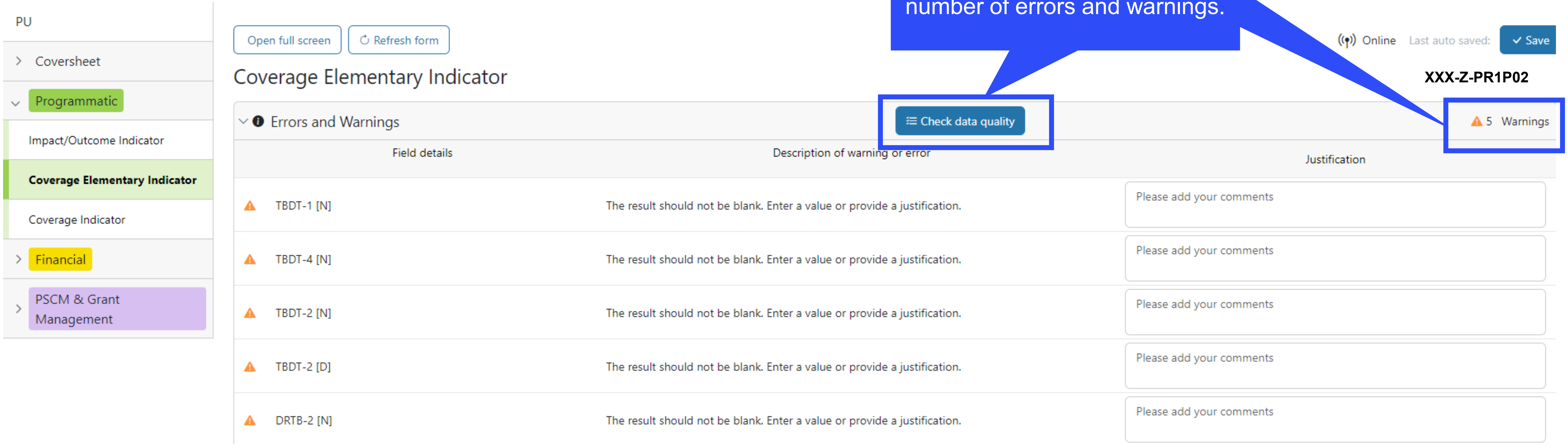
Financial Warning Messages	Action Required	Type
The ‘Total PR cash balance’ is negative	Update the value or provide a justification	Warning
If the current reported amount is less than previous validated amounts for item 2 to item 4		

PSCM & Grant Management Warning Messages	Action Required	Type
The 'Risk of expiry' fields should not be blank. For each pharmaceutical & health product, select an option from the dropdown menu or provide a justification.	Update the value or provide a justification	Warning
The date(s) entered should not fall outside the Implementation Period. Update the date(s) or provide a justification.		

A full list of errors and warnings for each section will be included in the PR Reporting Handbook

How to navigate PUs in Partner Portal – Data Quality Checks

Click Check data quality. On the right you will see the number of errors and warnings.



How to navigate PUs in Partner Portal – Data Quality Checks

Click the down arrow to view all errors and warnings

Open full screen | Refresh form | Online | Last auto saved: | Save

Coverage Elementary Indicator

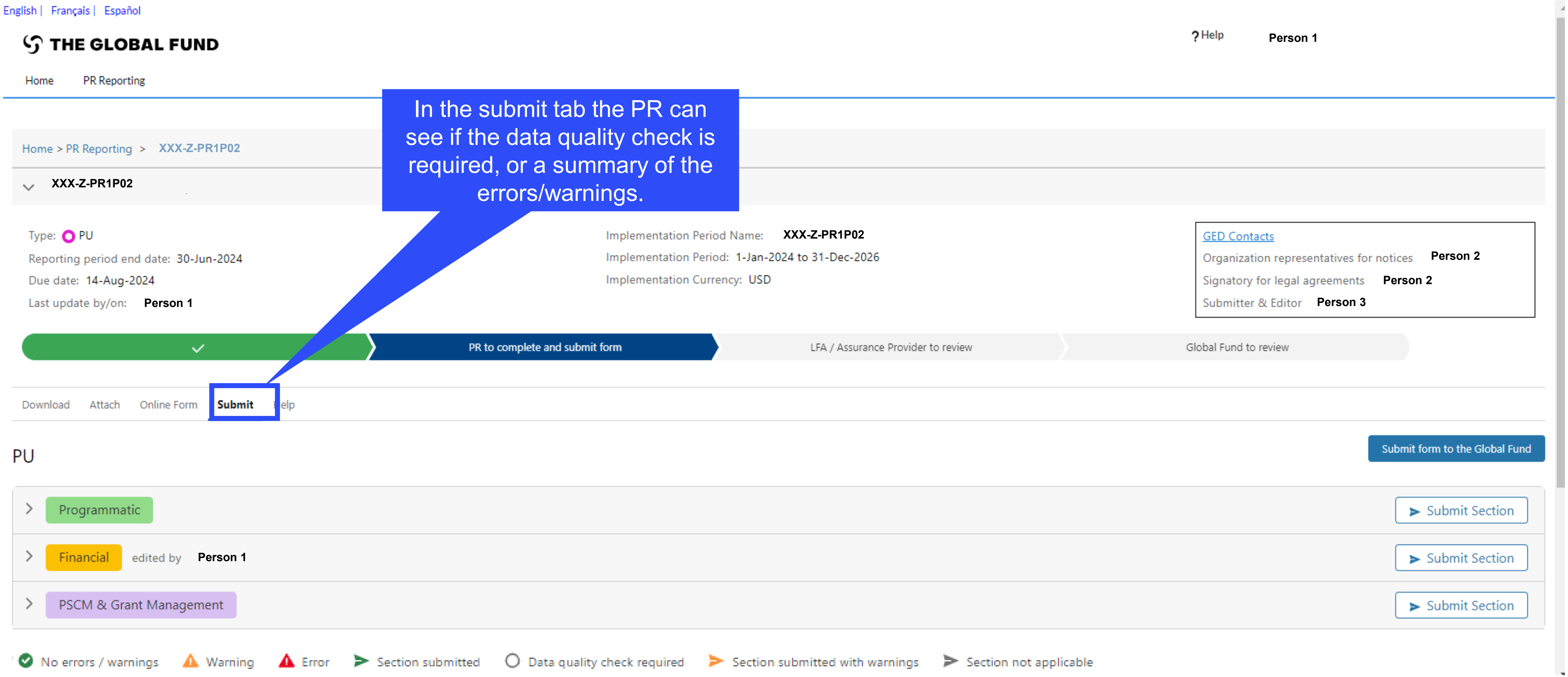
XXX-Z-PR1P02

Errors and Warnings | Check data quality | 5 Warnings

Field details	Description of warning or error	Justification
TBDT-1 [N]	The result should not be blank. Enter a value or provide a justification.	Please add your comments
TBDT-4 [N]	The result should not be blank. Enter a value or provide a justification.	Please add your comments
TBDT-2 [N]	The result should not be blank. Enter a value or provide a justification.	Please add your comments
TBDT-2 [D]	The result should not be blank. Enter a value or provide a justification.	Please add your comments
DRTB-2 [N]	The result should not be blank. Enter a value or provide a justification.	Please add your comments

How to navigate PUs in Partner Portal – Submit

In the submit tab the PR can see if the data quality check is required, or a summary of the errors/warnings.



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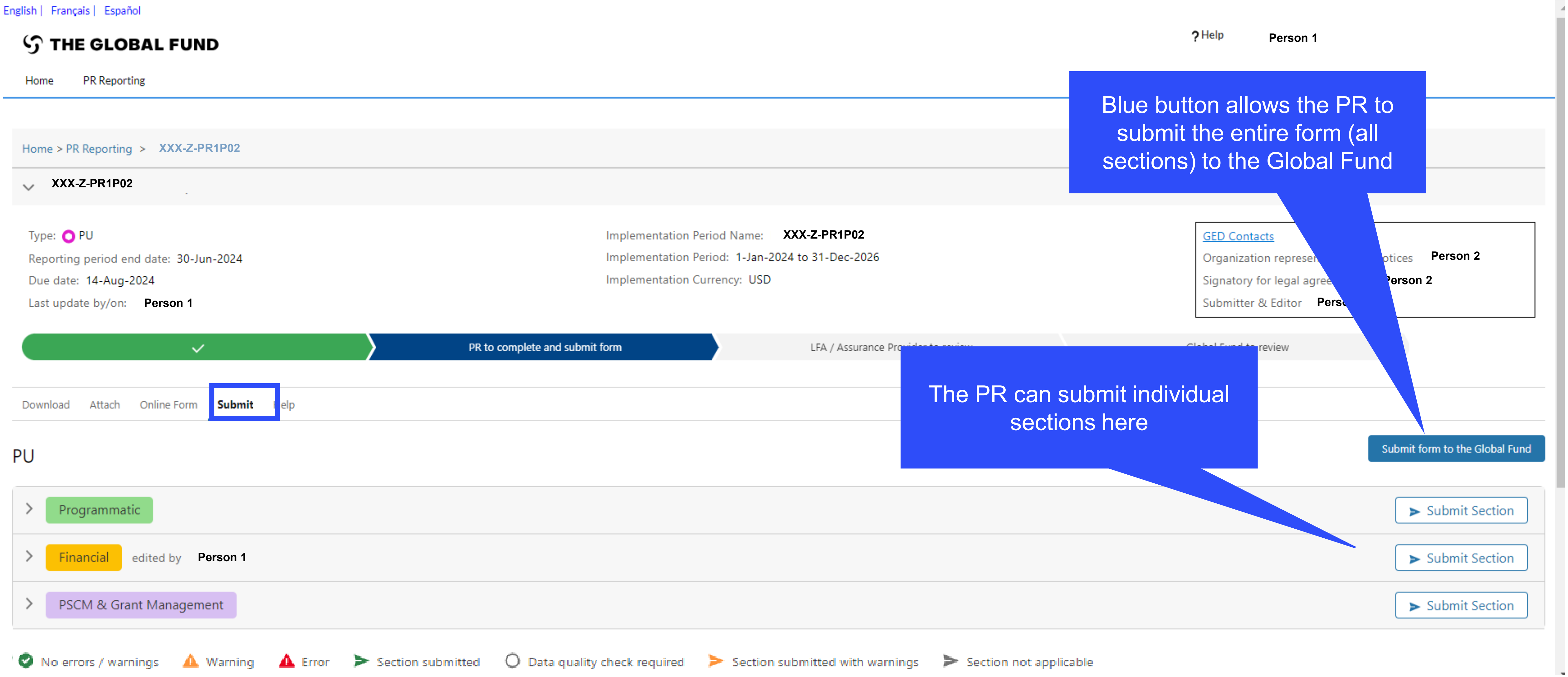
How to navigate PUs in Partner Portal – Submit



How to navigate PUs in Partner Portal – Submit

PU

Submit form to the Global Fund

Clicking the down arrow expands the section to see a summary of each tab

Programmatic — Submit Section

Financial — edited — Submit Section

Subsection	Info	
Coversheet	No errors / warnings	Go to section
Cash Reconciliation	No errors / warnings	Go to section
Open Advances	No errors / warnings	Go to section
Expenditure Report	No errors / warnings	Go to section
Non-compliant Expenditures	No errors / warnings	Go to section

All finance tabs have been checked and have no errors/warnings – this section is ready to submit by clicking here

PSCM & Grant Management — Submit Section

Subsection	Info	
Health Products	Data quality check required	Go to section
Grant & Risk Management	Data quality check required	Go to section
Self Assessment	Data quality check required	Go to section

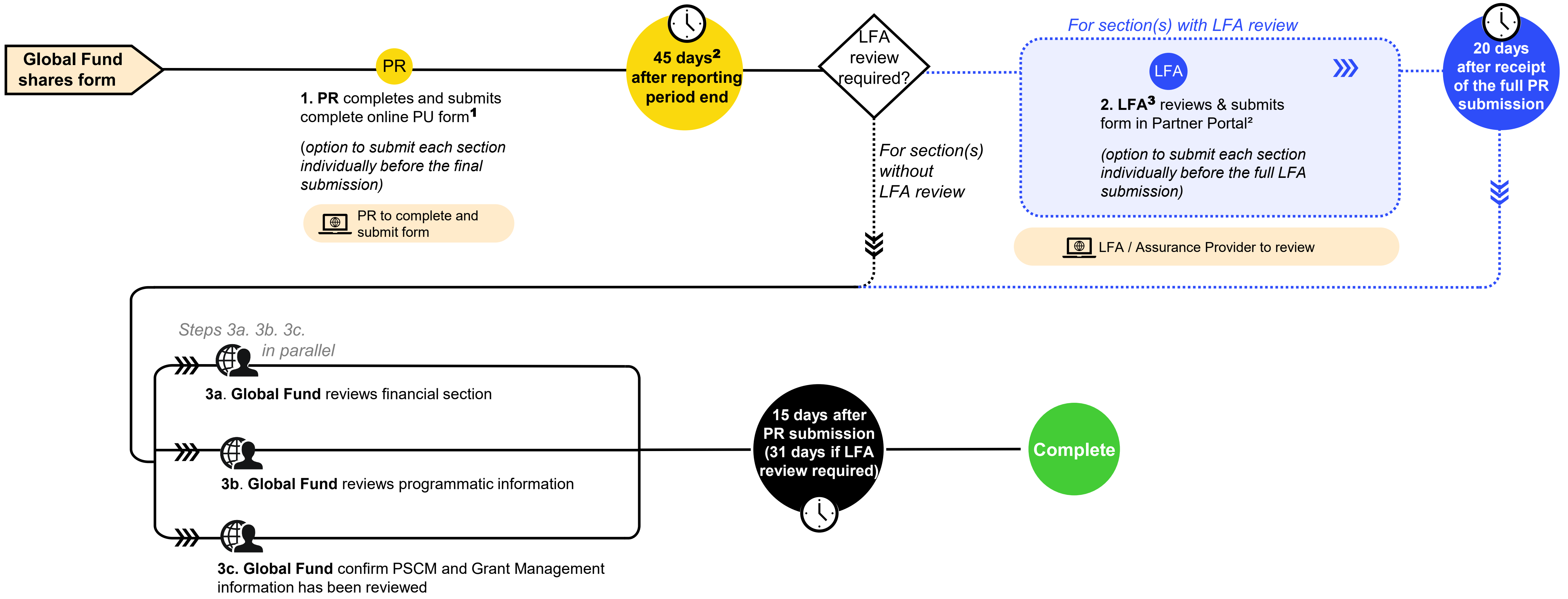
The tabs in the PSCM & Grant Management section require data quality checks before submission

PU Reporting

Overview of Process and Statuses

Last updated: 11 June 2024

Country Team
Partner Portal status
PR Principal Recipient
Optional
LFA Local Fund Agent

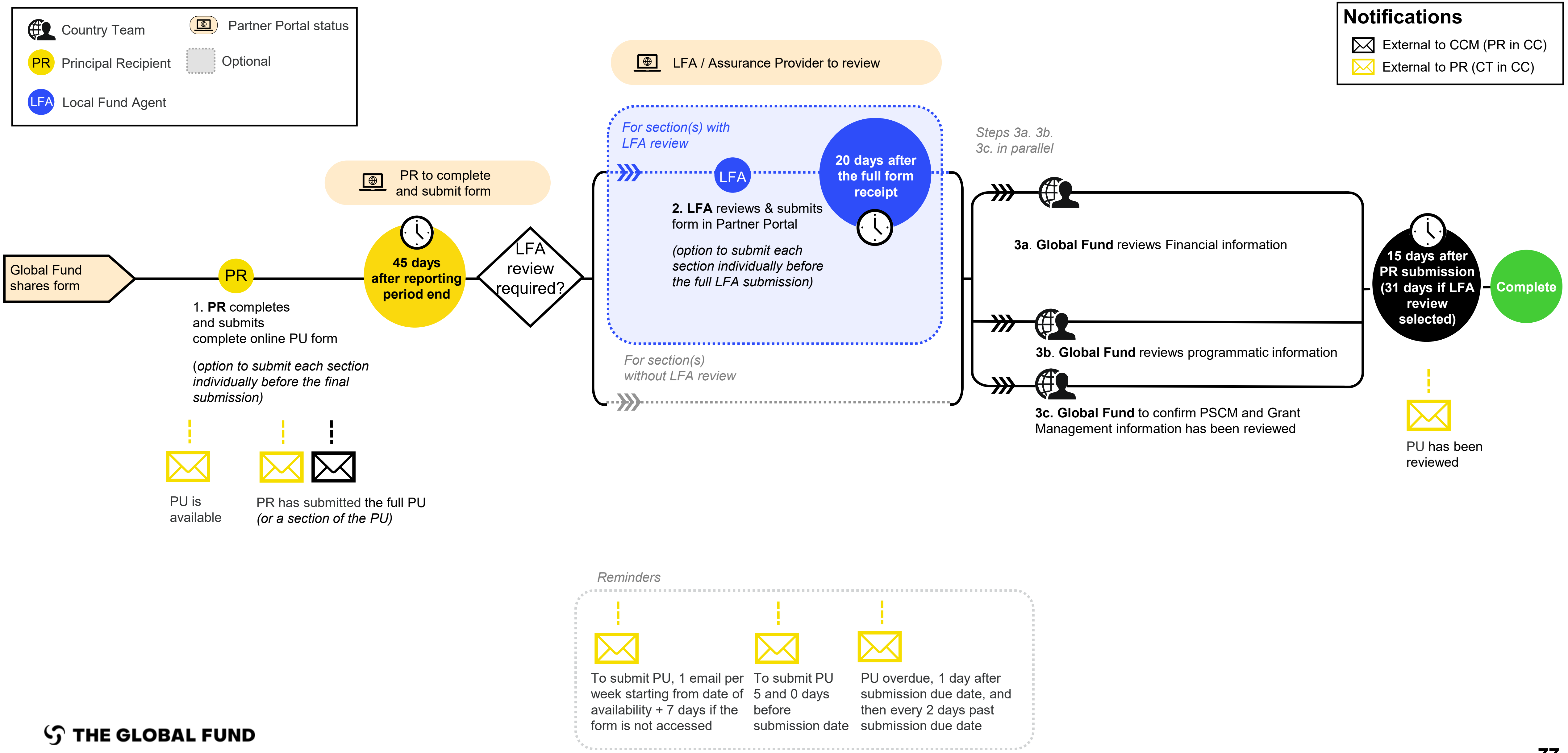


[1] PRs are strongly encouraged to directly enter data into the online form. A supporting Excel is available for data collection & collaboration and can be imported into the online form. It cannot be used for submission to the Global Fund.

[2] Calendar days; Portfolios categorized as Challenging Operating Environments have 60 days to submit the PU.

[3] LFA or other Assurance Provider.

Notifications have been updated to deliver a transparent end-to-end overview for CTs, PRs and CCMs



THE GLOBAL FUND

4 Next steps and resources

Info sessions are planned to align with reporting timelines and corresponding system releases

There will be a series of online information sessions on the key changes for implementation oversight in 2024.

PU and FCR			PUDR
July			**November**
Today **English**	**French**	**English**	
2 July **13.00-15.30** Info Session and Q&A	**4 July** **10.00-12.30** Info Session and Q&A	**31 July** **10.00-12.30** Info Session and Q&A	*(Date TBD)* Info Session and Q&A
PRs, CCMs		LFA	PRs, LFAs, CCMs

For a complete list of materials for updated implementation oversight processes, please refer to the [Resources]() slide.

Resources

Resources	Links
Monitoring & Evaluation	GC7 Modular Framework Handbook English | French | Spanish
	GC7 Indicator Guidance sheets: Landing page (available in English French, Spanish)
	C19RM Modular Framework handbook - English
	C19RM Indicator Guidance sheets – English | French | Spanish
System instructions	Instructions: PR Reporting Handbook *(link forthcoming)*
	Partner Portal Screen Preview: PU & FCR preview
Infographic and demo videos	PU process & FCR process Demos *(links forthcoming)*

5 Questions and Answers

Questions and Answers

1. I believe most of us are working on the off-line (Excel) form for our internal validation and review process before entering (direct entry) to the portal. Is it possible for the Global Fund to provide the Excel version of the Portal template for us to work offline? This would be preferable for PRs to prepare and submit the report because the internal validation and review processes are vital for quality reporting.
2. Is there any Excel template in the Portal to work offline? Downloading (and later uploading the completed Excel PU form from the Portal has been very convenient rather than online data input.

There will be a supporting Excel file available to the PR (including internal review and validation process). Please note that the data will still need to be entered into the online form. This will then be subject to Data Quality Checks (DQC). If any warnings or errors are triggered, the PR can adjust/correct/clarify as needed before submitting the online form.

Questions and Answers

3. Is the PU going to be captured online as well, or only the PC?

Yes, the PU is available and must be completed online.

4. Countries classified as COE have 60 days to submit the PU after the reporting end date, which would mean end August for the PU as of 30 June 2024c. Can you confirm this, or does it need to be reconfirmed for each grant with the relevant GF CT?

The additional 15 days allowable for COEs is automatic but should be confirmed with the respective CT.

Questions and Answers

5. Can we save an offline version of our submitted PU for our record?

The pdf version of the submitted PU will be available for PRs to access and save for their records.

6. With the new arrangement of required reports (i.e. PC, PU/DR) per quarter, what is the position of Quarterly Financial Report (QFR)? Is it still required for preparation and submission?

The QFR is no longer required since GC6. The PC and PU/DR provide the Global Fund with the required information.

Questions and Answers

7. How about the disaggregation tabs we used to have in the offline Excel version? Will they be in the portal?

Disaggregation os not required to report in the PU, so there will not be a tab available for reporting. However, disaggregation will be reported at the time of PUDR.

8. Like Financial reporting, it would be helpful if the PRs have one Excel form for the programmatic reporting, as well as the copy-paste option in the online platform.

Thank you for feedback. The team will explore the options for expanding this functionality further.

9. Some of the impact/outcome indicators from GC6 are due in Jan-Jun 2024 (GC7). These are not included in the Performance Framework. How will the Global Fund collect these indicators due as these will not be in the Partner Portal for the PR to report on in GC7.

The results for impact/outcome indicators where the report due date is outside the grant implementation period are reported in the grant closure period in the Final PU. If the results are available past the grant closure, and the related interventions are supported by the new grant, these indicators are included in the new grant and the last available results are used to set the baselines in the new Performance Framework.

Questions and Answers

10. A survey was sent out recently by the Global Fund to PRs regarding the shift to online reporting. In our response, we indicated strongly that the offline template for reporting should be maintained because using the offline template makes the data collation and internal reviews easier for the PRs.

Thank you for sharing your feedback. This is valuable for us to have a better understanding of your team's needs.

Questions and Answers

11. In the case of GC6 grants which ended on 31/12/2023 and which had a C19RM component continuing until the end of Dec 2025, which financial information will have to be included in the C19RM PU? Will it be only the C19RM financial information or is there an expectation that the GC6 final financial data (as per the GC6 FCR) will have to be included in this C19RM PU as well?

In the case where the regular grant ended on 31 December 2023 and C19RM is continuing, for the 30 June 2024, the FCR is required which will also include the relevant sections for C19RM reporting. There will be no separate C19RM PU for the finance parts. There is a requirement, however to complete a PU for the programmatic parts.

Questions and Answers

12. Does this mean that the signature page will no longer be necessary?

The signature page will only be required for the PUDR and Final PU (not the PU).

13. Will it be possible for 2-3 specialists to work online simultaneously and enter data in different sections?

Yes, it is possible for multiple users to work on the same section simultaneously. Please note, however, it's possible for one user to overwrite the data from another user when working in the same cell at the same time. The user who enters the data last will be saved in that circumstance.

Questions and Answers

14. For non-compliant expenditures, is it the cost questioned by the LFA or the disallowed cost as mentioned in the Management Letter sent by the CT?

Non-compliant expenditure in section 10 relates to the reporting period. The PR shall report the non-compliant expenditure identified either within their own records or at the SRs. LFAs and CT will have their separate section for the same.

15. Please provide clear guidance on a situation where GC6 bank statements are used for the GC7. That is, FCR transactions and the new grant are being operated through same bank accounts - the issue surrounding the reporting cash balances.

The separation of transactions and balances will have to be done in the Bank reconciliation statement or alternatively through a reconciliation statement that can be attached in section 9.

Questions and Answers

16. For which portfolios is LFA review required?

For Finance, there is no LFA review for the PU. However, the CTs will devise its assurance plan to determine what reviews will be done during the grant life cycle. (The PU is not required for Focused portfolios).

17. It would be very useful if the Global Fund could provide the guidelines on how to fill in the online form (including what kind of data is to be entered) as the Global Fund used to have the PU/DR Guidelines.

Detailed guidelines on how to complete the form will be provided.

Questions and Answers

18. Will there be character limits in the comments sections? Will there be a self-assessment section?

The limit has been set to 10,000 characters.
There is a self-assessment section available in the PU.

19. Can a section submitted earlier still be revisited/edited before the final submission of the PU?

No. Once the section is submitted to the Global Fund, it can no longer be corrected by the PR and it is considered as final.

Questions and Answers

20. Can the whole PU be imported and then extraction done for all sheets or is it sheet by sheet?

PRs will be able to download the supporting Excel which will contain all sections required for reporting. The information captured in the supporting Excel will be imported for all tabs.

21. Is the supporting Excel mentioned the same as the existing PU template?

The supporting Excel will be quite similar to the previous Excel version, however, please note there have been changes made to the reporting requirements. Only the new supporting Excel downloaded from Partner Portal will be compatible for import. Do not use copies of prior templates.

Questions and Answers

22. In cases where the PR is not able to submit the online PU within the established deadline for submission, what is the procedure to extend the deadline?

The deadline for PU submission is 45 (calendar) days. If any issues are experienced in the Partner Portal, the PR is encouraged to contact the Country Support team at country.support@theglobalfund.org. The team can be also contacted via the Partner Portal in the 'Help' tab.

23. Can sections be updated/corrected after uploading?

The information in the section can be updated after the Excel has been imported. Once the section / form is submitted to the Global Fund, it can no longer be corrected by the PR and it is considered as final.

Questions and Answers

24. Are there formula links and error warnings present on the offline (Excel) form, similar to the PUDR offline (Excel) form?

The formula calculations will be enabled in Excel. Please note, however, that the PR **cannot submit the supporting Excel**. The data will need to be either entered or imported into the online form and data quality checks run prior to the submission to the Global Fund.

25. What are the support arrangements for PRs using this online tool? Who should be contacted if something is unclear or if there are error messages during the submission?

In case of issues experienced in the Partner Portal, the PR is encouraged to contact Country Support team at country.support@theglobalfund.org. The support team can be also contacted via the Parther Portal in the 'Help' tab.

Questions and Answers

26. After submitting a section or the full form, is it possible to correct data and resubmit?

Once the section or full form has been submitted to the Global Fund, the section/form is locked from further editing.

27. What about the sign-off by the Head of Agency? Is this step no longer required for the online submission?

The sign-off page will only be required for the PUDR and Final PU.

Questions and Answers

28. If the PR enters data directly into the form (the first out of 3 methods), is there a way to export all the data entered in Excel (or other format) for a final check before submission?

The PRs will be able to download a supporting Excel for data entry only. Once the PR submits the form, the pdf with the submitted information will be available.

29. When do we expect to receive the notification of the PUs being available online?

The PR will receive an automatic notification informing that the PU is available in the Partner Portal.

In the meanwhile, we strongly recommend that you check whether your team has at least two Submitters defined in Grant Entity Data (GED) to ensure your team can complete and submit the form swiftly.

Questions and Answers

30. How long does it take for the LFA to review submissions and provide feedback, if any?

The LFAs has 20 (calendar) days to complete its review.

31. Is it possible to have multiple accounts with different access rights: for data entry and for submission?

One user cannot have Submitter and Editor rights at the same time. Please note that: the user with Editor rights can edit the information; the user with Submitter rights can Edit and Submit the form to the Global Fund.
We strongly encourage PRs to define at least two Submitters in Grant Entity Data (GED) to ensure swift completion and submission of the sections / form.

Questions and Answers

32. The CCM lacks access to the online PU/DR and PC. How do you plan to ensure CCMs have access to these forms?

Thank you for your feedback. The CCM Chair, Vice Chair and Civil Society Representatives will be informed once the submission has taken place. We are exploring options to further expand access to the Partner Portal.

33. Are there any specific times the Portal opens and closes. If yes, what is the procedure that the PR need to follow to update the report if there are any corrections to make?

The Partner Portal is accessible 24h/7 and the PR can access the PU form once it has been shared by the Global Fund. In case of issues experienced in the Partner Portal, the PR is encouraged to contact Country Support team at country.support@theglobalfund.org. The support team can also be contacted via the Parther Portal in the 'Help' tab.

Questions and Answers

34. When are you planning to send us the Excel template for both PU and FCR?

A preview of the required PU and FCR content is available on the website (English only). Note that PRs will receive notifications to access and complete online forms in the Partner Portal once the reporting period ends. The PR will then be able to download the supporting Excel from Partner Portal.

35. What is the submission deadline for the PU ? Is there any change due to these new changes.

The submission deadline for PU is 45 days.

Questions and Answers

36. Can we have a demo of how the disaggregation data will be entered in the PUDR report?

The demo for the data disaggregation entry in the PUDR will be available in Q3 2024.

37. To which extent will the PU be auditable (by LFA/External Auditors)?

The assurance scope for the PU review is determined by the Country Team, specifically tailored to the portfolio context and needs.

For Finance: there is no LFA review for the PU. However, the CT will devise its assurance plan to determine what reviews will be done during the grant life cycle. There will be LFA reviews for FCRs as of 30 June 2024.

Questions and Answers

38. Regarding the first PU for GC7 grant, should the Expenditure Report compare the annual budget to the semi-annual expenditure?

The annual budget will be prepopulated in the online form. It’s meant to serve as information and insight on absorption. It’s an indication of how much of the annual budget was spent at the 6-month mark.

39. GC7 Budget was developed on an annual basis and does not have a quarterly budget. How should the explanation of the variance be done since we do not have a periodic budget to compare with periodic expenditures?

The variance explanation for the PU is meant to provide insights on the progress towards the year's objectives and budget. At the PUDR and FCR stage, the explanations need to be provided on a cumulative basis only and will be in respect of variances of +-15%.

40. For C19RM that will be included in the FCR and that is continuing, will its subsequent financial report be cumulative of the expenses reported in the FCR?

Yes, but for the C19RM portion only.

41. Can the PU and PUDR be reported on in a continuous manner for coverage indicators? This would aid the effective progress monitoring.

The PU and PUDR will follow the current approach for reporting. PRs will, however, have the possibility to update the Q1 results at the PU submission stage, and to update the Q1, Q2 and Q3 results at the PUDR submission stage. For indicators that are reported semi-annually, they can update the S1 results at Q4 when submitting the PUDR.

Questions and Answers

42. The FCR basically focuses on commitments recorded in the 2023 PU. There is a need to provide guidance on the applicable rate FX rate to apply when documenting the FCR, especially when there is a change in the FX rate after the last PU.

At the time of the Last PU, the commitments are translated at the closing rate of the reporting period. In the FCR, commitments are recalculated using the rate at the date of transaction. The difference then needs to be recorded in the FCR (Expenditures). In addition, the Commitments & Obligation Listing will provide the opportunity to update the information, as relevant.

ANNEX

Data Quality Checks ensure PR submissions are accurate and complete upon submission

- Data Quality Checks are a set of automated actions that the system performs to flag irregularities. The checks support to:
  - Improve data quality by flagging potentially incorrect data
  - Prevent data entry errors
  - Improve data integrity and consistency
  - Speed up the review process of the submitted data by Global Fund

There are 2 types of checks in Partner Portal:

Error
- Alerts when the data does not comply with validation rule
- Does not allow PR to submit until the error is corrected.
- Allows the system user to review and correct the data before submitting the form.

Warning
- Flags the system user to check if the data captured is correct or contains a potential error.
- Requires a justification comment if the warning is overruled.
- Allows PR to proceed and submit.

Note: Only enter a '0' when the result is zero. If the result is not (yet) available, leave the cell blank and provide a justification.

Data Quality Checks – Programmatic errors

Errors require the **correction** of values entered for users to proceed.

Programmatic Error Messages	Action Required	Type
HTS-5 Numerator cannot be more than TCS-1.1 numerator	Update the value(s) accordingly	Error
VT-2 Denominator cannot be greater than denominator for VT-1		
TCS-1.1 <5 and 5-14 age disaggregation must be equal to the <15 age disaggregation.		
KVP-1 numerator cannot be more than TBDT-1 numerator.		
KVP-2 numerator cannot be more than TBDT-1 numerator for same target area.		
This result must be blank as no target was provided		
One or more 'Progress Status' fields are blank.	Select a progress status	

Data Quality Checks – Programmatic warnings

Warnings require **correction** or a **justification comment** for users to proceed.

Programmatic Warning Messages	Action Required	Type
Missing results (numerators and/or % results)	Update the value(s) or provide a justification	Warning
If N is greater than D for Percentage indicators		
If CM-2a Numerator more than CM-1a Numerator*		
If CM-2b Numerator more than CM-1b Numerator*		
If CM-2c Numerator more than numerator of CM-1c*		
TB O-2a results should be equal to TBDT-2 results		
TB O-4 results should be equal to DRTB-9 results		
The numerator should not be more than the denominator result		
This result has increased/decreased by 50% compared to the previous period.		
A result is required given that a baseline value was provided		
The sum of disaggregated results cannot exceed the aggregated results by more than 5%		
The reporting year for the targets and the results should be the same		
The denominator result is different from the result validated in the previous period		
The denominator result entered is the same as the target numerator		

Data Quality Checks – Financial errors (1/2)

Errors require the **correction** of values entered for users to proceed.

<table>
<tr><th>Financial Error Messages</th><th>Action Required</th><th>Type</th></tr>
<tr><td>One or more fields in this section are blank.</td><td>Enter a numerical value (Can be a ‘0’)</td><td rowspan="12">Error</td></tr>
<tr><td>The exchange rate field cannot be blank.</td><td>Enter a numerical value</td></tr>
<tr><td>A rationale is required for the value entered under Item No. 4.1 ‘Other reconciliation adjustment’.</td><td>Provide a rationale in the ‘Comments’ field under Item No. 4.1</td></tr>
<tr><td>There is a variance between the Bank Statement balance and the PR cash balance.</td><td>Provide a rationale in the ‘Comments’ field under Item No. 9.3</td></tr>
<tr><td>There is a variance between the Bank Reconciliation Statement balance and the PR cash balance.</td><td>Provide a rationale in the ‘Comments’ field under Item No. 9.4</td></tr>
<tr><td>A rationale is required for the value entered under Item No. 10.1 ‘Non-compliant expenditures validated for the current financial reporting period’.</td><td>Provide a rationale in the ‘Comments’ field under Item No. 10.1</td></tr>
<tr><td>A bank statement or equivalent must be attached – If there is no document attached of file type bank statement/equivalent.</td><td rowspan="2">Attach the document in the ‘Attach’ tab</td></tr>
<tr><td>A bank reconciliation statement must be attached – If there is no document attached of file type bank reconciliation.</td></tr>
<tr><td>The absorption percentage for the cumulative period is less than 85% or more than 115%.</td><td>Provide a rationale in the ‘Explain cumulative period variances’ field</td></tr>
<tr><td>One or more reconciling adjustment(s) have been entered in sections 13.11 to 13.15.</td><td rowspan="3">Provide a rationale in the ‘Comments’ field</td></tr>
<tr><td>There is a variance in section 13.17.</td></tr>
<tr><td>There is a variance in section 13.2.4.</td></tr>
</table>

Data Quality Checks – Financial errors (2/2)

Errors require the **correction** of values entered for users to proceed.

Financial Error Messages	Action Required	Type
The sum of value(s) entered under Item no. 2.7.3 ‘Tax refunds received’ in the Cash Reconciliation section does not equal the sum of PR values under Items No. 12.3 ‘Taxes recovered from Tax Authorities’ and No. 12.5 ‘Total taxes reimbursed by implementer to Grant account’ in the Tax Reporting section.	Update the value(s) accordingly	Error
The adjustments made for Item 8 ‘SR open advances at closing at PR level’ for each sub-recipient must be equal to the adjustments made at a total level.		
The expenditure totals of the ‘Costing Dimension’, ‘Module / Intervention’ and ‘Implementing Entity’ tables must be equal.		
The cumulative expenditure in the ‘Costing Dimension’, ‘Module / Intervention’ and ‘Implementing Entity’ tables cannot be negative.		
The 'Savings for reinvestment' totals of the 'Costing Dimension', 'Module / Intervention' and 'Implementing Entity' tables must be equal.		
The 'Delayed activities' totals of the 'Costing Dimension', 'Module / Intervention' and 'Implementing Entity' tables must be equal.		
The 'Other variance' totals of the 'Costing Dimension', 'Module / Intervention' and 'Implementing Entity' tables must be equal.		
The amounts forecasted for subsequent years broken down by 'Costing Dimension', 'Module / Intervention' and 'Implementing Entity' must be equal.		
The amounts forecasted for subsequent years must be equal to the Expenditure Gap.		
The total 'Forecast net of commitments' for the execution period must be equal to the 'Forecast for the year' set in the Forecast section. Update the value accordingly.		
The liquidation of commitments by quarter must be equal to the total commitments reported in Commitments and Obligations section.		
The value entered in this field cannot be negative.		
The calculated disbursement request amount must be equal to the breakdown of disbursements by type and entity.		

Data Quality Checks – Financial warnings

Warnings require **correction** or a **justification comment** for users to proceed.

Financial Warning Messages	Action Required	Type
A negative value has been entered in Item No. 5.1 ‘Total PR cash balance’.	Update the value or provide a justification	⚠ Warning
The value entered is less than the value validated in the previous period for the same item(s).		
The cumulative expenditure reported in the current period (Item 2) does not equal the cumulative expenditure reported in the previous period (Item 2 + Item 6).		
The amount reported in Item 6 'Expenditures validated by the PR during the current financial reporting period' does not equal the expenditure reported for sub-recipients in the 'Detailed Expenditures' tab.		
The 'total taxes remain unrecovered at the end of the Reporting Period' reported in the Closure tax report does not equal the sum of all tax reports for each year.		
The balance of recoveries in the 'Recovery' module does not equal Item 12.7 'Balance of taxes unrecovered at the end of the Reporting Period'.		

Data Quality Checks – PSCM & Grant Management errors

Errors require the **correction** of values entered for users to proceed.

PSCM & Grant Management Error Messages	Action Required	Type
Additional information is required when stockout/expiry risks are selected. Provide a rationale for each pharmaceutical & health product with risk of stockout/expiry.	Provide a rationale in the 'Comments' field	Error
Additional information on the delays are required when the number of days between the planned and actual quantification completion dates is 30 days or more.		
Additional information is required when orders are not placed according to plan.		
Additional information is required when 'No' is reported in the field 'Score calculation - Tracer product(s) withing stock plan'.		
Additional information is required when 'Other' is selected in the field 'Data source'.		
The comment field in the 'Price Quality Reporting' section cannot be blank.		
The 'Comments on implementation progress' fields cannot be blank if the 'Progress status' is marked as 'Off-track' or 'Delayed'.		
The 'external factors beyond the control of the PR' field cannot be blank.		
The 'Principal Recipient Performance - Self-Assessment' field cannot be blank.	Provide a summary of the grant performance during the current reporting period.	
The 'Progress status' fields cannot be blank. For each grant requirement, select a value from the dropdown menu.	Select a value from the dropdown menu	
The 'Progress status' fields cannot be blank. For each KMA/MA, select a value from the dropdown menu.		
The 'Completion date' fields cannot be blank if the 'Progress status' is marked as 'Complete'.	Select a date in the 'Completion date' field(s)	
The fields 'PR Response' and 'Forward-looking assessment of performance per module' cannot be blank.	For each module, select an option from the dropdown menu and provide a comment.	

Data Quality Checks – PSCM & Grant Management warnings

Warnings require **correction** or a **justification comment** for users to proceed.

<table>
<tr><th>PSCM & Grant Management Warning Messages</th><th>Action Required</th><th>Type</th></tr>
<tr><td>The 'Risk of expiry' fields should not be blank. For each pharmaceutical & health product, select an option from the dropdown menu or provide a justification.</td><td rowspan="5">Select an item from the dropdown menu or provide a justification</td><td rowspan="8">Warning</td></tr>
<tr><td>The 'Risk of stock Out' fields should not be blank. For each pharmaceutical & health product, select an option from the dropdown menu or provide a justification.</td></tr>
<tr><td>The 'Actual order placement date' fields should not be blank. For each tracer product, select an option from the dropdown menu or provide a justification</td></tr>
<tr><td>The 'Price Quality Reporting' section should not be blank.</td></tr>
<tr><td>The 'data source' fields should not be blank. For each tracer product, select an option from the dropdown menu or provide a justification.</td></tr>
<tr><td>The date(s) entered should not fall outside the Implementation Period.</td><td>Update the date(s) or provide a justification</td></tr>
<tr><td>The 'Stock on hand in packs' fields should not be blank. For each tracer product, enter a numerical value or provide a justification.</td><td rowspan="2">Enter a numerical value or provide a justification</td></tr>
<tr><td>The 'Average Monthly Consumption (AMC) in packs' fields should not be blank. For each tracer product, enter a numerical value or provide a justification.</td></tr>
</table>

Programmatic Reporting – Coverage Elementary Indicators

Impact/Outcome Indicators | Coverage Elementary Indicators | Coverage Indicators | WTPM

Special consideration for interlinked coverage indicators*, when one or both the indicators have sub-national scope of target

		Interlinked Indicators	Geographic Scope of target	Result numerator	Result denominator
One indicator sub-national	**Example #1**	KP-1a	National, 100% of national target	50	100
		HTS-3a	Sub-national, <100% of national target	20	100
	Example #2	TBDT-1	National, 100% of national target	100	- NA
		TBDT-3a **OR**	Sub-national, 100% of national target	50	100
		TBDT-3a	Sub-national, <100% of national target	30	40
Both indicators sub-national (e.g., results from different geographic areas in the country)	**Example #3**	TB/HIV-7.1	Sub-national, <100% of national target	300	600
		TCS-9	Sub-national, <100% of national target	200	500

Sub-national denominator results will be entered on the coverage indicator pop-up page

Remaining results, i.e., all numerators and national denominators will be entered on the elementary indicator pop-up page.

*The term interlinked indictors is being used to refer to those standard coverage indicators where same elementary indicators (numerator or denominator definitions) apply across more than one standard coverage indicators.