Funding Request Instructions
Program Continuation

Allocation Period 2023-2025

These instructions guide applicants on how to complete the Program Continuation funding request form. These instructions should be read by all stakeholders engaged in the development of a Program Continuation funding request for the 2023-2025 allocation period.

Contents

Introduction

Use of the Program Continuation funding request

Applicants will receive an Allocation Letter indicating an allocation amount and whether a Program Continuation application approach should be completed.

This Program Continuation is the standard approach for enabling the continued implementation of Global Fund-supported grants for the next funding cycle under a similar strategic approach and programmatic interventions as the current grants. The purpose of this funding request is for applicants to provide the justification for the continuation of these grants.

Development of the funding request

Applicants will receive a blank application form and attachments from their Global Fund Country Team after the Allocation Letter has been shared. Annex 1 includes a checklist of the various attachments to be included with the funding request.

The Global Fund requires that the funding request be developed in an inclusive way. Applicants are encouraged to refer to the Preparing for Country Dialogue in the 2023-2025 Funding Cycle eLearning[1] for expectations and recommendations related to inclusive funding request development.

As described in the Information Notes,[2] proposed interventions should be responsive to community needs, supported by up-to-date disaggregated data, aligned with technical guidance, and address equity and human rights- and gender-related barriers in access to services. Responses to the funding request should be guided by relevant National Strategy Plan(s) (NSPs), health sector strategies, health financing strategies, program reviews, assessments, national technical guidelines, and other national documents, and should be aligned with the 2023-2028 Global Fund Strategy.[3]

Integrated and joint funding requests

The Global Fund uses the term "component" to refer to the collective HIV, TB, malaria, or RSSH investments in a funding request or a grant. For example, a funding request might include HIV, TB and RSSH components, which means it has modules for each of those three areas of investment.

The term "joint funding request" refers to a funding request that includes at least two components. The term "integrated funding request" refers to funding requests which include all eligible disease components and RSSH.

The Global Fund encourages applicants to submit integrated funding requests, which allow them to better present how the disease programs and investments in RSSH are being considered comprehensively and how the programs best complement each other, achieving better integration and value for money.

In cases where there is a high rate of co-infection of TB and HIV, a joint TB/HIV request is required.

Flexibilities for countries classified as Challenging Operating Environments (COEs)

All countries have been impacted by the COVID-19 pandemic and many countries continue to face emergencies and multiple challenges which impact their health and community systems. However, some

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[1] Preparing for Country Dialogue in the 2023-2025 Funding Cycle eLearning (Registration on iLearn required: https://www.theglobalfund.org/en/ilearn/) - https://theglobalfund.csod.com/DeepLink/ProcessRedirect.aspx?module=loRegisterAndLaunch&lo=e92ab83a-37b8-405d-9b4c-64684a7e4013
[2] Information Notes - https://www.theglobalfund.org/en/applying-for-funding/design-and-submit-funding-requests/applicant-guidance-materials/
[3] 2023-2028 Global Fund Strategy - https://www.theglobalfund.org/media/11612/strategy_globalfund2023-2028_narrative_en.pdf

countries may face additional operational challenges and fragilities[4] which should be taken into consideration.[5] Countries which have been classified as COEs may be granted enhanced flexibilities in the funding request process.[6]

Review of the funding request

Once submitted, documents assessed by the Global Fund Secretariat (as indicated in Annex 1) will be used for an initial screening to ensure submission requirements are met and to establish baselines for future allocation periods. Funding requests will then be reviewed by the Technical Review Panel (TRP) using their published review criteria[7] which assesses technical soundness and alignment with the 2023-2028 Global Fund Strategy, potential for impact, and the extent to which the funding request is poised for sustainability. The TRP will also consider any progress made towards Issues identified in the TRP Review and Recommendation Forms, especially those from the 2020-2022 allocation period.

Applicants are encouraged to review the TRP Observations Report 2020-2022[8] and consider lessons learned which can be applied to national programs and assist in successful development of funding requests. Applicants should also review previous TRP Review and Recommendation Forms to demonstrate that progress has been made on Issues previously identified.

Learning more

The Applicant Handbook[9] provides information on the Global Fund Funding Cycle going beyond the scope of these Instructions. It also includes recommended learning materials about developing funding requests.

For questions, contact accesstofunding@theglobalfund.org.

Important Changes within the Program Continuation Form for the 2023-2025 Allocation Period

Applicants who have used the Program Continuation application approach in the past may find the 2023-2025 allocation period form familiar. This section describes what has changed, to align expectations to the new 2023-2028 Global Fund Strategy.

Applicants are encouraged to refer to the Applicant Handbook and the other applicant guidance materials for more information on these important areas.

Engagement and leadership of most affect communities

The Global Fund recognizes that the robust engagement of communities leads to investments based on evidence and on human rights, are responsive to age and gender, are equitable and are sustainable. To encourage communities being at the center of programs, expectations of community engagement and leadership during the development of funding requests have been refined and are reflected in two new annexes which should be submitted with the funding requests:

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[4] The term "fragilities" is used in alignment with other development partners, such as the WHO, GAVI, the World Bank and the OECD.
[5] The Challenging Operating Environments Policy Note is currently undergoing revision. As of March 2022, the list of COE countries eligible for the 2023-2025 allocation period include Afghanistan, Burkina Faso, Burundi, Central African Republic, Chad, Democratic Republic of the Congo, Eritrea, Guinea, Guinea-Bissau, Haiti, Iraq, Democratic People's Republic of Korea, Lebanon, Liberia, Mali, Myanmar, Nicaragua, Niger, Nigeria, Pakistan, Palestine, Sierra Leone, Somalia, South Sudan, Sudan, Syria, Ukraine, Venezuela and Yemen.
[6] As indicated in the Challenging Operating Environment Operational Policy Note -
https://www.theglobalfund.org/media/3266/core_operationalpolicy_manual_en.pdf
[7] Terms of Reference of the Technical Review Panel – Review criteria -
https://www.theglobalfund.org/media/3048/trp_technicalreviewpanel_tor_en.pdf#page=15
[8] TRP Observations Report 2020-2022 - https://www.theglobalfund.org/media/12137/trp_2020-2022observations_report_en.pdf
[9] Applicant Handbook - https://www.theglobalfund.org/media/4755/fundingmodel_applicanthandbook_guide_en.pdf

- The Funding Request Priorities from Civil Society and Communities annex will list the needs and requests identified during funding request development, and whether these were prioritized for inclusion under Allocation Funding or the Prioritized Above Allocation Request (PAAR).
- The Country Dialogue Narrative annex will include descriptions of the structure of and participation in the country dialogue used to inform the development of the funding requests.

Applicants are encouraged to refer to the Preparing for Country Dialogue eLearning for expectations and recommendations related to inclusive funding request development.

Investing in resilient and sustainable systems for health

Applicants should consider how investments in resilient and sustainable systems for health (RSSH) go beyond individual disease programs and focus on aligning and strengthening broader health and community systems.

To ensure that RSSH investments are considered comprehensively, applicants submitting a Program Continuation funding request are required to develop an annex on the gaps and priorities within RSSH and submit it with every funding request.

Applicants are encouraged to refer to the RSSH Information Note[10] which includes 'critical approaches' for laboratory, human resources for health and health product management systems.

The Applicant Handbook includes recommendations on how timelines for analyses and decisions can be structured to optimize the efficiency and flow of country dialogue.

Pandemic preparedness

The impact that the COVID-19 pandemic has had on health systems and disease programs around the world highlights the importance of preparing for future pandemics while we are responding to HIV, TB and malaria. In the 2023-2025 allocation period, applicants are asked to demonstrate this consideration and show preparatory steps.

In many cases, it is expected that investments in pandemic preparedness will be reflected in the RSSH modules and interventions prioritized for allocation funding or in the Prioritized Above Allocation Request. These modules include laboratory, human resources for health, surveillance, and medical oxygen and respiratory care. Where appropriate, specific interventions and activities from these modules should align with and deliberately strengthen International Health Regulation capacities as reflected in joint external assessments and national action plans for health security, or through support to implementation of other related pandemic preparedness plans e.g., influenza, at national and local levels.

In all cases, applicants are encouraged to refer to the RSSH Information Note for more details on how to prepare against future pandemics.

Completing the Essential Data Tables

The Essential Data Tables now include additional fields and tabs which applicants are asked to complete.

Part of the tables are still pre-filled by the Global Fund Secretariat using publicly available datasets and information submitted to the Global Fund from current grants. Applicants are still asked to review the data and update or correct it if it is different from the data being used for analyses in the funding request. Applicants are also asked to complete any data tables which were not pre-filled by the Secretariat.

Applicants are encouraged to review and complete the Essential Data Table(s) before filling out the funding request template, providing the data with the funding request where relevant and indicating the source of the data. Once the Essential Data Tables are reviewed and complete, applicants are encouraged to refer

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[10] RSSH Information Note - https://www.theglobalfund.org/media/4759/core_resilientsustainablesystemsforhealth_infonote_en.pdf

to this information throughout the funding request template, ensuring the data is aligned with data included in the funding request form.

Program Essentials

Program Essentials are key evidence-based interventions and approaches to address the ambitious goals set out in the respective global HIV, TB and malaria strategies. When part of national programs, Program Essentials will support countries to achieve their national targets. To ensure that programs are on track to fulfilling Program Essentials, applicants are asked to indicate their progress towards meeting them in the TB and HIV Essential Data Tables. TB, HIV, and malaria applicants from Core and High Impact countries are also asked to describe in their funding request narrative any plans to address Program Essentials that are not fulfilled.

As a part of their review, the TRP will consider an applicant's level of advancement towards fulfilling Program Essentials.

Demonstrating value for money

The Global Fund expects that all funding requests are good value for money, as demonstrated through the five dimensions of economy, effectiveness, efficiency, equity, and sustainability, following the [Value for Money Technical Brief](https://www.theglobalfund.org/media/8596/core_valueformoney_technicalbrief_en.pdf).[11]

Instead of a stand-alone question on value for money, applicants are encouraged to demonstrate these five dimensions in their responses to answers throughout the form.

Scaling comprehensive programs to remove human rights- and gender-related barriers

In the [2023-2028 Global Fund Strategy](), the Global Fund committed to scaling-up comprehensive programs and approaches to remove human rights and gender-related barriers across the portfolio. The TRP will assess whether programs to reduce human rights and gender-related barriers are indeed comprehensive and at scale to generate impact.

Strengthening domestic resources for health systems and the fight against the three diseases

Domestic resources are critical to achieving and sustaining programmatic outcomes (including for RSSH) and a major driver of efforts to enhance value for money. Global Fund grants are catalytic and must complement country owned prioritization of national HIV, TB, and malaria responses and RSSH. To ensure that domestic financing is prioritized in the funding requests, applicants are required to provide information on co-financing commitments, an analysis of efforts to strengthen health financing, and a detailed overview of their domestic investments, including evidence and sources to substantiate this information.

Evaluating risk

In line with the Program Continuation approach, no dedicated risk section is included in the Program Continuation form, under the assumption that risks would not have changed significantly from the continuing program. If the context and risks to the program have changed substantively since it was last reviewed by the TRP, contact the relevant Country Team to discuss whether Program Continuation is the most appropriate application approach.

Protection from sexual exploitation, abuse and harassment

Protection from sexual exploitation, abuse and harassment (SEAH) requires the commitment and support of the Global Fund partnership, including implementing countries and partners.

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[11] Value for Money Technical Brief - https://www.theglobalfund.org/media/8596/core_valueformoney_technicalbrief_en.pdf

For the 2023-2025 allocation period, all applicants are recommended to identify SEAH-related risks and corresponding mitigation measures during program design. The use of the SEAH risk assessment tool is optional.

Submitting a Program Continuation Funding Request

Page recommendations

Applicants are encouraged to develop responses to questions that are concise, complete and avoid repetition. Applicants are also invited to make use of visual representations, such as graphs or tables, to portray key information, trends, or approaches.

These Instructions include a recommendation on the number of pages of text necessary to develop concise and complete responses. While the exact number of pages of text will vary based on country context, the Technical Review Panel requests that applicants carefully observe the length of their response.

Supporting documentation

Applicants should only reference documents which either substantiate the funding request or are necessary to fulfil the list of essential data points for the requested components as indicated in the respective Information Notes.

All documents referenced in the funding request form should be included in the List of Annexes and Abbreviations and included as a part of the application package. Only documents directly referenced in the funding request form should be submitted.

Translation of documents

The Global Fund accepts application documents in English, French or Spanish. The working language of the Secretariat and the TRP is English.

The Global Fund will translate only the funding request narrative and core application documents submitted in French or Spanish. Supplementary attachments can be submitted in the documents' original language but translation by the Global Fund will be limited to specific sections, within reason.

As the Secretariat cannot ensure translations of all supplementary documents, applicants are encouraged to translate and submit the most critical attachments in English whenever possible. Contact your Fund Portfolio Manager if you have any questions related to translations.

Complete application

Details on which documents should be submitted with the funding request is included in Annex 1. The TRP will only review complete application packages.

Timing of submission

Applicants are encouraged to submit a funding request for a given component well in advance of the end of the current grants for that component. Nine months before the current grant ends is encouraged. For the next allocation period (2023-2025), all grants will need to be Board-approved by the end of 2025. See the Applicant Handbook for more detail on timelines for submission.

Submitting the Application

The complete application package is to be uploaded to a Global Fund webpage specific to each Funding Request. The link to this site will be shared with the CCM Focal Point by the Country Team. The entire application package should be submitted on or before the deadline listed for a given submission window.

Transparency and Privacy

Funding requests may be published by the Global Fund in accordance with the Global Fund Document Policy.[12] Published documents may include the funding request form, annexes (including the Funding Landscape Table), and other materials referenced in the request.

Personal data obtained by the Global Fund through funding requests documents and Access to Funding processes is processed in accordance with the applicable Privacy Statements, in particular the Supplemental statement for Global Fund Grant Funding and Management Activities.[13]

Completing the Funding Request Form

The following resources can be used as a reference by applicants as they complete their funding request:

- Allocation letter (shared starting in December 2022)
- 2023-2028 Global Fund Strategy
- Applicant Handbook
- Global Fund Information Notes on: HIV;[14] TB;[15] Malaria;[16] Building Resilient and Sustainable Systems for Health
- Global Fund Modular Framework Handbook[17]
- Global Fund Technical Briefs[18]
- Guidelines for Grant Budgeting[19]
- Review Criteria of the Technical Review Panel
- TRP Observations on the 2020-2022 Allocation Period
- Operational Policy Note on the Design and Review of Funding Requests[20]

Applicants are requested to refer to the reviewed and completed Essential Data Tables in their responses.

Responses to all questions should be brief and focus on evidence and information necessary to review the request against the current epidemiological, gender, human rights, funding and national response contexts. Responses should be country-specific.

Responding to questions

The Instructions for Program Continuation are formatted differently than in previous allocation periods. The following guide is provided to ensure that questions in the form are completely answered.

> **Question 1: For each module, provide information on the funding requested from the Global Fund and expected outcomes as a result of the Global Fund's investment.**

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[12] Global Fund Document Policy - https://www.theglobalfund.org/media/5715/core_documents_policy_en.pdf
[13] Supplemental statement for Global Fund Grant Funding and Management Activities - https://www.theglobalfund.org/en/legal/privacy-statement/
[14] HIV Information Note - https://www.theglobalfund.org/media/4765/core_hiv_infonote_en.pdf
[15] TB Information Note - https://www.theglobalfund.org/media/4762/core_tuberculosis_infonote_en.pdf
[16] Malaria Information Note - https://www.theglobalfund.org/media/4768/core_malaria_infonote_en.pdf
[17] Global Fund Modular Framework Handbook - https://www.theglobalfund.org/media/4309/fundingmodel_modularframework_handbook_en.pdf
[18] Technical Briefs - https://www.theglobalfund.org/en/applying-for-funding/design-and-submit-funding-requests/applicant-guidance-materials/
[19] Guidelines for Grant Budgeting - https://www.theglobalfund.org/media/3261/core_budgetinginglobalfundgrants_guideline_en.pdf
[20] Operational Policy Note on the Design and Review of Funding Requests - https://www.theglobalfund.org/media/3266/core_operationalpolicy_manual_en.pdf

Questions are shared exactly as they are in the funding request form. To completely answer the question, address all relevant question elements from these instructions.

Element	Details
o Key challenges related to sustainability, actions which have already been taken to address these challenges, and actions planned to address these challenges.	o Consider different dimensions of sustainability, including: ▪ Financial. ▪ Programmatic. ▪ Health and community systems. ▪ Governance. o Indicate whether the different challenges are addressed through the funding request, through Global Fund catalytic funding, or through other initiatives undertaken by the country.

Respond to each relevant question element so the funding request can be considered as complete.

The "details" column includes additional information for the respective question element. In some cases, these may be references to help the applicant respond to the question element. In other cases, these may be reminders to ensure responses and figures are aligned across the various application materials. Additionally:

- **Provide, indicate, list, or describe** indicates that addressing these details are necessary for a complete response.
- **Consider** indicates that applicants should evaluate the details to see whether they would apply in the context of the program or country. Applicants are not expected to respond in the form to each area they are asked to consider.

Applicants are not expected to respond using tables unless these tables are provided in the Program Continuation Application Form. Applicants may choose to use tables to present their responses if they find it allows for concise responses.

Summary Information

This information is used for data purposes:

Section	Requested Information
Country(s)	Country of funding request (or list of countries, if multi-country request). If the applicant is not the CCM, specify the name of the applicant, confirming with the Country Team in advance.
Component(s)	Component(s) of the funding request (every component can include modules for Resilient and Sustainable Systems for Health).
Planned grant(s) start date(s)	Projected start dates for the grant(s).
Planned grant(s) end date(s)	Projected end date for the grant(s).
Principal Recipient(s)	The entity or entities nominated by the applicant to implement the program(s).
Currency	Relevant currency as per the Allocation Letter; indicate Euro or US dollar.
Allocation funding request amount	Amount requested from the Allocation.

	The amount entered should be consistent across all application documents and in line with the program split submitted by the applicant and confirmed by the Global Fund.
Prioritized above allocation request (PAAR) amount	Amount requested in the PAAR.
	The amount should be consistent across all application documents.
Matching funds request amount (if applicable)	Amount requested in Matching Funds (if eligible), as outlined in the Allocation Letter.
	The amount entered should be consistent across all application documents.

Sections and Questions

Where possible, applicants should refer to existing documents, such as national strategy documents, assessments, or program reviews. Only referenced documents should be included in the List of Abbreviations and Annexes.

The Program Continuation template has six sections where applicants outline the request for continued funding from the Global Fund:

In Section 1, they should present information on the funding being requested from the Global Fund and what is expected to be achieved as a result of the Global Fund's investment.

In Section 2, they should explain how the impact and outcome of the continuing program will be enhanced by any designated matching funds.

In Section 3, they should explain how the current program will react to changes in the country context.

In Section 4, they should outline how the continuing program remains relevant and on track to achieve results and impact.

In Section 5, they should confirm whether adaptations are needed to fulfil new Global Fund Strategy objectives.

In Section 6, they should show how the current implementation arrangements will continue to maximize impact and mitigate risks.

Section 1: Prioritized request

In this section, applicants are asked to summarize by module the interventions for which funding is requested, why these interventions were prioritized, how improvements have been made to the program, and how the programs will meet certain requirements.

Question 1: For each module, provide information on the funding requested from the Global Fund and expected outcomes as a result of the Global Fund's investment.

Recommended Page Length: 1 – 1.5 Pages per Module

Investments prioritized for funding should be:

- Evidence-based, in line with normative guidance, the epidemiological context and lessons learned from the current implementation period, and aim to maximize impact against HIV, TB and malaria.
- Appropriately focused on building RSSH, in line with the RSSH Gap and Priorities Annex.

- Focused on evidence-based programs for key and/or vulnerable populations.
- Integrating program design that address human rights- and gender-related barriers.
- Using approaches which advance gender equality.
- Reaching those most marginalized through equity-informed approaches.
- Addressing critical gaps to strengthen the sustainability of the national disease response, including Global Fund-financed interventions.
- Have considered value for money.
- Complying with the focus of application requirements.[21]

Applicants are asked to populate the table as follows:

Column	Input Details
Module #<Number> and <Name>	Number each module. Indicate the name of the module that is requested to be funded, as described in the Performance Framework. Each module should have a separate table.
Intervention(s)	List the specific interventions within the module requested to be funded by the Global Fund, as described in the Performance Framework. For each intervention, insert and copy a new row. Indicate how the requested **intervention** is different or not from the interventions included in the current grant. **New** indicates that the intervention is new or not previously funded by the Global Fund. **Scale-up** indicates that the intervention is being programmatically expanded from the current grant. **Continuation** indicates that the intervention is continuing in roughly the same size and scope. **Scale-down** indicates that the intervention will be more limited than in the current grant.
List of activities	List activities proposed for the module which are new or are being scaled down. If activities are continuing or are scaling-up and were described in the 2020-2022 funding request, please indicate with "See 2020-2022 funding request." **Applicants should not describe differentiation in this summary list**.
Amount requested	Input the amount budgeted for the module in the currency of the funding request for the period of the allocation (typically 3 years). Make sure that the amounts correspond to the Budget template and that the total amount equals to the total allocation amount provided on the cover page of this funding request.
Expected outcome	List the effects of the interventions on populations and/or key barriers and/or health and community systems and/or geographies.

This table should be repeated for each module requested. See the Example of a Full Review Funding Request for a reference on how to complete a similar table, while noting specificities of a Program Continuation request. If a country submitting a Program Continuation Funding Request is already using a Payment for Results modality, they may provide information on the performance indicators or milestones and targets that are proposed to be used to receive funding from the Global Fund and replace or complement the table in Section 1 with the following table to be provided by the Country Team:

Performance indicator or milestone	Target				Rationale for selection of the indicator/milestone	Amount requested	Expected outcome	Specify how the accuracy and reliability of the reported results will be ensured
	Baseline	Y1	Y2	Y3				
¤	¤	¤	¤	¤	¤	¤	¤	¤
¤	¤	¤	¤	¤	¤	¤	¤	¤
¤	¤	¤	¤	¤	¤	¤	¤	¤
Add rows as relevant¤	¤	¤	¤	¤	¤	¤	¤	¤

Applicants are asked to populate the table as follows:

Column	Input Details
Performance indicator or milestone	List the proposed indicators that will be directly linked to the definition of the payments. To the extent possible, the indicators should be selected from the core list of indicators in the Global Fund Modular Framework.
Target	Define the proposed target, by year and with the value for the baseline. These values should match those provided in the Performance Framework.
Rationale for selection of the indicator/milestone	Describe the analysis/reasons that led to prioritizing each intervention. Applicants are strongly encouraged to reference key documents (for example, the Programmatic Gap Table or NSP) to strengthen their rationale.
Amount requested	Input the disbursement amount associated with the indicator, in the currency of the funding request for the period of the allocation (typically 3 years). Make sure that the amounts correspond to the amounts classified as Payment for Results in the Budget template, and to the amounts indicated in the Performance Framework.
Expected outcome	List the effects of the interventions on populations and/or health systems and/or geographies.
Specify how the accuracy and reliability of the reported results will be ensured	How the accuracy and reliability of the reported results will be ensured.

Section 2: Matching Funds (if applicable)

Question 2.A: If Matching Funds were designated for the 2023-2025 allocation period, describe how integrating Matching Funds will increase the impact and improve the outcome of the allocation for the Matching Funds area.

Recommended Page Length: 0.5 Pages per Matching Funds area

The Global Fund provides a catalytic funding stream, called Matching Funds, to incentivize a sub-set of countries to align their allocations towards strategic priorities that are critical to driving impact and achieving global goals in alignment with the 2023-2028 Global Fund Strategy.

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[22] Guidance on Payment for Results - https://www.theglobalfund.org/media/12166/core_pfr_guidance_note_en.pdf

Only eligible applicants who were informed in their allocation letter that they have been designated any Matching Funds for the 2023-2025 allocation period are required to answer the questions in this section.

For more information on Matching Funds, see the Matching Funds Guidance Note (forthcoming).

For each Matching Fund Priority Area that is related to a component presented in this funding request, summarize:

Element	Details
o How Matching Funds will increase the impact and improve the outcome of the allocation for the Matching Funds area.	o Indicate the proposed focus of these funds.
o If and how the additional funding enables further funding from other sources or allows the scale-up of innovative interventions already in place.	
o **If Matching Funds were received in the previous period:** describe the impact of these investments.	

If no Matching Funds were designated for the 2023-2025 allocation period, respond with "No Matching Funds were designated."

Question 2.B: If Matching Funds were designated for the 2023-2025 allocation period, describe how programmatic and access conditions have been met.

Recommended Page Length: 0.5 Pages per Matching Funds area

For each priority area outlined in the Allocation Letter, summarize:

Element	Details
o If applicable, how programmatic conditions have been met.	
o If applicable, how access conditions have been met.	

Section 3: What has changed: updates in epidemiology context and National Policies and Strategies

To respond to this section, applicants are to consider whether there have been any changes to two key contextual areas.

If the applicant responds **"Yes"** to a contextual area, they should only answer the subquestions specific to that contextual area (for example, if an applicant marks "No" to epidemiological changes and "Yes" to normative guidance changes, they should only answer the subquestions related to normative guidance changes).

Question 3.A: Have there been any significant changes to the country's epidemiological context since the last funding request? Yes or no.

Recommended Page Length If Yes: 0.5 - 1 Page per Component

To answer this question, consider the following:

Points to Consider	Details
o The impact on health systems of COVID-19 and any other emergencies.	o Consider any environmental or climate change related events that impacted health systems. o Consider any major political or social upheavals, conflicts, or security events that impacted health systems.
o Important changes in trends in incidence/notification rates or prevalence.	
o Variation in key social, structural and behavioral drivers of the epidemics.	
o Changes in human rights and gender-related barriers and inequities.	
o Changes in legal, policy, political and social context, including changing safety and security challenges against key and vulnerable populations; health system changes relevant to the diseases, communities most affected and/or health equity.	
o Emergence/change in drug/insecticide resistance.	
o Shift in coverage of interventions in the general population and specific key and/or vulnerable populations.	

If "**Yes"**, explain to what extent these changes require changes in the program.

To answer this question, summarize:

Element	Details
o How these changes affect the overall strategic approach and the key programmatic interventions that are requested to be continued.	
o What programmatic adjustments have been made or are planned to address changes in the epidemiological context.	

- **If COVID-19 or other emergencies had an impact on health systems:** Describe the extent to which (if at all) emergency responses diverted resources from HIV, TB, and malaria programming.
- **If important changes in trends to incidence/notification rates or prevalence:** Please describe with responses based on all available data.

- Please Indicate:
  - Important changes in trends in incidence/notification rates or prevalence.
  - Key social, structural and behavioral drivers of the epidemics.
  - Changes in human rights and gender-related barriers, and patterns of health inequity.
  - Drug/insecticide resistance.
  - Coverage of interventions in the general population and specific key and/or vulnerable populations.
- Applicants are encouraged to refer to the Essential Data Tables in their response.

Question 3.B: Have there been any significant changes to the normative guidance or technical approaches adopted within the national policy or strategy for the program since the last funding request? Yes or no.

Recommended Page Length If Yes: 0.5 Pages

To answer this question, consider the following:

Points to Consider

- Availability of new treatment regimes.
- New approaches to treatment.
- New health strategies, such as a roadmap for Universal Health Coverage.

If "**Yes",** explain to what extent these changes require changes in the program:

To answer this question, summarize:

Element	Details
Changes in normative guidance and technical approaches since the last funding request.	
How these changes affect the overall strategic approach and the key programmatic interventions that are requested to be continued.	
What programmatic adjustments have been made or are planned to address changes in the context.	

- o **If a roadmap to Universal Health Coverage has been elaborated:** indicate linkages and degree of alignment with the current program.

If the applicant responds **"No"** to both questions, they only need to mark "No" and continue with other questions.

Section 4: What has not changed: continued relevance and impact

Question 4: Explain how the current program continues to be relevant and is on track to achieve results and impact.

Recommended Page Length: 1 Page

In answering this question, refer to available evidence, lessons learned and inputs from technical partners and key stakeholders.

Include summaries of how continued investments are still best placed to achieve highest impact while addressing the following:

Element	Details
o **If TB and HIV Funding Requests:** How investments leverage innovation to spur faster progress in reducing new infections.	
o How structural barriers are being addressed to improve outcomes on the three diseases.	o Specify how proposed programs to address human rights, gender and age-related barriers including the social and structural drivers. o Consult the Technical Briefs for evidence-based quality human rights programs.
o How the program builds equity, sustainability and lasting impact.	
o How the program puts people and communities at the center.	
o How the program plans to catch up on any delays related to the delivery of HIV, TB and malaria services (due to COVID-19 or other significant factors).	o Refer to available sources on the impact from the Covid-19 pandemic on the program.
o **If Challenging Operating Environment:** Explain any updates to contextual factors for the country's classification.	o Refer to the Operational Policy Note on Challenging Operating Environments.

Section 5: Strategic Focus Areas

The Global Fund has approved a new Strategy. Explain whether program design, implementation arrangements and budget need to adapt to fulfil the Strategy objectives:

Question 5 A, B, and C: Are the following Strategy objectives already addressed within the current grant(s) Yes, No, Partially:

A.   Maximize people-centered integrated systems for health.

B.   Maximize the engagement and leadership of most affected communities.

C.   Maximize health equity, gender equality and human rights.

Recommended Page Length: 0.5 Pages for each "No" or "Partially"

Programming funded by the Global Fund need to be aligned with the [2023-2028 Global Fund Strategy](#). In this question, consider whether program adaptations are needed to ensure that the continuing program will contribute to achieving global goals, as outlined in the Strategy.

If the applicant responds **"Yes"** to a question, they only need to mark "Yes" and continue with other questions.

If "**No" or "Partially"** to a question**,** applicants should describe, for that question:

Element	Details
o Whether any extra efforts/adaptations will be addressed during grant-making and/or grant implementation.	
o **If "No" or "Partially" to Question 5A:** The steps that will be taken to address the potential environmental impact of the requested programs.	o Indicate any existing or planned climate vulnerability and adaptation (V&A) assessments. o Describe mitigation efforts, regardless of funding source, and describe how the investments will complement existing or planned efforts. o Indicate investments in waste management systems. If funding is not requested for waste management, describe how it is already addressed. o Indicate how investments will adopt, contribute to and/or incentivize reverse logistics and green procurement, recycling, and "take-back" schemes for end-of-life products. o Refer to the Technical Brief on Sustainable Healthcare Waste Management.

Question 5.D: Pandemic Preparedness

Recommended Page Length if "No" or "Partially": 1 Page

Applicants are encouraged to refer to the [Resilient and Sustainable Systems for Health Information Note](#) before developing their responses to this question.

Investments in this area should be focused on building pandemic preparedness (PP) capabilities, not the Response (R) aspect. It is acknowledged that investments in "preparedness for response," (surge capacity, for example), can be hard to distinguish from actual health emergency response in certain contexts and circumstances. However, these investments should focus on ensuring the capacities for effective response, but not actually financing specific response activities.

If the applicant responds **"Yes"** to this question, they only need to mark "Yes" and continue with other questions.

If "**No" or "Partially",** applicants should describe:

Element	Details
o Progress of routine evaluations and assessment of readiness and response performance gaps based on the International Health Regulations monitoring and evaluation framework.	o Consider Joint External Evaluations, After-Action Reviews, and Simulation Exercises, in addition to novel timeliness metrics as appropriate.
o Information on the status of other related Strategies such as pandemic influenza, COVID-19, Ebola, cholera, etc., when available.	o If this information is summarized in national documents provide reference page numbers/relevant sections instead of summarizing here.
o Refer to short-term, medium-term and long-term operational priorities as included in International Health Regulations and National Action Plans for Health Security and other related Strategies such as pandemic influenza, Ebola, cholera, etc., when available.	o Indicate whether funding is available for these priorities.
o Short-term, medium-term, and long-term operational priorities for pandemic preparedness based on the plan and strategies described in Question 1.3.	o Indicate whether funding is available for these priorities.
o The actions that were taken to harmonize joint TB, HIV, and malaria programs, to learn from effective responses to these pandemics, and to contribute to the design and implementation of holistic pandemic preparedness plan at national and local levels.	
o How the program will strengthen capacities that are most critical to prevent, detect and respond to infectious disease outbreaks.	
o How proposed pandemic preparedness investments will complement investments in strengthening health and community systems.	

Question 5 E: Sustainability: Are there major challenges to the sustainability of the national response? Yes or No

Recommended Page Length if Yes: 1 Page

As part of the implementation of the Global Fund's [Sustainability, Transition, and Co-Financing Policy](#)[23] and the overall [2023-2028 Global Fund Strategy](#), the Global Fund strongly encourages countries to identify and address key sustainability challenges and enhance domestic financing of health and the national responses. This is essential to achieving programmatic impact and sustaining the gains achieved through Global Fund support.

This section of the funding request focuses specifically on defining the key sustainability challenges affecting the national responses and describing how those challenges are being addressed / mitigated; as well as describing the overall trends in domestic financing of the national responses, and how the country leverages the Global Fund's co-financing approach.

To respond to the questions below, refer to the domestic financing section of Allocation Letter, the [Sustainability, Transition and Co-Financing Guidance Note](#)[24] and [Value for Money Technical Brief](#), the completed Funding Landscape Table(s), Programmatic Gap Tables(s), national strategic plans and their costing, and other relevant country documents, including a sustainability plan and/or transition work-plan, if available. If information is available from specific country documents, responses can reference the specific sections of the documents provided, rather than describing them in the narrative.

When responding Yes to this question, applicants are asked to consider not only how the funding request addresses these challenges, but also consider health financing reforms and other initiatives planned by the country. This question should include indication of significant reductions in funding available for the national response from domestic or external sources in the current allocation period and the next.

If the applicant responds **"No"** to this question, they only need to mark "No" and continue with other questions.

If "**Yes",** applicants should describe:

Element	Details

o Key challenges related to sustainability, actions which have already been taken to address these challenges, and actions planned to address these challenges.	o Consider different dimensions of sustainability, including:
	  ▪ Financial.
	  ▪ Programmatic.
	  ▪ Health and community systems.
	  ▪ Governance.
	o Indicate whether the different challenges are addressed through the funding request, through Global Fund catalytic funding, or through other initiatives undertaken by the country.
	o For more information, see the [Sustainability, Transition, and Co-Financing Policy](#) which outlines a wide variety of sustainability challenges faced by national responses and may be helpful to guide responses to this question.

o Challenges related to the sustainability of programs and health services currently	o Consider challenges faced by community-led and community-based organizations, which are often highly reliant on external financing. If applicable, describe the

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[23] Sustainability, Transition, and Co-Financing Policy - https://www.theglobalfund.org/media/4221/bm35_04-sustainabilitytransitionandcofinancing_policy_en.pdf
[24] Sustainability, Transition and Co-Financing Guidance Note - https://www.theglobalfund.org/media/5648/core_sustainabilityandtransition_guidancenote_en.pdf

funded primarily by external funding (including by the Global Fund), actions which have already been taken to address these challenges and actions planned to address these challenges.	enabling legal framework related to the public financing and contracting (often referred to as "social contracting") of service delivery provided through civil society and community-led and -based organizations, including to key and vulnerable populations.
	o Provide an analysis of any specific dependencies on Global Fund financing for key interventions, particularly those that are critical to impact in the national response given the programmatic and epidemiological context.

o Health financing reforms and initiatives to strengthen the overall financing of health and the national response.	o This may include:
	  ▪ Health Finance Reforms, including to support increased resource mobilization, pooling of resources, and strategic purchasing of health services and health products.
	  ▪ Development of health financing strategies or implementation of existing strategies.
	  ▪ Efforts to move towards and finance Universal Health Coverage, including efforts to address financial barriers to healthcare access.
	  ▪ Analysis of financial sustainability and transition challenges.
	  ▪ Other efforts to strengthen financial sustainability.
	o Indicate existing support for health financing reforms and initiatives from other partners.
	o Explain how the funding request supports planned or ongoing health sector reforms and initiatives, if applicable.

o Changes in domestic or external funding.	o Indicate the arrival or withdrawal of a major donor or a significant increase or decrease in domestic funding. o Indicate recent windfall gains (such as oil/mining) and/or external shocks to the economy.
o Other challenges impacting funding availability and the sustainability of the national response.	o Indicate any other challenges (not addressed above) that may impact available funding and the sustainability of the national response.
o How the changes will impact the ability to meet co-financing commitments for the current implementation period.	o Describe how the challenges highlighted above will impact the ability of the country to meet co-financing commitments that have been made for the 2020-2022 allocation period (if applicable).
o How the changes will impact the ability to meet future co-financing requirements in the next implementation period.	o Describe how the challenges highlighted above will impact the ability of the country to meet future co-financing commitments for the 2023-2025 allocation period (if applicable).

Question 5.F.i: Domestic Financing and Resource Mobilization: Describe how co-financing commitments for the 2020-2022 allocation period have been realized.

Recommended Page Length: 0.5 Pages

This section focuses specifically on how and to what extent the country has realized the co-financing commitments made during the 2020-2022 allocation period, and how the country will increase domestic financing and co-financing for the national response and health systems throughout the 2023-2025 allocation period. When assessing and answering the questions below, the country should consider the

Global Fund's co-financing requirements (as outlined in the Sustainability, Transition and Co-Financing Guidance Note), and refer specifically to information in the domestic financing section of the Allocation Letter.[25]

It is essential that supporting information for the following questions be included with the Funding Request, including the sources of information related to financing of the health system, national responses, and co-financing commitments. It is also important to ensure consistency between the information included in the funding request, the Funding Landscape Table, and the Commitment Letter (if submitted at the time of the funding request).

Include summaries of:

Element	Details
o Trends in government health expenditure.	o Indicate how overall government health expenditure has evolved in the 2020-2022 allocation period, compared to the 2017-2019 allocation period, both in terms of total amounts, as well as share of health in government expenditure. o Briefly describe the trends (with references to supporting evidence and sources of information), especially if there is a decline or a significant increase in government health expenditure.
o Increased domestic investments that have been made in the national responses during the 2020-2022 allocation period.	o Indicate domestic investments for the national response and for RSSH and the sources of these investments, including sources of information. o Describe if additional investments comply with requirements of the Sustainability, Transition and Co-financing policy. o Ensure consistency with information included in the Funding Landscape Table and Letter of Commitment.
o Specific programmatic areas that have been supported by domestic co-financing and how the country has increased investments in these specific programmatic areas over time.	o Ensure consistency with information included in the detailed gap analysis of the Funding Landscape Table.
o **If the applicant agreed to finance specific programmatic interventions or specific activities as part of its co-financing commitments for the 2020-2022 allocation period:** describe specific commitments made and the extent to which they have been realized	o In some instances, the Global Fund and countries have agreed on highly specific activities that will be financed as part of co-financing commitments. If this is the case, describe in detail what commitments these were and the extent to which they have been realized.

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[25] PEPFAR HIV Resource Alignment reports should also be consulted while developing this section for the following countries: *Angola, Benin, Botswana, Burkina Faso, Burma, Burundi, Cambodia, Cameroon, Cote d'Ivoire, Dominican Republic, DRC, El Salvador, Eswatini, Ethiopia, Ghana, Guatemala, Guyana, Haiti, Honduras, India, Indonesia, Jamaica, Kazakhstan, Kenya, Kyrgyz Republic, Laos, Lesotho, Liberia, Malawi, Mali, Mozambique, Namibia, Nepal, Nicaragua, Nigeria, Panama, Papua New Guinea, Philippines, Rwanda, Senegal, Sierra Leone, South Africa, South Sudan, Tajikistan, Tanzania, Thailand, Togo, Uganda, Ukraine, Vietnam, Zambia, Zimbabwe*. Please contact your respective FPM to access this document.

o **If the country has not fully met the co-financing commitments for the 2020-2022 allocation period:** explain why the country has not fully met the commitments.	o Provide a rationale for not meeting the co-financing commitments, and any action that will be taken to address these challenges in the upcoming allocation period.

Question 5.F.ii: Domestic Financing and Resource Mobilization: Describe how co-financing will increase over the 2023-2025 allocation period, and how these commitments will be tracked and reported, and planned actions to address remaining funding gaps.

Recommended Page Length: 0.5 Pages

Include summaries of:

Element	Details
o Planned government health expenditures.	o Indicate how overall government health expenditure will evolve in the 2023-2025 allocation period, compared to the 2020-2022 allocation period – both in terms of total amounts, as well as share of health in government expenditure. o Briefly describe the trends (with references to supporting evidence and sources of information), especially if there is a decline or significant increase in planned government health expenditures.
o How co-financing will increase during the 2023-2025 allocation period, including the overall financial commitment for the 2023-2025 period and the additional amounts projected to be invested in the national response and RSSH.	o Provide an overview of how co-financing will increase over the 2023-2025 allocation period (with references to supporting evidence and sources of information). o Describe if additional commitments comply with requirements of the Sustainability, Transition and Co-financing policy. o If commitments are not sufficient to comply with the Sustainability, Transition and Co-financing policy: provide a justification. o Ensure consistency with information in the Funding Landscape Table. o Ensure consistency with the country's Commitment Letter, if submitted at the time of the Funding Request.
o Programmatic areas that the co-financing will support.	o Provide an overview of the funding needs for the National Strategic Plan and the key cost drivers. o Indicate the key interventions of the National Strategic Plan that will be supported by co-financing. o Ensure alignment with the detailed gap analysis found in the Funding Landscape Table. o Ensure alignment with the analysis of coverage of interventions provided in the Programmatic Gap Table. o Ensure consistency with the country's Commitment Letter, if submitted at the time of the Funding Request.
o Remaining funding gaps for major program areas, if applicable.	o Describe available funding and gaps for key program areas.

		o	Ensure consistency with those outlined in the Funding Landscape Table, including the overall gap and the Detailed Financial Gap.
o	Planned actions to identify domestic resources and/or efficiencies to reduce the funding gaps in the 2023-2025 allocation period.	o	Describe planned actions to actions to identify additional domestic resources, other funders and/or strengthened efficiencies in existing resources to reduce the funding gaps for key program areas.
o	How co-financing commitments will be tracked and reported.	o	Describe how the co-financing commitments will be tracked and reported to the Global Fund during the 2023-2025 allocation period.
		o	If a commitment letter is submitted at the time of the Funding Request, the letter should indicate how commitments will be verified and reported.
o	How the funding request supports better generation and use of quality health financing data.	o	If applicable, explain how the funding request supports strengthening of public finance management systems and/or institutionalization of expenditure tracking mechanisms.
o	How the funding request supports domestic spending for health products used for the disease prevention, diagnosis, and treatment and spend for procurement and supply management related systems investments.	o	Describe systems to track these expenditures.

Question 5.G: Program Essentials: Indicate if any of the Program Essentials are currently not fulfilled, explain why, and describe the proposed pathway to reach them in coming years.

Recommended Page Length: 0.5 Pages per Component

To ensure that programs are on track to fulfilling the Program Essentials, applicants are asked to indicate their progress towards meeting these standards which are detailed in the respective information notes.

To respond to this question, summarize:

Element		Details	
o	**TB or HIV applicants**: referring to the completed Program Essentials tab in the Essential Data Tables, indicate which Program Essentials are not currently fulfilled and why.	o	Indicate the proposed pathway to achieve each Program Essential and describe how the proposed investments will support the implementation of these pathways.
o	**Malaria applicants:** describe the status of any Program Essentials that are off-track and explain why.	o	Indicate the proposed pathway to achieve each Program Essential and describe how the proposed investments will support the implementation of these pathways.

If all Program Essentials have been implemented, respond with "All Program Essentials have been fulfilled."

Section 6: Implementation

Since a Program Continuation approach is being used, it is assumed that the current implementation arrangements are still effective to deliver on the program objectives and the anticipated impact for the next allocation period. With the same Principal Recipients and main Sub-Recipients staying the same, applicants are asked to explain any minor changes which are needed to make the grants even more effective.

Question 6: Are changes needed to implementation arrangements? Yes or No.

Recommended Page Length: 0.5 Pages

If the applicant responds **"No"** to this question, they only need to mark "No".

If "**Yes",** applicants should describe:

Element	Details
o How program performance will be maintained or enhanced.	o The CCM could decide to keep arrangements as they are or, for example, further rationalize implementation arrangements by reducing the number of grants for better coordination, oversight and integration of services. o Alternatively, the CCM could explore whether the Payment for Results modality would drive greater effectiveness.
o Have any identified/expected risks been taken into consideration.	
o Any anticipated risks that may negatively affect the broader health system. Include mitigating actions which are planned or have been taken.	
o How community-led organizations will be involved in implementation arrangements.	
o How the grants will achieve the greatest output and/or reduced unit costs through the choice of inputs and processes, for program and system level investments.	o Consider how to ensure inputs are of the right type and quality while ensuring they are procured at lowest sustainable costs. o Consider cost-saving measures such as integration, economies of scale, and streamlining. o Describe efforts made to reduce program management costs. o Describe efforts to minimize fiduciary risks and improve economy through the leveraging or strengthening of procurement and financial management processes.
o **If Challenging Operating Environment:** how the proposed implementation arrangements are designed to work within the unique country/regional context.	

- o Detail implementation arrangements related to pandemic preparedness investments.
- o How coordination will be managed between existing mechanisms and International Health Regulation focal points.

If a response is provided to this question, this section should be consistent with and complemented by an Implementation Arrangement Map, as guided by the [Implementation Arrangements Mapping Guidelines](https://www.theglobalfund.org/media/5678/fundingmodel_implementationmapping_guidelines_en.pdf).[26]

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[26] Implementation Arrangements Mapping Guidelines -
https://www.theglobalfund.org/media/5678/fundingmodel_implementationmapping_guidelines_en.pdf

Annex 1: Submission Documents Checklist

This is an applicant checklist for each of the key elements submitted with the funding request, with submission requirements and links to additional resources for the Program Continuation application approach.

Documents Reviewed by the Technical Review Panel

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐ Program Continuation Form	The application form is used to propose and justify requested funding.	Applicants are informed in their Allocation Letter whether they are requested to use the Program Continuation template.	This document provides the instructions for the Program Continuation Template.	Webinars and eLearnings for the Program Continuation will be available on iLearn.	Applicants receive an editable Word document of their funding request template from their Country Team. PDF versions are available on the Global Fund website for reference.
☐ Performance Framework	The Performance Framework shows how performance will be tracked over the course of the program funded by the Global Fund.	All applicants are required to submit a Performance Framework with each funding request.	Instructions for the tables are embedded in the template.	An eLearning on the Performance Framework will be available on iLearn.	The Performance Framework template is specific to each applicant and is provided by the Country Team.
☐ Detailed Budget	The Budget shows the cost of the interventions prioritized for funding.	All applicants are required to submit a Budget with each Funding Request.	Instructions for the tables are embedded in the template.	An eLearning on the Budget will be available on iLearn.	The Budget template is specific to each applicant and is provided by the Country Team.

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐Programmatic Gap Table	The purpose of the Programmatic Gap Table is to identify key coverage gaps in the country by module/intervention, and to analyze how these gaps can be filled by the Global Fund and other support.	Required for all applicants requesting funding for services. If no service provision is requested, applicants do not need to submit this annex. Instead, they can use the Performance Framework and only complete the work plan tracking measure section.	Instructions for the tables are embedded in each template. The Community Health Workers Gaps table can be used for the three diseases and RSSH.	An eLearning on the Programmatic Gap Table will be available on iLearn.	Available on the Funding Request Forms and Materials page. Separate tables available for: • Malaria. • Tuberculosis. • HIV. • TB/HIV. • Community Health Workers.
☐Funding Landscape Table	The Funding Landscape Table provides financial information related to financing the national disease and health system strategies.	Required for all applicants.	Instructions for the tables are embedded in the template.	An eLearning on the Funding Landscape Table will be available on iLearn.	Available on the Funding Request Forms and Materials page.
☐Prioritized Above Allocation Request (PAAR)	The Prioritized Above Allocation Request (PAAR) includes key additional, evidence-based and costed modules and interventions for investments that are not included within the allocation amount.	A PAAR of at least one item (such as scaling up an activity) is required for each Funding Request. This helps ensure that there are already TRP-approved interventions which can be immediately integrated into a grant when efficiencies are found during grant-making and implementation. Applicants may also submit an updated PAAR during grant implementation upon agreement of the Global Fund Secretariat, if justified by significant changes to the country context, or when there is a realistic	Instructions are included in the template.		The PAAR template is specific to each applicant and is provided by the Country Team.

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
		expectation of additional funds becoming available.			
☐ Health Product Management Template	This tool is an instrument that shows all health products and health technologies that will be funded by the Global Fund.	Only required for applicants who are requesting funding to cover health products and/or associated management costs.	The instructions are sent to applicants by their Country Teams.	Detailed guidance is available here.	The Health Product Management Template is sent to applicants by their country teams.
☐Implementation Arrangements Map	The map provides a visual depiction of the relationships between funds, organizations and programs that are a part of a grant or set of grants.	Required with funding request submission based on the assumption that the program is continuing with the same PR for Program Continuation.	Instructions are available here.		
☐RSSH Gaps and Priorities Annex	This annex provides an analysis of needs in health and community systems and provides a plan on how each of the funding requests will collectively meet those needs.	All applicants are required to submit the same annex with each funding request and update it if separate funding requests are submitted in different windows.			Available on the Funding Request Forms and Materials page.
☐Gender Assessment, if available	This assessment is used to measure progress towards gender-equality goals in Global Fund-financed programs.	If available, applicants are requested to submit a separate assessment per component.			

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐ Assessments of human-rights related barriers to services. (If available)	This is used to assess current programming to address human rights-related barriers and to develop recommendations for scaling-up to comprehensively addresses all barriers for all populations.	If available, applicants are requested to submit assessments for HIV, TB, and HIV/TB components included in the request. For malaria components, applicants should use qualitative assessments, such as the Malaria Matchbox.			
☐Essential Data Tables	The Essential Data Table is an Excel file that provides key figures related to country and epidemiological context. Applicants are asked to validate sections with pre-filled data and to provide further information as indicated.	All applicants are asked to validate existing data and provide further information.			Available on the Funding Request Forms and Materials page.
☐National Strategic Plans	Applicants should submit relevant National Strategic Plans.	All applicants should include plans with their funding request which are health sector and disease specific. Applicants should include any NSPs for Procurement and Supply Management, if available. Applicants should include any mid-term reviews of NSPs, if available.			Applicants interested in developing or strengthening their National Strategic Plans should contact their Country Teams.

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐Innovative Financing Documentation		Only required for applicants who are using certain Innovative Financing mechanisms.			
☐Supporting documentation related to sustainability and transition (if available)	Applicants should provide information related to strengthening the sustainability of the national response and/or preparations for transition from Global Fund financing.	All applicants should provide information, as available. Applicants should include the Transition Readiness Assessment (if available), transition work-plan, sustainability assessment, sustainability plan, etc. Include any materials that substantiate government commitments to increase health financing and absorb program costs. Include any materials that evidence contributions of the private sector, NGOs and faith-based missions to the financing of health and community systems.			
☐ List of Abbreviations and Annexes	Applicants are asked to list all documents referenced in the funding request and spell out any abbreviations used.		Indicate whether a document is a key resource or is included to support a citation. If updates to NSPs are planned, describe when they will take place.		Applicants can use their own format for the list of abbreviations and annexes. An optional template is available on the Funding Request Forms and Materials page.

Documents Assessed by the Global Fund Secretariat

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐Funding Priorities from Civil Society and Communities Annex	Applicants are asked to list the top 20 priorities identified by communities during country dialogue and funding request development.	Required for all applicants.			Available on the Funding Request Forms and Materials page.
☐ Sexual Exploitation, Abuse and Harassment (SEAH) Risk Assessment	This assessment is used to identify and mitigate SEAH related risks in Global Fund-financed programs.	This is an optional annex for each funding request.		See the Protecting Against SEAH Guidance Note[27] and the Code of Conduct for recipients.[28]	Available on the Funding Request Forms and Materials page.
☐Country Dialogue Narrative	Applicants are asked to describe the documented and transparent process undertaken to engage a broad range of stakeholders in the country dialogue process leading to the development of the funding request.	Required for all applicants.		See the Guidance on CCM Eligibility Requirements 1 and 2.[29]	Available on the Funding Request Forms and Materials page.

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[27] Protecting Against SEAH Guidance Note - https://www.theglobalfund.org/media/12159/ethics_protection-sexual-exploitation-abuse-harassment-guidance_note_en.pdf
[28] Code of Conduct for Recipients - https://www.theglobalfund.org/media/6011/corporate_codeofconductforrecipients_policy_en.pdf
[29] Guidance on CCM Eligibility Requirements 1 and 2 - https://www.theglobalfund.org/media/5551/fundingrequest_ccmeligibilityrequirements1-2_guidance_en.pdf

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐CCM Endorsement of Funding Request	As part of the application, applicants must demonstrate that each member of the CCM endorses the final funding request.	The Global Fund requires evidence of endorsement of the final funding request by all CCM members, or their designated alternate(s), if the respective CCM member(s) is not available. CCM members (or their designated alternate(s)) unable to sign the funding request may send an endorsement email to their CCM Secretariat to be submitted to the Global Fund as an attachment. In cases where a CCM member is unwilling to endorse the funding request, that member should inform the Global Fund in writing (AccessToFunding@theglobalfund.org) stating the reason for not endorsing the funding request, so the Global Fund can understand the member's position. Endorsement from the respective alternate(s) would not be, in this case, receivable. Applicants other than CCMs should consult the CCM Policy[30] for details on how to comply.	Instructions for the tables are embedded in the template.	See the Guidance on CCM Eligibility Requirements 1 and 2.	Available on the Funding Request Forms and Materials page.

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[30] Country Coordinating Mechanism Policy - https://www.theglobalfund.org/media/7421/ccm_countrycoordinatingmechanism_policy_en.pdf

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐CCM Statement of Compliance	Applicants must confirm that they are in compliance with the six CCM Eligibility requirements.	All applicants are required to provide a CCM Statement of Compliance. Applicants other than CCMs should consult the CCM Policy for details on how to comply. Continued compliance with the Eligibility Requirements throughout program implementation is a condition to access Global Fund financing.	For additional questions, contact your Fund Portfolio Manager.	Please see the Guidance on CCM Eligibility Requirements 1 and 2 and the Country Coordinating Mechanism Policy.	Available on the Funding Request Forms and Materials page.
☐ Additional Co-financing Documentation, including Commitment Letters.	Applicants must show how they have met co-financing requirements for the current allocation period and how they will meet requirements in the next allocation period. MoH/MoF must indicate their formal commitment to meeting concrete and monitorable co-financing targets.	Include additional co-financing documentation beyond what is requested in the funding request and Funding Landscape Table. This should include the Commitment Letter, if available at the time of the funding request.		Please see the Sustainability, Transition, and Co-Financing Guidance Note and Co-Financing Operational Policy Note.	Applicants can use their own format for supporting documentation. An optional template for co-financing commitments is available on the Funding Request Forms and Materials page.